

**JOINT COMMITTEE ON
GOVERNMENT AND FINANCE**

Materials Distributed

September 10, 2014



West Virginia Legislature
1ST SESSION OF THE 81ST LEGISLATURE

| | | | | | | |
|--------|-------|-------|-------------|---------|-----------------|---|
| Senate | House | Joint | Bill Status | WV Code | Audits/ Reports | E |
|--------|-------|-------|-------------|---------|-----------------|---|

Interim Committee Attendance - Results

Wednesday, September 10, 2014 - 12:00 PM

Joint Committee on Government and Finance

Attended:

Delegate White
Delegate Cowles
Delegate Boggs
Speaker Miley
Senator Prezioso
Senator Plymale
Senator Palumbo
Senator Hall
Senator Barnes
President Kessler

Submittor:

Marlene

Phone:

4802

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(President Kessler presides)

AGENDA
JOINT COMMITTEE ON GOVERNMENT AND FINANCE
September 10, 2014

12:00 - 1:00pm

Senate Finance Room

- 1. Approval of August 27, 2014 minutes**
- 2. Committee Reports/Requests:**
- 3. Monthly/Quarterly Reports Distribution:**
Status Report on Unemployment Compensation.
- 4. Workforce WV Unemployment Compensation Trust Fund Distribution:**
- 5. Monthly/Quarterly Reports Distribution:**
PEIA.
BRIM
CHIP
Real Estate Report
- 6. Monthly/Quarterly Report Distribution from Department of Health and Human Resources:**

Medicaid Report
- 7. Investment Management Board Distribution:**
- 8. Workers' Compensation:**
- 9. Board of Treasury Report Distribution**
- 10. Other Business**

West Virginia University's Notice - Intent to award an energy savings contract to Siemens Industries, Inc.
- 11. Adjournment**

Joint Committee on Government and Finance

August 27, 2014

1:00pm - 2:00pm

Senate

Kessler, Chair
Palumbo (Absent)
Plymale (Absent)
Prezioso
Unger
Hall
Barnes

House

Miley, Chair
Boggs
Caputo
Manchin
White
Armstead
Cowles

Speaker Miley presides:

Speaker Miley: "The committee will come to order. The clerk will take a silent roll call. The first item on the Agenda is the adoption of the minutes of June 18, 2014. President Kessler moves the adoption of the minutes. Any discussion? All those in favor say aye, all those opposed, the ayes appear to have it."

Speaker Miley: "Next item on the Agenda. Workforce West Virginia."

Beth Carenbauer, the Director of Unemployment Compensation for Workforce WV gave a presentation of her department to the Committee.

Speaker Miley: "Next item is the PEIA, CHIP, BRIM and Real Estate reports from Donna Lipscomb Spano from the Department Finance and Administration."

Ms. Donna Lipscomb Spano gave her presentation on PEIA, CHIP, BRIM and Real Estate to the Committee.

Speaker Miley: "Next on the Agenda is the Department of Health and Human Resources, regarding Medicaid."

Karen Bowling, Cabinet Secretary, gave a presentation on Medicaid and introduced Cindy Beane, Acting Commissioner, Bureau of Medical Services.

Cindy Beane answered questions from the Committee on Medicaid.

Speaker Miley: "Next on the Agenda is the Investment Management Board, Mr. Tom Sauvageot, Fixed Income and Real Estate Investment Director."

Mr. Sauvageot gave a presentation on his department.

Speaker Miley: "Next on the Agenda is Workers Compensation. The report is the same as last month's and the Commissioner Riley is not here."

Speaker Miley: "Is there any other business to come before the Committee? If not, Senate President moves we adjourn. All of those in favor say aye, all those oppose. The ayes appear to have it, that ayes do have it."

West Virginia Legislature

Joint Committee on Parks, Recreation and Natural Resources Subcommittee

**Building 1, Room E-132
1900 Kanawha Boulevard, East
Charleston, WV 25305-0610
(304) 347-4800
(304) 347-4819 FAX**



**William Laird, Chair
State Senate**

**Delegate Eldridge, Co-Chair
Delegate Wells, Co-Chair
House of Delegates**

MEMORANDUM

To: JOINT COMMITTEE ON GOVERNMENT AND FINANCE

From: PARKS, RECREATION AND NATURAL RESOURCES SUBCOMMITTEE

Date: SEPTEMBER 3, 2014

Re: SITE VISITATION AUTHORIZATION AND EXPENSE REIMBURSEMENT

The Parks, Recreation and Natural Resources Subcommittee requests authorization and expense reimbursement for members and staff to conduct a site visitation to the following locations: Cass Scenic Railroad State Park and the Greenbrier River Trail in Cass, WV on September 28, 2014; Cass Scenic Railroad State Park in Cass, WV and Watoga State Park in Marlinton, WV on September 29, 2014; and Droop Mountain Battlefield State Park and Beartown State Park in Hillsboro, WV on September 30, 2014. Thank you for your attention to this matter.

MEMORANDUM

TO: Joint Committee on Government and Finance

FROM: Chairman Palumbo and Chairman Manchin of the Joint Committee on the Judiciary.

DATE: September 10, 2014

RE: Request for payment of travel expenses of Aaron Schreb.

The Joint Committee on the Judiciary is currently engaged in a study of potential effectiveness and feasibility of increasing current election campaign finance disclosure requirements. The study topic has been referred to Subcommittee C of the Joint Committee on the Judiciary. This topic of study is related to a bill (H.B. 4463) introduced in the House of Delegates during the 2014 Regular Legislative Session, the contents of which are substantially similar to the "DISCLOSE Act" introduced in Congress in 2012. Both the DISCLOSE Act and H.B. 4463 are intended to strengthen reporting requirements for corporations, non-profit groups and PACs that spend money to influence elections. Both also include "stand-by-your-ad" provisions requiring that advertisements financed by corporations and other groups include a disclaimer naming the major funders.

The staff of the Joint Committee on the Judiciary has identified Aaron Scherb, Director of Legislative Affairs for the nonprofit, nonpartisan citizen's lobbying organization, Common Cause, as someone who can provide the subcommittee with information about the pending federal legislation and the impact similar state legislation might have on the state's campaign finance disclosure laws. Common Cause has a long history of research and analysis of campaign finance related issues and is well placed to assist the subcommittee in its study of the potential effectiveness and feasibility of amending the state's current election campaign finance disclosure laws.

Mr. Schreb has been asked and has agreed to make a presentation to the subcommittee during the Legislature's October Interim Meetings. Mr. Schreb plans to fly from Washington, D.C. to Charleston on the day of the subcommittee's meeting and return to Washington, D.C. the same day. Mr. Schreb's employer has approved a travel budget of \$250.00.

Consequently, the Senate and House Chairmen of the Joint Committee on the Judiciary request that the Joint Committee on Government and Finance reimburse Mr. Schreb for his travel expenses in excess of \$250.00.



WEST VIRGINIA LEGISLATURE
STATE CAPITOL
CHARLESTON, WEST VIRGINIA 25305

MEMORANDUM

To: JOINT COMMITTEE ON GOVERNMENT AND FINANCE

From: LEGISLATIVE OVERSIGHT COMMISSION ON EDUCATION ACCOUNTABILITY &
JOINT STANDING COMMITTEE ON EDUCATION
SENATOR ROBERT PLYMALE & DELEGATE MARY POLING, CO-CHAIRS

Date: SEPTEMBER 9, 2014

Re: SITE VISITATION AUTHORIZATION AND EXPENSE REIMBURSEMENT

The Legislative Oversight Commission on Education Accountability and the Joint Standing Committee on Education request authorization and expense reimbursement for members and staff to conduct a site visitation in December 2014 at the West Virginia School for the Deaf and Blind (WVSDB) in Romney, WV. Such a visit would allow members of the Legislature to observe the specialized services WVSDB provides for the entire state, as well as consider the needs of the school and its students. Thank you for your attention to this matter.

WEST VIRGINIA LEGISLATURE

Office of the Legislative Auditor



*Budget Division
Building 1, Room 314-West Wing
1900 Kanawha Blvd. East
Charleston, WV 25305-0590*

304-347-4870

September 2, 2014

Executive Summary WV Lottery, Unemployment Trust, General Revenue and State Road Fund

- West Virginia Lottery as of June 30, 2014:
Gross profit for July 2013 – June 2014 was \$533 million.
- West Virginia Unemployment Compensation Fund as of July 31, 2014:
Total disbursements were \$ 10.6 million less than last fiscal year. Overall ending trust fund balance was \$ 7 million lower on July 31, 2014, than on July 31, 2013.
- General Revenue Fund as of June 30, 2014:
The general revenue collections ended the final month of fiscal year 2013-2014 at 99.28% of the estimate for the year.
- State Road Fund as of June 30, 2014:
The state road fund collections ended the final month of fiscal year 2013-2014 at 104.97% of the estimate for the year.

WEST VIRGINIA LEGISLATURE
Office of the Legislative Auditor



*Budget Division
Building 1, Room 314-West Wing
1900 Kanawha Blvd. East
Charleston, WV 25305-0590
304-347-4870*

To: Honorable Chairmen and Members of the Joint Committee on
Government and Finance

From: William Spencer, C.P.A.
Director Budget Division
Legislative Auditor's Office

Date: September 2, 2014

Re: West Virginia Unemployment Compensation Trust Fund

We have reviewed the July 31, 2014 monthly report of the Unemployment Compensation Trust Fund we received from WorkForce West Virginia.

As of July 31, 2014 of fiscal year 2014-2015, the trust fund cash flow was as follows:

| | |
|---|-------------------|
| Trust Fund Beginning Cash Balance 7-1-2014 | \$ 111,770,368.42 |
| Receipts July 1, 2014 thru June 30, 2015 | \$ 23,497,467.58 |
| Disbursements July 1, 2014 thru June 30, 2015 | \$ 17,160,714.64 |
| Balance July 31, 2014 | \$ 118,107,121.36 |

ITEMS OF NOTE:

Regular benefits paid for July 2014 were \$ 1.6 million less than July 2013.

Federal emergency benefits totaled \$ 8.7 million for July 2013. For July 2014, federal emergency benefits totaled \$ -34 thousand.

Total disbursements were \$ 10.6 million less in July 2014 than the preceding July 2013.

Receipts as of July 2014 were \$ 9.8 million less than in July 2013. Overall ending trust fund balance was \$ 7 million lower on July 31, 2014 than on July 31, 2013.

Seasonally adjusted unemployment rates for July 2014 were 6.3 percent for West Virginia and 6.2 percent nationally.

Since July 2013 employment has increased by 7,700. Employment declines were as follows: 2,200 in government; 2,000 in construction; and 800 in trade, transportation, and utilities. Employment gains were as follows: 4,600 in professional and business services; 3,500 in leisure and hospitality; 1,900 in financial activities; 1,100 in mining and logging; 700 in manufacturing; 600 in educational and health services; 200 in information; and 100 in other services.

August 14, 2014

William Spencer
Budget Division
Office of the Legislative Auditor
Building 1, Room 332-West Wing
1900 Kanawha Boulevard East
Charleston, WV 25305-0590

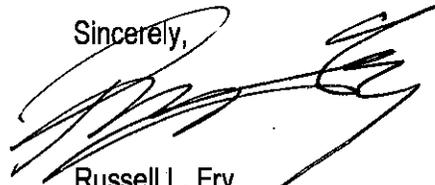
RE: Monthly Status Report

Dear Mr. Spencer:

The Monthly Status Report for the Unemployment Compensation Trust Fund for the months of May, June and July 2014 is included with this letter.

If you have any questions or need any additional information, please feel free to contact Brock Jarrett at 304-558-2631 or Brock.A.Jarrett@wv.gov.

Sincerely,



Russell L. Fry
Acting Executive Director

RLF/slp

Enclosure

pc: Earl Ray Tomblin

Fiscal and Administrative Management
112 California Avenue, Charleston, WV 25305-0112
Telephone: 304-558-2631 – Fax: 304-558-3512

An agency of the Department of Commerce

An equal opportunity employer/program and auxiliary aids are available upon request to individuals with disabilities.

www.workforcewv.org

**MONTHLY STATUS REPORT FOR THE JOINT COMMITTEE ON GOVERNMENT AND FINANCE
FOR THREE MONTHS STARTING MAY 2013 AND MAY 2014**

| | MAY 2013 | JUNE 2013 | JULY 2013 | MAY 2014 | JUNE 2014 | JULY 2014 | THREE MONTH TOTAL VARIANCE * |
|--|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|---------------------------------|
| Balance Forward | <u>\$81,929,612.72</u> | <u>\$138,559,021.60</u> | <u>\$119,511,755.93</u> | <u>\$68,590,798.96</u> | <u>\$129,789,545.81</u> | <u>\$111,770,368.42</u> | <u>(\$29,849,677.06)</u> |
| Add Receipts: | | | | | | | |
| 1. Bond Assessment | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 2. Regular Contributions: | \$72,393,236.83 | \$1,219,017.47 | \$22,957,571.54 | \$75,821,665.47 | \$2,299,241.93 | \$23,213,783.25 | \$4,764,864.81 |
| 3. Federal Emergency Benefits (EUC08) | \$9,311,282.72 | \$8,703,564.76 | \$9,915,354.98 | \$2,792.98 | (\$554,373.00) | \$370.96 | (\$28,481,411.52) |
| 4. Federal Share Extended Benefits (EB) | \$25.00 | \$424.00 | \$341.99 | \$0.00 | \$0.00 | \$21.00 | (\$769.99) |
| 5. Temp Federal Additional Comp (FAC) | \$63.96 | \$187.98 | \$50.00 | \$0.00 | \$0.00 | \$0.00 | (\$301.94) |
| 6. UCFE (Federal Agencies) | \$113,301.40 | \$124,806.13 | \$168,817.87 | \$76,525.11 | \$106,601.45 | \$130,842.15 | (\$92,956.69) |
| 7. Special Administrative Transfer | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 8. Reed Act Funds | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 9. UC Modernization Incentive | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 10. Treasury Interest Credits | \$0.00 | \$681,562.51 | \$0.00 | \$0.00 | \$595,487.41 | \$0.00 | (\$86,075.10) |
| 11. UCX (Military Agencies) | \$299,632.93 | \$251,903.25 | \$296,146.18 | \$177,212.94 | \$148,503.24 | \$152,450.22 | (\$369,515.96) |
| 12. WV Insurance Committee-Senate Bill 246 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 13. CMA Receipts | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Total Monthly Receipts | <u>\$82,117,542.84</u> | <u>\$10,981,466.10</u> | <u>\$33,338,282.56</u> | <u>\$76,078,196.50</u> | <u>\$2,595,461.03</u> | <u>\$23,497,467.58</u> | <u>(\$24,266,166.39)</u> |
| Less Disbursements: | | | | | | | |
| Debt Bond Repayment | (Retired) |
| Regular Benefits: | \$15,621,657.67 | \$19,573,056.00 | \$18,514,616.90 | \$14,612,813.00 | \$19,407,328.76 | \$16,926,438.69 | (\$2,762,750.12) |
| Federal Emergency Benefits (EUC08) | \$9,215,311.79 | \$9,907,130.36 | \$8,731,783.97 | (\$83,183.77) | (\$35,910.18) | (\$33,825.91) | (\$28,007,145.98) |
| Federal Share Extended Benefits (EB) | (\$3,308.00) | (\$594.00) | (\$1,332.01) | (\$3,016.00) | (\$4,486.00) | (\$989.00) | (\$3,256.99) |
| Emergency Benefits (TEUC) | (\$130.00) | (\$30.00) | (\$30.00) | \$0.00 | \$0.00 | \$0.00 | \$190.00 |
| Temp Federal Additional Comp (FAC) | \$63.96 | \$187.98 | \$50.00 | \$27.99 | \$0.00 | \$0.00 | (\$273.95) |
| UCFE (Federal Workers) Benefits | \$112,099.84 | \$142,242.14 | \$151,923.42 | \$85,444.56 | \$117,131.56 | \$121,160.18 | (\$82,529.10) |
| UCX (Military Workers) Benefits | \$294,458.53 | \$282,285.86 | \$263,805.79 | \$167,363.87 | \$152,125.52 | \$147,930.68 | (\$373,130.11) |
| Reed Act Funds | \$141,000.00 | \$40,000.00 | \$1,261.00 | \$100,000.00 | \$978,448.76 | \$0.00 | \$896,187.76 |
| Special Administrative Transfer** | \$106,980.17 | \$84,453.43 | \$60,205.64 | \$0.00 | \$0.00 | \$0.00 | (\$251,639.24) |
| Total Monthly Disbursements | <u>\$25,488,133.96</u> | <u>\$30,028,731.77</u> | <u>\$27,722,284.71</u> | <u>\$14,879,449.65</u> | <u>\$20,614,638.42</u> | <u>\$17,160,714.64</u> | <u>(\$30,584,347.73)</u> |
| Trust Fund Balance | <u>\$138,559,021.60</u> | <u>\$119,511,755.93</u> | <u>\$125,127,753.78</u> | <u>\$129,789,545.81</u> | <u>\$111,770,368.42</u> | <u>\$118,107,121.36</u> | <u>(\$23,531,495.72)</u> |

* Three month total variance column is the difference between the sum of the previous year's three months data for each category and the current year's three months data.
The purpose of the report is to show significant changes in receipts, disbursements, or balances.

UC TRUST FUND PROJECTIONS - 2014

September 4, 2014

| Month | Revenues | Benefits | Trust Fund Balance |
|----------------------|-----------------------|-----------------------|-----------------------|
| 2013 | | | |
| Balance 1-1/2013 | | | \$ 107,165,000 |
| January | \$ 11,962,000 | \$ 26,122,000 | \$ 93,005,000 |
| February | \$ 14,658,000 | \$ 22,521,000 | \$ 85,142,000 |
| March | \$ 854,000 | \$ 24,150,000 | \$ 61,846,000 |
| April | \$ 41,181,000 | \$ 21,098,000 | \$ 81,929,000 |
| May | \$ 72,251,000 | \$ 15,621,000 | \$ 138,559,000 |
| June | \$ 1,900,000 | \$ 20,948,000 | \$ 119,511,000 |
| July | \$ 22,957,000 | \$ 17,341,000 | \$ 125,127,000 |
| August | \$ 26,968,000 | \$ 16,606,000 | \$ 135,489,000 |
| September | \$ 2,329,000 | \$ 16,039,000 | \$ 121,779,000 |
| October | \$ 14,853,000 | \$ 14,764,000 | \$ 121,868,000 |
| November | \$ 17,441,000 | \$ 15,129,000 | \$ 124,180,000 |
| December | \$ 1,932,000 | \$ 23,947,000 | \$ 102,165,000 |
| Totals - 2013 | \$ 229,286,000 | \$ 234,286,000 | \$ 102,165,000 |

| | | | |
|----------------------|-----------------------|-----------------------|----------------------|
| 2014 | | | |
| January | \$ 11,870,000 | \$ 25,508,000 | \$ 88,527,000 |
| February | \$ 13,435,000 | \$ 23,548,000 | \$ 78,414,000 |
| March | \$ 1,966,000 | \$ 24,909,000 | \$ 55,471,000 |
| April | \$ 32,023,000 | \$ 18,904,000 | \$ 68,590,000 |
| May | \$ 75,811,000 | \$ 14,612,000 | \$ 129,789,000 |
| June | \$ 2,894,000 | \$ 20,913,000 | \$ 111,770,000 |
| July | \$ 23,263,000 | \$ 16,926,000 | \$ 118,107,000 |
| August | \$ 27,921,000 | \$ 15,139,000 | \$ 130,889,000 |
| September | \$ 2,288,000 | \$ 16,319,000 | \$ 116,858,000 |
| October | \$ 15,118,000 | \$ 14,505,000 | \$ 117,471,000 |
| November | \$ 17,135,000 | \$ 15,399,000 | \$ 119,207,000 |
| December | \$ 1,803,000 | \$ 25,656,000 | \$ 95,354,000 |
| Totals - 2014 | \$ 225,527,000 | \$ 232,338,000 | \$ 95,354,000 |

The average unemployment rate for West Virginia in CY 2013 was 6.5%

The average projected unemployment rate for West Virginia for CY 2014 is 6.2%

Executive Division
112 California Avenue
Charleston, WV 25305

An agency of the Department of Commerce

An equal opportunity employer/program and auxiliary aids are available upon request to individuals with disabilities.

www.workforcewv.org



Financial Statements

May 2014

West Virginia Legislative Interims
September 2014

West Virginia Retiree Health Benefit Trust Fund
STATEMENT OF CHANGES IN PLAN NET POSITION
For the Eleven Months Ending Saturday, May 31, 2014
(In Thousands)

| <u>ACTUAL</u> | <u>BUDGET</u> | <u>PRIOR YR</u> | <u>BUDGET VARIANCE</u> | | <u>PRIOR YR VARIANCE</u> | |
|---|------------------|------------------|------------------------------|-------------|--------------------------|--------------|
| | | | <u>\$</u> | <u>%</u> | <u>\$</u> | <u>%</u> |
| ADDITIONS | | | | | | |
| Employer Premiums: | | | | | | |
| \$2,988 | \$2,941 | \$2,964 | | | | |
| 123,290 | 122,948 | 124,410 | \$48 | 2% | \$25 | 1% |
| 971 | 0 | 336 | 342 | 0% | (1,120) | (1%) |
| <u>127,249</u> | <u>125,889</u> | <u>127,710</u> | 971 | 0% | 635 | 189% |
| Total Employer Premiums | | | | | | |
| | | | <u>1,360</u> | <u>1%</u> | <u>(461)</u> | <u>(0%)</u> |
| Member Premiums: | | | | | | |
| 70,815 | 70,581 | 68,994 | | | | |
| 24,887 | 24,472 | 25,273 | 234 | 0% | 1,820 | 3% |
| 20,504 | 20,671 | 19,934 | 415 | 2% | (386) | (2%) |
| <u>116,205</u> | <u>115,724</u> | <u>114,201</u> | (168) | (1%) | 570 | 3% |
| Total Member Premiums | | | | | | |
| | | | <u>482</u> | <u>0%</u> | <u>2,004</u> | <u>2%</u> |
| Total Premium Additions | | | | | | |
| | | | <u>1,842</u> | <u>1%</u> | <u>1,543</u> | <u>1%</u> |
| Other Additions: | | | | | | |
| 880 | 1,375 | 1,000 | | | | |
| 0 | 0 | 3,587 | (495) | (36%) | (120) | (12%) |
| 78,883 | 34,146 | 63,073 | 0 | 0% | (3,587) | (100%) |
| <u>323,217</u> | <u>277,133</u> | <u>309,571</u> | 44,738 | 131% | 15,810 | 25% |
| TOTAL ADDITIONS | | | | | | |
| | | | <u>46,084</u> | <u>17%</u> | <u>13,646</u> | <u>4%</u> |
| DEDUCTIONS | | | | | | |
| 122,709 | 121,405 | 101,515 | | | | |
| 20,256 | 20,671 | 20,033 | (1,304) | (1%) | (21,194) | (21%) |
| 62,730 | 69,094 | 57,403 | 415 | 2% | (224) | (1%) |
| 24,998 | 26,018 | 23,231 | 6,363 | 9% | (5,328) | (9%) |
| 33 | 0 | 46 | 1,020 | 4% | (1,768) | (8%) |
| 462 | 0 | 0 | (33) | 0% | 13 | 28% |
| 1,598 | 1,968 | 1,672 | (462) | 0% | (462) | 0% |
| 2,474 | 3,533 | 2,707 | 370 | 19% | 74 | 4% |
| <u>235,261</u> | <u>242,689</u> | <u>206,606</u> | 1,059 | 30% | 233 | 9% |
| TOTAL DEDUCTIONS | | | | | | |
| | | | <u>7,428</u> | <u>3%</u> | <u>(28,655)</u> | <u>(14%)</u> |
| <u>87,956</u> | <u>34,445</u> | <u>102,965</u> | NET POSITION INCREASE | | | |
| | | | <u>53,512</u> | <u>155%</u> | <u>(15,008)</u> | <u>(15%)</u> |
| Net Position Restricted for Post Employment Benefits | | | | | | |
| 590,230 | 590,230 | 492,779 | | | | |
| <u>\$678,186</u> | <u>\$624,674</u> | <u>\$595,744</u> | 0 | (0%) | 97,451 | 20% |
| | | | <u>\$53,512</u> | <u>9%</u> | <u>\$82,442</u> | <u>14%</u> |
| | | | Beginning of period | | | |
| | | | End of period | | | |

**West Virginia Board of Risk and Insurance Management
UNAUDITED BALANCE SHEET**



July 31

| | 2014 | 2013 |
|--|-------------------|-------------------|
| | (in thousands) | |
| ASSETS | | |
| Short Term Assets | | |
| Cash and Equivalents | \$ 26,185 | \$ 22,782 |
| Advance Deposit with Carrier/Trustee | 190,876 | 205,038 |
| Receivables - Net | 8,654 | 9,005 |
| Prepaid Insurance | | 4,107 |
| Total Short Term Assets | 225,715 | 240,932 |
| Long Term Assets | | |
| Investments | 146,662 | 141,803 |
| Total Long Term Assets | 146,662 | 141,803 |
| TOTAL ASSETS | 372,377 | 382,735 |
| LIABILITIES | | |
| Short Term Liabilities | | |
| Accounts payable | 1,098 | 10,316 |
| Claims Payable | - | 200 |
| OPEB Liability | 372 | 372 |
| Agents Commissions Payable | 939 | 934 |
| Unearned Revenue | 7,518 | 9,668 |
| Current Estimated Claim Reserve | 49,793 | 49,793 |
| Total Short Term Liabilities | 59,720 | 71,283 |
| Long Term Liabilities | | |
| Compensated Absences | 64 | 64 |
| Estimated Noncurrent Claim Reserve | 104,262 | 93,776 |
| Total Long Term Liabilities | 104,326 | 93,840 |
| TOTAL LIABILITIES | 164,046 | 165,123 |
| Prior Year Net Assets | 214,203 | 216,653 |
| Current Year Earnings | (5,872) | 959 |
| TOTAL NET ASSETS | 208,331 | 217,612 |
| TOTAL LIABILITIES AND RETAINED EARNINGS | \$ 372,377 | \$ 382,735 |

DRAFT - Unaudited - Management Purposes Only

West Virginia Board of Risk and Insurance Management
UNAUDITED INCOME STATEMENT
For the month ended



| | July 31 | |
|----------------------------------|-----------------------|-------------|
| | 2014 | 2013 |
| | (in thousands) | |
| Operating Revenues | | |
| Premium Revenues | \$ 4,894 | \$ 4,266 |
| Less - Excess Insurance | (484) | (507) |
| Total Operating Revenues | 4,410 | 3,759 |
| Operating Expenses | | |
| Claims Expense | 8,861 | 4,118 |
| Property & MS Claims Expense | 385 | 546 |
| Personal Services | 117 | 121 |
| General & Administrative Expense | 203 | 355 |
| Total Operating Expenses | 9,566 | 5,140 |
| Operating Income (Loss) | (5,156) | (1,381) |
| Nonoperating Revenues | | |
| Investment Income | (716) | 2,340 |
| Total Nonoperating Revenues | (716) | 2,340 |
| Net Income | \$ (5,872) | \$ 959 |

DRAFT - Unaudited - Management Purposes Only

Joint Committee on Government & Finance

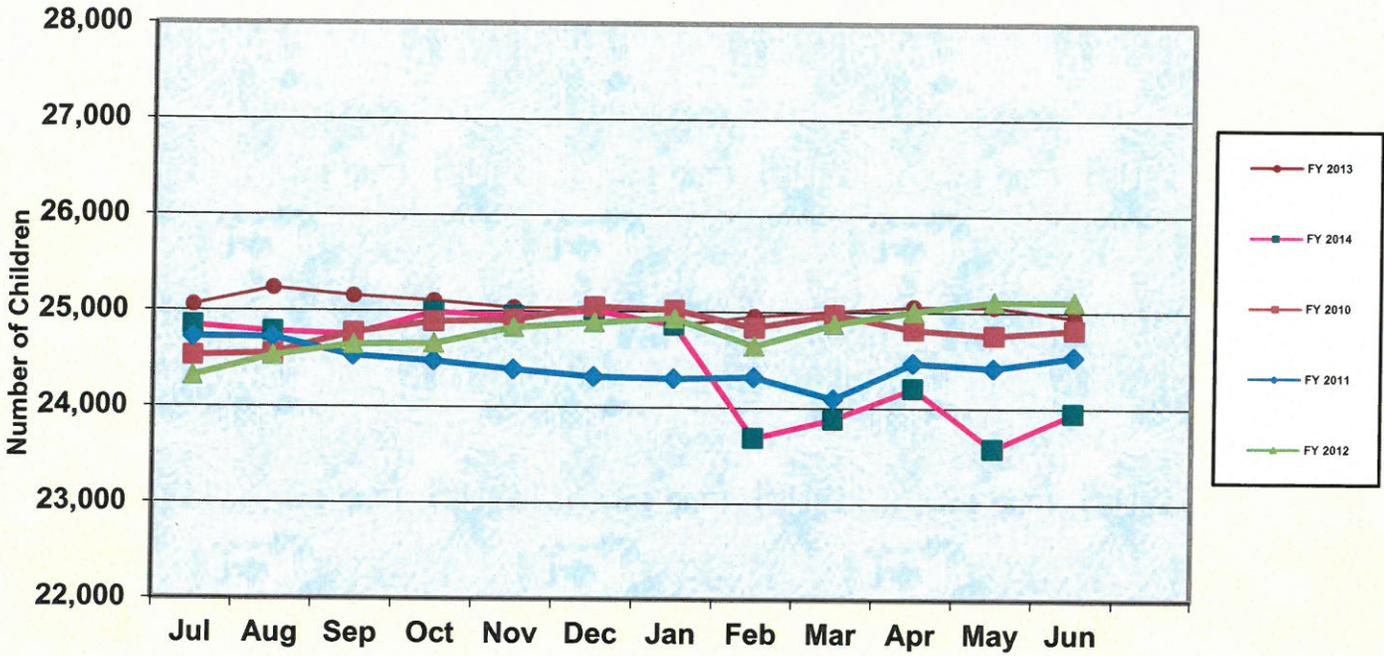
SEPTEMBER 2014

Department of Administration

State Children's Health Insurance Program
UPDATE

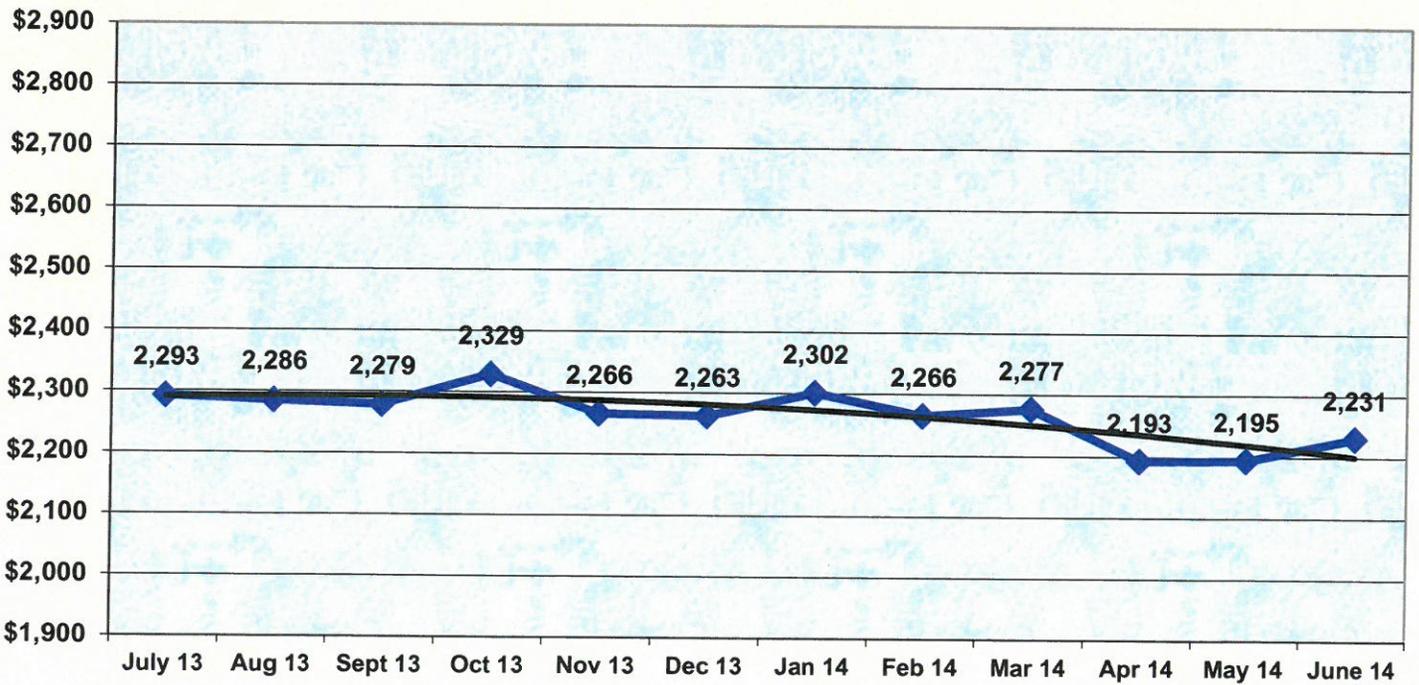


WV CHIP Enrollment



June 30th Enrollment 23,955

Annualized Health Care Expenditures (Cost per Child)



**West Virginia Children's Health Insurance Program
Comparative Balance Sheet
June 2014 and 2013
(Accrual Basis)**

| | June 30, 2014 | June 30, 2013 | Variance | |
|---------------------------------------|-------------------------|-------------------------|--------------------------|-----------------|
| Assets: | | | | |
| Cash & Cash Equivalents | \$13,182,762 | \$14,321,126 | (\$1,138,364) | -8% |
| Due From Federal Government | \$4,424,712 | \$4,132,444 | \$292,268 | 7% |
| Due From Other Funds | \$1,122,820 | \$1,005,495 | \$117,325 | 12% |
| Accrued Interest Receivable | \$8,760 | \$6,823 | \$1,937 | 28% |
| Fixed Assets, at Historical Cost | <u>\$93,386</u> | <u>\$95,744</u> | <u>(\$2,357)</u> | <u>-2%</u> |
| Total Assets | <u>\$18,832,441</u> | <u>\$19,561,632</u> | <u>(\$729,191)</u> | <u>-4%</u> |
| Liabilities: | | | | |
| Accounts Payable | \$227,532 | \$186,160 | \$41,372 | 22% |
| Deferred Revenue | (\$651,457) | \$1,482,369 | (\$2,133,826) | -144% |
| Unpaid Insurance Claims Liability | <u>\$5,320,000</u> | <u>\$4,951,779</u> | <u>\$368,221</u> | <u>7%</u> |
| Total Liabilities | <u>\$4,896,075</u> | <u>\$6,620,308</u> | <u>(\$1,724,233)</u> | <u>-26%</u> |
| Fund Equity | <u>\$13,936,366</u> | <u>\$12,941,324</u> | <u>\$995,042</u> | <u>8%</u> |
| Total Liabilities and Fund Equity | <u>\$18,832,441</u> | <u>\$19,561,632</u> | <u>(\$729,191)</u> | <u>-4%</u> |

PRELIMINARY FINANCIAL STATEMENTS

Unaudited - For Management Purposes Only - Unaudited

West Virginia Children's Health Insurance Program
Comparative Statement of Revenues, Expenditures and Changes in Fund Balances
For the Twelve Months Ending June 30, 2014 and June 30, 2013
(Modified Accrual Basis)

| | June 30, 2014 | June 30, 2013 | Variance | |
|---------------------------------------|--------------------------|--------------------------|---------------------------|-------------------|
| Revenues | | | | |
| Federal Grants | 45,566,312 | 47,256,492 | (1,690,180) | -4% |
| State Appropriations | 9,987,748 | 10,925,578 | (937,830) | -9% |
| Premium Revenues | 909,555 | 862,043 | 47,512 | 6% |
| Investment Income: | | | | |
| Investment Earnings | <u>80,324</u> | <u>78,432</u> | <u>1,892</u> | <u>2%</u> |
| Total Revenues | <u>56,543,939</u> | <u>59,122,545</u> | <u>(2,578,606)</u> | <u>-4%</u> |
| Expenditures: | | | | |
| Claims: | | | | |
| Outpatient Services | 14,340,789 | 15,078,062 | (737,273) | -5% |
| Prescribed Drugs | 9,469,070 | 12,238,690 | (2,769,620) | -23% |
| Physicians & Surgical | 9,223,375 | 9,554,564 | (331,189) | -3% |
| Dental | 7,947,467 | 8,262,262 | (314,795) | -4% |
| Inpatient Hospital Services | 4,561,218 | 4,181,422 | 379,796 | 9% |
| Outpatient Mental Health | 1,637,123 | 1,637,170 | (47) | 0% |
| Inpatient Mental Health | 1,538,886 | 1,198,865 | 340,021 | 28% |
| Durable & Disposable Med. Equip. | 1,248,194 | 1,008,706 | 239,488 | 24% |
| Vision | 835,214 | 834,924 | 290 | 0% |
| Therapy | 715,596 | 752,243 | (36,647) | -5% |
| Medical Transportation | 394,978 | 431,872 | (36,894) | -9% |
| Other Services | 138,754 | 145,148 | (6,394) | -4% |
| Less: Collections** | <u>(1,058,276)</u> | <u>(439,783)</u> | <u>(618,493)</u> | <u>141%</u> |
| Total Claims | <u>50,992,388</u> | <u>54,884,145</u> | <u>(3,891,757)</u> | <u>-7%</u> |
| General and Admin Expenses: | | | | |
| Salaries and Benefits | 622,481 | 562,452 | 60,029 | 11% |
| Program Administration | 2,807,330 | 2,562,568 | 244,762 | 10% |
| Eligibility | 206,135 | 392,340 | (186,205) | -47% |
| Outreach & Health Promotion | 748,182 | 982,223 | (234,041) | -24% |
| Current | <u>206,104</u> | <u>160,013</u> | <u>46,091</u> | <u>29%</u> |
| Total Administrative | <u>4,590,232</u> | <u>4,659,596</u> | <u>(69,364)</u> | <u>-1%</u> |
| Total Expenditures | <u>55,582,620</u> | <u>59,543,741</u> | <u>(3,961,121)</u> | <u>-7%</u> |
| Excess of Revenues | | | | |
| Over (Under) Expenditures | 961,319 | (421,196) | 1,382,515 | -328% |
| Unrealized Gain(loss) On Investments* | 33,724 | 16,081 | 17,642 | 110% |
| Fund Equity, Beginning | <u>12,941,324</u> | <u>13,346,439</u> | <u>(405,115)</u> | <u>-3%</u> |
| Fund Equity, Ending | <u>13,936,366</u> | <u>12,941,324</u> | <u>995,042</u> | <u>8%</u> |

* Short Term Bond Fund Investment began in November 2009

** Collections are primarily drug rebates and subrogation

PRELIMINARY FINANCIAL STATEMENTS

Unaudited - For Management Purposes Only - Unaudited

**West Virginia Children's Health Insurance Program
Budget to Actual Statement
State Fiscal Year 2014
For the Twelve Months Ended June 30, 2014**

| | Budgeted for Year | Year to Date Budgeted Amt | Year to Date Actual Amt | Year to Date Variance* | | Monthly Budgeted Amt | Actual Amt Jun-14 | Actual Amt May-14 | Actual Amt Apr-14 |
|-------------------------|------------------------------|--------------------------------------|------------------------------------|-----------------------------------|-------------|---------------------------------|------------------------------|------------------------------|------------------------------|
| Projected Cost | \$47,897,012 | \$47,897,012 | \$54,064,849 | (\$6,167,837) | -13% | \$3,991,418 | \$4,961,788 | \$5,029,684 | \$3,418,467 |
| Premiums | 969,397 | 969,397 | \$909,555 | (\$59,842) | -6% | 80,783 | 74,773 | 83,146 | 30,405 |
| Subrogation & Rebates | <u>457,374</u> | <u>457,374</u> | <u>\$1,058,276</u> | <u>600,902</u> | <u>131%</u> | <u>38,115</u> | <u>153,468</u> | <u>38,092</u> | <u>0</u> |
| Net Benefit Cost | 46,470,241 | 46,470,241 | \$52,097,018 | (\$5,626,777) | -12% | 3,907,458 | 4,733,547 | 4,908,446 | 3,388,062 |
| Salaries & Benefits | \$710,522 | \$710,522 | \$622,484 | \$88,038 | 12% | \$59,210 | \$51,037 | \$51,442 | \$51,037 |
| Program Administration | 3,024,544 | 3,024,544 | \$2,733,054 | 291,490 | 10% | 252,045 | 266,144 | 241,805 | 310,474 |
| Eligibility | 400,000 | 400,000 | \$237,137 | 162,863 | 41% | 33,333 | 510 | 3,825 | 4,378 |
| Outreach & Health Prom. | 1,000,000 | 1,000,000 | \$754,046 | 245,954 | 25% | 83,333 | 159,723 | 0 | 14,387 |
| Current Expense | <u>170,000</u> | <u>170,000</u> | <u>\$202,140</u> | <u>(32,140)</u> | <u>-19%</u> | <u>14,167</u> | <u>25,348</u> | <u>19,730</u> | <u>12,149</u> |
| Total Admin Cost | \$5,305,066 | \$5,305,066 | \$4,548,861 | \$756,205 | 14% | \$442,089 | \$502,762 | \$316,802 | \$392,425 |
| Total Program Cost | <u>\$51,775,307</u> | <u>\$51,775,307</u> | <u>\$56,645,879</u> | <u>(\$4,870,572)</u> | <u>-9%</u> | <u>\$4,349,547</u> | <u>\$5,236,309</u> | <u>\$5,225,248</u> | <u>\$3,780,487</u> |
| Federal Share 79.76% | 41,642,879 | 41,642,879 | \$45,270,769 | (3,627,890) | -9% | 3,469,199 | 4,176,480 | 4,167,658 | 3,015,316 |
| State Share 20.24% | <u>10,132,428</u> | <u>10,132,428</u> | <u>\$11,375,109</u> | <u>(1,242,682)</u> | <u>-12%</u> | <u>880,348</u> | <u>1,059,829</u> | <u>1,057,590</u> | <u>765,171</u> |
| Total Program Cost ** | <u>\$51,775,307</u> | <u>\$51,775,307</u> | <u>\$56,645,879</u> | <u>(\$4,870,572)</u> | <u>-9%</u> | <u>\$4,349,547</u> | <u>\$5,236,309</u> | <u>\$5,225,248</u> | <u>\$3,780,487</u> |

* Positive percentages indicate favorable variances

** Budgeted Year Based on CCRC Actuary 6/30/2013 Report.

Unaudited - Cash Basis For Management Purposes Only - Unaudited

Memo for Calculations Above:

Notes:

1/. Total budgeted for Year Program costs are CCRC Actuary's Base Line Scenerio dated 6/30/13 Final worksheet Net Paid Program Costs.

2/. Federal Share for FFY 2014 is 79.76%. Federal Share for FFY 2013 (10/1/12 - 9/30/13) is set at 80.43%.

WVCHIP Enrollment Report

ATTACHMENT 1

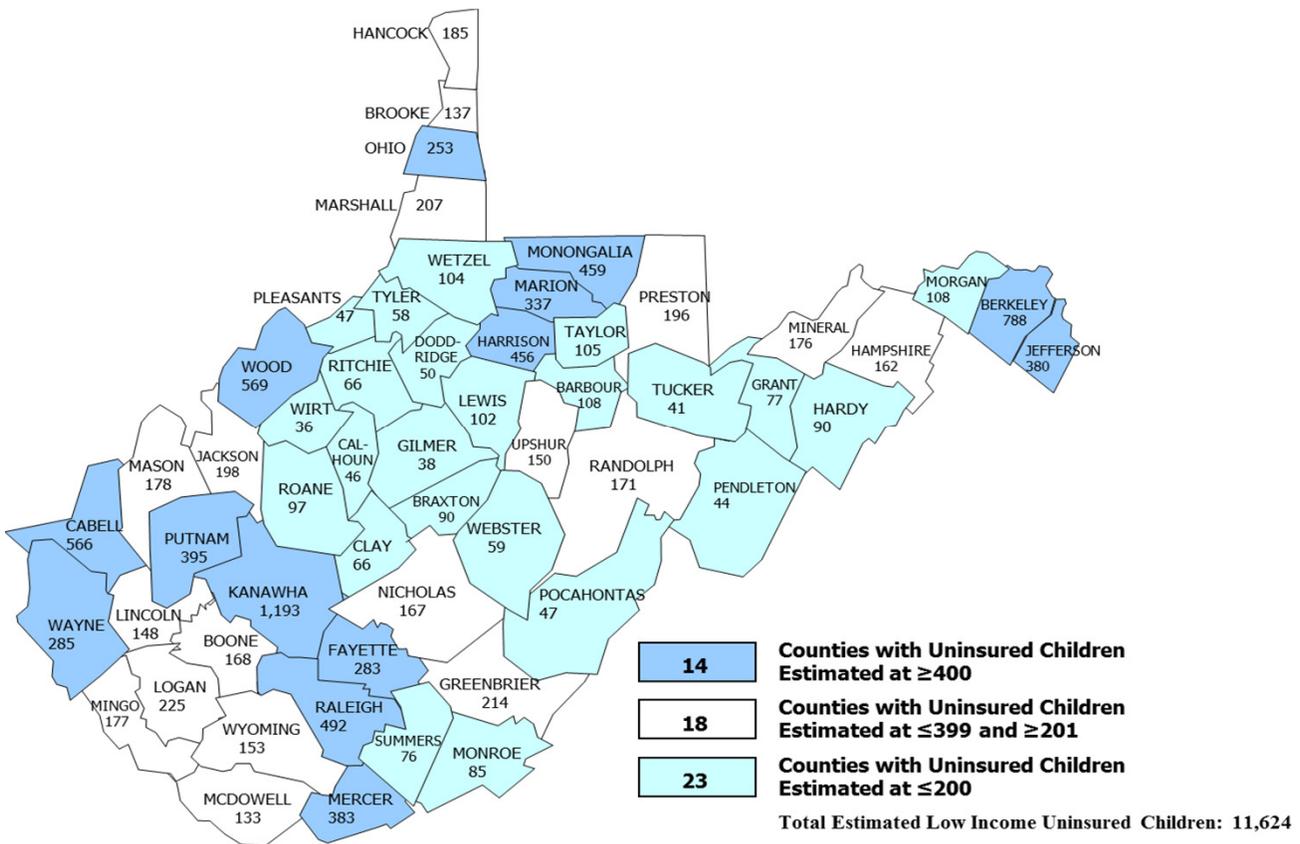
August 2014

| County | County Pop. 2010 Est. (0-18 Yrs) | Total CHIP Enrollment Aug-14 | Total Medicaid Enrollment Aug-14 | Total CHIP/Medicaid Enrollment | CHIP/Medicaid Enrollment % of Population | 2010 Est. Uninsured 3% | 2010 # Children Uninsured Ranking* |
|------------|--|------------------------------------|--|--------------------------------------|--|---------------------------------|---|
| Barbour | 3,600 | 269 | 1,693 | 1,962 | 54.5% | 108 | 33 |
| Berkeley | 26,251 | 1,384 | 10,397 | 11,781 | 44.9% | 788 | 2 |
| Boone | 5,615 | 281 | 3,062 | 3,343 | 59.5% | 168 | 25 |
| Braxton | 3,006 | 220 | 1,630 | 1,850 | 61.5% | 90 | 40 |
| Brooke | 4,573 | 236 | 1,687 | 1,923 | 42.1% | 137 | 31 |
| Cabell | 18,879 | 968 | 9,361 | 10,329 | 54.7% | 566 | 4 |
| Calhoun | 1,518 | 122 | 806 | 928 | 61.1% | 46 | 51 |
| Clay | 2,215 | 163 | 1,406 | 1,569 | 70.8% | 66 | 44 |
| Doddridge | 1,673 | 143 | 686 | 829 | 49.5% | 50 | 48 |
| Fayette | 9,438 | 779 | 5,235 | 6,014 | 63.7% | 283 | 13 |
| Gilmer | 1,260 | 70 | 566 | 636 | 50.5% | 38 | 54 |
| Grant | 2,555 | 148 | 1,042 | 1,190 | 46.6% | 77 | 42 |
| Greenbrier | 7,131 | 518 | 3,454 | 3,972 | 55.7% | 214 | 16 |
| Hampshire | 5,392 | 247 | 2,392 | 2,639 | 48.9% | 162 | 27 |
| Hancock | 6,166 | 348 | 2,662 | 3,010 | 48.8% | 185 | 20 |
| Hardy | 3,015 | 166 | 1,519 | 1,685 | 55.9% | 90 | 39 |
| Harrison | 15,202 | 962 | 6,208 | 7,170 | 47.2% | 456 | 7 |
| Jackson | 6,602 | 371 | 2,997 | 3,368 | 51.0% | 198 | 18 |
| Jefferson | 12,679 | 527 | 3,743 | 4,270 | 33.7% | 380 | 10 |
| Kanawha | 39,771 | 2,217 | 19,162 | 21,379 | 53.8% | 1,193 | 1 |
| Lewis | 3,389 | 214 | 1,779 | 1,993 | 58.8% | 102 | 37 |
| Lincoln | 4,930 | 313 | 3,056 | 3,369 | 68.3% | 148 | 30 |
| Logan | 7,496 | 451 | 4,485 | 4,936 | 65.9% | 225 | 15 |
| Marion | 11,227 | 613 | 5,009 | 5,622 | 50.1% | 337 | 11 |
| Marshall | 6,886 | 318 | 2,939 | 3,257 | 47.3% | 207 | 17 |
| Mason | 5,929 | 243 | 2,877 | 3,120 | 52.6% | 178 | 21 |
| McDowell | 4,423 | 235 | 3,300 | 3,535 | 79.9% | 133 | 32 |
| Mercer | 12,764 | 960 | 7,485 | 8,445 | 66.2% | 383 | 9 |
| Mineral | 5,868 | 258 | 2,307 | 2,565 | 43.7% | 176 | 23 |
| Mingo | 5,905 | 326 | 3,707 | 4,033 | 68.3% | 177 | 22 |
| Monongalia | 15,294 | 763 | 5,076 | 5,839 | 38.2% | 459 | 6 |
| Monroe | 2,835 | 211 | 1,213 | 1,424 | 50.2% | 85 | 41 |
| Morgan | 3,596 | 236 | 1,551 | 1,787 | 49.7% | 108 | 34 |
| Nicholas | 5,561 | 384 | 2,919 | 3,303 | 59.4% | 167 | 26 |
| Ohio | 8,444 | 469 | 3,357 | 3,826 | 45.3% | 253 | 14 |
| Pendleton | 1,462 | 110 | 577 | 687 | 47.0% | 44 | 52 |
| Pleasants | 1,551 | 126 | 612 | 738 | 47.6% | 47 | 50 |
| Pocahontas | 1,561 | 149 | 802 | 951 | 60.9% | 47 | 49 |
| Preston | 6,536 | 394 | 2,867 | 3,261 | 49.9% | 196 | 19 |
| Putnam | 13,150 | 719 | 4,154 | 4,873 | 37.1% | 395 | 8 |
| Raleigh | 16,403 | 1,176 | 8,715 | 9,891 | 60.3% | 492 | 5 |
| Randolph | 5,705 | 470 | 2,811 | 3,281 | 57.5% | 171 | 24 |
| Ritchie | 2,205 | 140 | 980 | 1,120 | 50.8% | 66 | 45 |
| Roane | 3,239 | 270 | 1,802 | 2,072 | 64.0% | 97 | 38 |
| Summers | 2,521 | 167 | 1,342 | 1,509 | 59.9% | 76 | 43 |
| Taylor | 3,514 | 211 | 1,436 | 1,647 | 46.9% | 105 | 35 |
| Tucker | 1,371 | 111 | 560 | 671 | 48.9% | 41 | 53 |
| Tyler | 1,924 | 99 | 865 | 964 | 50.1% | 58 | 47 |

WVCHIP Enrollment Report

August 2014

| County | County Pop. 2010 Est. (0-18 Yrs) | Total CHIP Enrollment Aug-14 | Total Medicaid Enrollment Aug-14 | Total CHIP/Medicaid Enrollment | CHIP/Medicaid % of Population | 2010 Est. Uninsured 3% | 2010 # Children Uninsured Ranking* |
|---------------|----------------------------------|------------------------------|----------------------------------|--------------------------------|-------------------------------|------------------------|------------------------------------|
| Upshur | 4,996 | 345 | 2,615 | 2,960 | 59.2% | 150 | 29 |
| Wayne | 9,516 | 440 | 4,742 | 5,182 | 54.5% | 285 | 12 |
| Webster | 1,977 | 134 | 1,230 | 1,364 | 69.0% | 59 | 46 |
| Wetzel | 3,466 | 216 | 1,714 | 1,930 | 55.7% | 104 | 36 |
| Wirt | 1,201 | 78 | 718 | 796 | 66.3% | 36 | 55 |
| Wood | 18,956 | 1,028 | 9,143 | 10,171 | 53.7% | 569 | 3 |
| Wyoming | 5,116 | 372 | 2,820 | 3,192 | 62.4% | 153 | 28 |
| Totals | 387,459 | 22,888 | 181,273 | 204,161 | 52.7% | 11,624 | |



Note 1: The most recent estimate for all uninsured children statewide from the US Census Current Population Survey is 3%. It should be noted that even this three percent extrapolation to the county level could vary significantly from county to county depending on the availability of employee sponsored insurance. However, it remains our best gross estimate of the remaining uninsured children.

Note 2: It has been estimated that 7 of 10 uninsured children qualify or may have qualified for CHIP or Medicaid in the past, WVCHIP uses the 3% uninsured estimate as a target number for outreach.

Department of Administration Real Estate Division Leasing Report
For the period of August 1, 2014 through August 31, 2014

NEW CONTRACT OF LEASE

WEST VIRGINIA ACCOUNTANCY BOARD

ACC-005 New Contract of Lease for 5 years consisting of 2,000 square feet of office space at the annual per square foot rate of \$15.00, annual cost \$30,000.00, full service, 405 Capitol Street, Suite 908, in the City of Charleston, Kanawha County, West Virginia.

SMALL BUSINESS DEVELOPMENT CENTER

SBD-005 New Contract of Lease for 2 years consisting of 139 square feet of office space at the monthly rate of \$125.00, annual cost \$1,500.00, full service, 891 Auto Parts Place, in the City of Martinsburg, Berkeley County, West Virginia.

NEW CONTRACT OF LEASE – DOA OWNED

GENERAL SERVICES DIVISION

GSD-009-615 New Contract of Lease for 1 year consisting of 3,296 square feet of office space at the annual per square foot rate of \$9.73, annual cost \$32,070.08, full service, Building #7, 1900 Kanawha Boulevard, East, in the City of Charleston, Kanawha County, West Virginia.

STRAIGHT RENEWAL

WEST VIRGINIA BOARD OF LICENSED DIETITIANS

BLD-001 Renewal for 1 year consisting of 250 square feet of office space at the current monthly rate of \$131.33, annual cost \$1,575.96, full service, 723 Kanawha Boulevard, in the City of Charleston, Kanawha County, West Virginia.

DEPARTMENT OF ENVIRONMENTAL PROTECTION

DEP-136 Renewal for 5 years consisting of tower/monitoring space at the current annual rate of \$1.00, New Manchester Elementary School in the City of New Manchester, Hancock County, West Virginia.

DEP-140 Renewal for 5 years consisting of tower/monitoring space at the current annual rate of \$1.00, Maxwell Hill Elementary School in the City of Beckley, Raleigh County, West Virginia.

DEP-135 Renewal for 5 years consisting of tower/monitoring space at the current annual rate of \$1.00, AT.T. Allison Elementary School in the City of Chester, Hancock County, West Virginia.

DEP-179 Renewal for 1 year consisting of tower/monitoring space at the current annual rate of \$240.00, the waste water treatment facility in the City of Parkersburg, Wood County, West Virginia.

DEP-138 Renewal for 5 years consisting of tower/monitoring space at the current annual rate of \$1.00, Route 60 at the Department of Highways Yard, in the City of Clintonville, Greenbrier County, West Virginia.

STRAIGHT RENEWAL - CONTINUED

WEST VIRGINIA CONSERVATION AGENCY

SCC-005 Renewal for 3 year(s) consisting of 300 square feet of office space at the current monthly of \$375.00, annual cost \$4,500.00, full service, 16346 Barbour County Hwy, in the City of Philippi, Barbour County, West Virginia.

DIVISION OF JUVENILE SERVICES

DJS-019 Renewal for 5 years consisting of 2,400 square feet of office space at the current annual per square foot rate of \$12.00, annual cost \$28,800.00, 3266 Winfield Road, in the City of Winfield, Putnam County, West Virginia.

DEPARTMENT OF VETERANS ASSISTANCE

VET-004 Renewal on a month to month basis consisting of 520 square feet of office space at the current annual per square foot rate of \$6.92, annual cost \$3,600.00, full service, 225 North Main Street, in the City of Moorefield, Hardy County, West Virginia.

ENTERPRISE RESOURCE PLANNING BOARD

ERP-004 Renewal for 1 year consisting of 82 parking spaces at the current monthly rate of \$1,600.00, annual cost \$19,200.00, 320 MacCorkle Avenue, in the City of Charleston, Kanawha County, West Virginia.

COUNCIL OF THREE RIVERS

CTR-001 Renewal for 1 year consisting of 250 square feet of office space at the current monthly rate of \$200.00, annual cost \$2,400.00, full service, 1321 Plaza East in the City of Charleston, Kanawha County, West Virginia.

RENEWAL WITH INCREASE IN RENT

DIVISION OF NATURAL RESOURCES

NAT-125 Renewal on a month-to-month basis consisting of 200 square feet of storage space with an increase in the monthly rate from \$117.00 to \$140.00, annual cost \$1,680.00, 1105 Jefferson Street, in the City of South Charleston, Kanawha County, West Virginia.

WEST VIRGINIA STATE POLICE

PSA-050 Renewal for 2 years consisting of 1,136 square feet of office space with an increase in the annual per square foot rate from \$6.34 to \$6.87, annual cost \$7,804.32, State Route 16, in the City of Grantsville, Calhoun County, West Virginia.

WEST VIRGINIA STATE TAX DEPARTMENT

TAX-039 Renewal for 5 years consisting of 256 square feet of office space with an increase in the monthly cost from \$400.00 to \$450.00 annual cost \$5,400.00 annually, full service, 103 Court Street, in the City of Lewisburg, Greenbrier County, West Virginia.

CANCELLATION

SMALL BUSINESS DEVELOPMENT CENTER

SBD-001 Lease cancellation consisting of one office, at the monthly rate of \$570.00, annual cost \$6,840.00, full service, in the City of Martinsburg, Berkeley County, West Virginia.

WEST VIRGINIA ACCOUNTANCY BOARD

ACC-004 Lease cancellation consisting of 6,500 square feet of office space, at the annual per square foot rate of \$5.54, annual cost \$36,000.00, full service, in the City of Charleston, Kanawha County, West Virginia.

Real Estate Division
 Monthly Summary of Lease Activity
 August 1-31, 2014

| # of Transactions | Agency | Lease # | County | Square Feet | Rental Rate | Annual Rent | Term in years | Total Aggregate |
|-------------------|--|---------|------------|-------------|-------------|-------------|---------------|-----------------|
| 1 | West Virginia Accountancy Board | ACC-005 | Kanawha | 2,000 | 15.00 | 30,000 | 5 | 150,000 |
| 2 | Small Business Development Center | SBD-005 | Berkeley | 139 | 10.79 | 1,500 | 2 | 3,000 |
| 3 | General Services Division | GSD-009 | Kanawha | 3,296 | 9.73 | 32,070 | 1 | 32,070 |
| 4 | West Virginia Board of Licensed Dietitians | BLD-001 | Kanawha | 250 | 6.30 | 1,575 | 1 | 1,575 |
| 5 | Department of Environmental Protection | DEP-136 | Hancock | 0 | 0.00 | 1 | 5 | 5 |
| 6 | Department of Environmental Protection | DEP-140 | Raleigh | 0 | 0.00 | 1 | 5 | 5 |
| 7 | Department of Environmental Protection | DEP-135 | Hancock | 0 | 0.00 | 1 | 5 | 5 |
| 8 | Department of Environmental Protection | DEP-179 | Wood | 0 | 0.00 | 240 | 1 | 240 |
| 9 | Department of Environmental Protection | DEP-138 | Greenbrier | 0 | 0.00 | 1 | 1 | 1 |
| 10 | West Virginia Conservation Agency | SCC-005 | Barbour | 300 | 15.00 | 4,500 | 3 | 13,500 |
| 11 | Division of Juvenile Services | DJS-019 | Putnam | 2,400 | 12.00 | 28,800 | 5 | 144,000 |
| 12 | Department of Veterans Assistance | VET-004 | Hardy | 520 | 6.92 | 3,598 | 1 | 3,598 |
| 13 | Enterprise Resource Planning Board | ERP-004 | Kanawha | 0 | 0.00 | 19,200 | 1 | 19,200 |
| 14 | Council of Three Rivers | CTR-001 | Kanawha | 250 | 9.60 | 2,400 | 1 | 2,400 |
| 15 | Division of Natural Resources | NAT-125 | Kanawha | 200 | 8.40 | 1,680 | 1 | 1,680 |
| 16 | West Virginia State Police | PSA-050 | Calhoun | 1,136 | 6.87 | 7,804 | 2 | 15,609 |
| 17 | West Virginia Tax Department | TAX-039 | Greenbrier | 256 | 21.10 | 5,400 | 5 | 27,000 |

121.71
Total Rentable Square Feet 10,747
Average Annual Rental Rate 11.06
Total Annual Rent 138,772

CANCELLATIONS

| # of Transactions | Agency | Lease # | County | Square Feet | Rental Rate | Annual Rent |
|-------------------|-----------------------------------|---------|----------|-------------|-------------|-------------|
| 1 | Small Business Development Center | SBD-001 | Berkeley | 0 | 0.00 | 6,840 |
| 2 | West Virginia Accountancy Board | ACC-004 | Kanawha | 6,500 | 5.54 | 36,000 |

Total Rentable Square Feet 6,500

Joint Committee on Government and Finance

September 2014

Department of Health and Human Resources

MEDICAID REPORT

July 2014 Data

WV DEPARTMENT OF HEALTH AND HUMAN RESOURCES
 BUREAU FOR MEDICAL SERVICES
 EXPENDITURES BY PROVIDER TYPE
 SFY2015

MONTH OF JULY 2014

| | ACTUALS | TOTAL | ACTUALS | Estimate | ACTUALS | PROJECTED |
|---|---------------|---------------|-----------------------------------|-----------------------------------|---------------------------------|---------------------------|
| | SFY2014 | SFY2015 | Current Month Ended 7/31/14 | Current Month Ended 7/31/14 | Year To-Date Thru 7/31/14 | 8/1/14 Thru 6/30/15 |
| EXPENDITURES: | | | | | | |
| Inpatient Hospital - Reg. Payments | 202,966,397 | 296,972,557 | 22,357,484 | 28,571,971 | 22,357,484 | 274,615,073 |
| Inpatient Hospital - DSH | 54,939,002 | 55,093,200 | - | - | - | 55,093,200 |
| Inpatient Hospital - Supplemental Payments | 95,218,203 | 99,243,734 | 5,301,925 | 5,301,925 | 5,301,925 | 93,941,809 |
| Inpatient Hospital - GME Payments | 5,949,840 | 6,326,500 | - | - | - | 6,326,500 |
| Mental Health Facilities | 87,806,454 | 122,932,546 | 12,899,054 | 12,149,853 | 12,899,054 | 110,033,492 |
| Mental Health Facilities - DSH Adjustment Payments | 18,887,345 | 18,890,400 | - | - | - | 18,890,400 |
| Nursing Facility Services - Regular Payments ⁽²⁾ | 559,628,460 | 578,734,134 | 46,924,677 | 48,253,130 | 46,924,677 | 531,809,457 |
| Nursing Facility Services - Supplemental Payments | - | - | - | - | - | - |
| Intermediate Care Facilities - Public Providers | - | - | - | - | - | - |
| Intermediate Care Facilities - Private Providers | 67,221,628 | 72,056,000 | 5,994,581 | 6,004,667 | 5,994,581 | 66,061,419 |
| Intermediate Care Facilities - Supplemental Payments | - | - | - | - | - | - |
| Physicians Services - Regular Payments | 116,054,513 | 155,238,580 | 18,774,577 | 14,939,780 | 18,774,577 | 136,464,003 |
| Physicians Services - Supplemental Payments | 28,165,918 | 27,215,100 | 5,530,076 | 6,803,775 | 5,530,076 | 21,685,024 |
| Physician and Surgical Services - Evaluation and Management | 29,338,785 | 18,441,600 | 1,383,586 | 1,773,231 | 1,383,586 | 17,058,014 |
| Physician and Surgical Services - Vaccine Codes | 110,721 | 75,600 | 3,054 | 7,269 | 3,054 | 72,546 |
| Outpatient Hospital Services - Regular Payments | 123,004,028 | 161,803,026 | 16,959,795 | 15,574,857 | 16,959,795 | 144,843,231 |
| Outpatient Hospital Services - Supplemental Payments | 69,977,049 | 72,726,937 | 92,177 | 986,952 | 92,177 | 72,634,760 |
| Prescribed Drugs | 287,304,468 | 372,497,808 | 43,441,552 | 36,200,365 | 43,441,552 | 329,056,256 |
| Drug Rebate Offset - National Agreement | (168,922,640) | (168,924,131) | (35,278,528) | (34,781,479) | (35,278,528) | (133,645,603) |
| Drug Rebate Offset - State Sidebar Agreement | (12,751,219) | (12,751,272) | (556,607) | (2,625,487) | (556,607) | (12,194,665) |
| Drug Rebate Offset - MCO National | (27,180,928) | (27,180,975) | (5,518,398) | (5,596,563) | (5,518,398) | (21,662,577) |
| Drug Rebate Offset - MCO State Sidebar Agreement | (2,850,937) | (2,850,937) | (507,358) | (587,008) | (507,358) | (2,343,579) |
| Dental Services | 45,190,521 | 30,845,122 | 2,729,451 | 2,969,867 | 2,729,451 | 28,115,671 |
| Other Practitioners Services - Regular Payments | 12,358,000 | 18,652,949 | 1,706,494 | 1,820,867 | 1,706,494 | 16,946,455 |
| Other Practitioners Services - Supplemental Payments | - | - | - | - | - | - |
| Clinic Services | 4,864,483 | 6,887,054 | 643,297 | 671,098 | 643,297 | 6,243,757 |
| Lab & Radiological Services | 29,768,394 | 40,200,330 | 4,136,921 | 3,879,309 | 4,136,921 | 36,063,409 |
| Home Health Services | 46,115,847 | 66,394,723 | 5,887,860 | 6,431,213 | 5,887,860 | 60,506,863 |
| Hysterectomies/Sterilizations | 190,026 | 223,510 | 31,646 | 21,491 | 31,646 | 191,864 |
| Pregnancy Terminations | - | - | - | - | - | - |
| EPSDT Services | 532,619 | 970,556 | 81,766 | 93,358 | 81,766 | 888,790 |
| Rural Health Clinic Services | 9,274,142 | 13,370,879 | 1,239,248 | 1,288,677 | 1,239,248 | 12,131,631 |
| Medicare Health Insurance Payments - Part A Premiums | 18,059,667 | 18,933,000 | - | 1,577,750 | - | 18,933,000 |
| Medicare Health Insurance Payments - Part B Premiums | 85,786,596 | 96,349,700 | 8,455,997 | 8,029,142 | 8,455,997 | 87,893,703 |
| 120% - 134% Of Poverty | 6,855,111 | 6,826,900 | 631,498 | 656,433 | 631,498 | 6,195,402 |
| 135% - 175% Of Poverty | - | - | - | - | - | - |
| Coinsurance And Deductibles | 8,655,028 | 9,076,700 | 1,061,311 | 872,760 | 1,061,311 | 8,015,389 |

**WV DEPARTMENT OF HEALTH AND HUMAN RESOURCES
BUREAU FOR MEDICAL SERVICES
EXPENDITURES BY PROVIDER TYPE
SFY2015**

| | MONTH OF JULY 2014 | | ACTUALS | TOTAL | ACTUALS | Estimate | ACTUALS | PROJECTED |
|--|---------------------------|----------------------|-----------------------------------|-----------------------------------|---------------------------------|---------------------------|----------------|------------------|
| | SFY2014 | SFY2015 | Current Month Ended 7/31/14 | Current Month Ended 7/31/14 | Year To-Date Thru 7/31/14 | 8/1/14 Thru 6/30/15 | | |
| Medicaid Health Insurance Payments: Managed Care Organizations (MCO) | 539,256,030 | 504,449,230 | 51,587,838 | 42,037,436 | 51,587,838 | 452,861,392 | | |
| Medicaid MCO - Evaluation and Management | 25,540,683 | 14,586,600 | 1,627,571 | 1,215,550 | 1,627,571 | 12,959,029 | | |
| Medicaid MCO - Vaccine Codes | 1,356,766 | 799,200 | 82,807 | 66,600 | 82,807 | 716,393 | | |
| Medicaid Health Insurance Payments: Prepaid Ambulatory Health Plan | - | - | - | - | - | - | | |
| Medicaid Health Insurance Payments: Prepaid Inpatient Health Plan | - | - | - | - | - | - | | |
| Medicaid Health Insurance Payments: Group Health Plan Payments | 466,213 | 475,900 | 45,887 | 45,760 | 45,887 | 430,013 | | |
| Medicaid Health Insurance Payments: Coinsurance | - | - | - | - | - | - | | |
| Medicaid Health Insurance Payments: Other | - | - | - | - | - | - | | |
| Home & Community-Based Services (MR/DD) | 353,850,681 | 377,765,200 | 31,791,288 | 36,323,577 | 31,791,288 | 345,973,912 | | |
| Home & Community-Based Services (Aged/Disabled) | 105,482,065 | 113,605,917 | 9,558,478 | 10,949,115 | 9,558,478 | 104,047,439 | | |
| Home & Community-Based Services (Traumatic Brain Injury) | 613,943 | 3,617,500 | 53,845 | 347,837 | 53,845 | 3,563,655 | | |
| Home & Community-Based Services (State Plan 1915(i) Only) | - | - | - | - | - | - | | |
| Home & Community-Based Services (State Plan 1915(j) Only) | - | - | - | - | - | - | | |
| Community Supported Living Services | - | - | - | - | - | - | | |
| Programs Of All-Inclusive Care Elderly | - | - | - | - | - | - | | |
| Personal Care Services - Regular Payments | 68,109,380 | 70,724,789 | 6,159,185 | 6,800,692 | 6,159,185 | 64,565,604 | | |
| Personal Care Services - SDS 1915(j) | - | - | - | - | - | - | | |
| Targeted Case Management Services - Com. Case Management | - | - | - | - | - | - | | |
| Targeted Case Management Services - State Wide | 2,704,666 | 3,829,030 | 283,404 | 369,036 | 283,404 | 3,545,626 | | |
| Primary Care Case Management Services | 135,444 | 186,888 | 9,903 | 17,970 | 9,903 | 176,985 | | |
| Hospice Benefits ⁽³⁾ | 27,186,553 | 41,011,855 | 2,382,284 | 3,943,448 | 2,382,284 | 38,629,571 | | |
| Emergency Services Undocumented Aliens | 266,289 | 476,654 | 10,854 | 45,832 | 10,854 | 465,800 | | |
| Federally Qualified Health Center | 22,567,905 | 33,064,597 | 3,965,762 | 3,186,244 | 3,965,762 | 29,098,835 | | |
| Non-Emergency Medical Transportation | 26,428,386 | 26,128,337 | 2,365,547 | 2,512,462 | 2,365,547 | 23,762,790 | | |
| Physical Therapy | 2,705,214 | 3,678,956 | 531,865 | 353,768 | 531,865 | 3,147,091 | | |
| Occupational Therapy | 475,840 | 660,048 | 58,576 | 63,466 | 58,576 | 601,472 | | |
| Services for Speech, Hearing & Language | 482,117 | 696,670 | 42,678 | 66,988 | 42,678 | 653,992 | | |
| Prosthetic Devices, Dentures, Eyeglasses | 1,866,935 | 2,663,040 | 250,969 | 256,217 | 250,969 | 2,412,071 | | |
| Diagnostic Screening & Preventive Services | 878,891 | 1,005,483 | 168,537 | 96,705 | 168,537 | 836,946 | | |
| Nurse Mid-Wife | 264,176 | 576,179 | 41,516 | 55,402 | 41,516 | 534,663 | | |
| Emergency Hospital Services | (3,569) | (3,700) | 192 | (356) | 192 | (3,892) | | |
| Critical Access Hospitals | 41,779,836 | 63,464,847 | 6,881,455 | 6,104,099 | 6,881,455 | 56,583,392 | | |
| Nurse Practitioner Services | 2,455,160 | 5,257,135 | 366,965 | 505,713 | 366,965 | 4,890,170 | | |
| School Based Services | 41,449,335 | 50,270,801 | 11,083,213 | 4,833,745 | 11,083,213 | 39,187,588 | | |
| Rehabilitative Services (Non-School Based) | 84,277,000 | 124,593,380 | 9,534,829 | 12,041,320 | 9,534,829 | 115,058,551 | | |
| Private Duty Nursing | 6,330,885 | 9,043,296 | 786,154 | 869,548 | 786,154 | 8,257,142 | | |
| Other Care Services | 22,375,566 | 36,116,931 | 3,194,893 | 3,492,303 | 3,194,893 | 32,922,038 | | |
| Less: Recoupments | - | - | (133,781) | - | (133,781) | 133,781 | | |
| NET MEDICAID EXPENDITURES: | 3,181,819,910 | 3,644,016,591 | 307,139,850 | 297,889,680 | 307,139,850 | 3,336,876,742 | | |

WV DEPARTMENT OF HEALTH AND HUMAN RESOURCES
 BUREAU FOR MEDICAL SERVICES
 EXPENDITURES BY PROVIDER TYPE
 SFY2015

| MONTH OF JULY 2014 | ACTUALS | TOTAL | ACTUALS | Estimate | ACTUALS | PROJECTED |
|--|-------------------------|-------------------------|-----------------------------------|-----------------------------------|---------------------------------|---------------------------|
| | SFY2014 | SFY2015 | Current Month Ended 7/31/14 | Current Month Ended 7/31/14 | Year To-Date Thru 7/31/14 | 8/1/14 Thru 6/30/15 |
| Collections: Third Party Liability (line 9A on CMS-64) | (7,458,103) | - | - | - | - | - |
| Collections: Probate (line 9B on CMS-64) | (529,196) | - | - | - | - | - |
| Collections: Identified through Fraud & Abuse Effort (line 9C on CMS-64) | (173,874) | - | - | - | - | - |
| Collections: Other (line 9D on CMS-64) | (5,395,229) | - | - | - | - | - |
| NET EXPENDITURES and CMS-64 ADJUSTMENTS: | 3,168,263,508 | 3,644,016,591 | 307,139,850 | 297,889,680 | 307,139,850 | 3,336,876,742 |
| Plus: Medicaid Part D Expenditures | 37,132,361 | 36,765,889 | 3,065,042 | 3,063,824 | 3,065,042 | 33,700,847 |
| Plus: State Only Medicaid Expenditures | 2,040,451 | 2,067,598 | 100,513 | 198,808 | 100,513 | 1,967,085 |
| Plus: Money Follow the Person Expenditures | 677,570 | 4,319,230 | 114,447 | 415,311 | 114,447 | 4,204,783 |
| TOTAL MEDICAID EXPENDITURES | \$ 3,208,113,890 | \$ 3,687,169,308 | \$ 310,419,852 | \$ 301,567,623 | \$ 310,419,852 | \$ 3,376,749,456 |
| Plus: Reimbursables ⁽¹⁾ | 6,526,128 | 7,593,130 | 468,541 | 730,109 | 468,541 | 7,124,589 |
| TOTAL EXPENDITURES | \$ 3,214,640,017 | \$ 3,694,762,438 | \$ 310,888,393 | \$ 302,297,732 | \$ 310,888,393 | \$ 3,383,874,045 |

(1) This amount will revert to State Only if not reimbursed.

(2) Of the amount in the "Nursing Facility Services-Regular Payments" line, \$1,886,704 is the amount paid to State Facilities year to date.

(3) Of the amount in the "Hospice Benefits" line, \$1,793,427 is the amount paid to Nursing Facilities for Hospice Benefits year to date.

WV DEPARTMENT OF HEALTH AND HUMAN RESOURCES
 BUREAU FOR MEDICAL SERVICES
 MEDICAID CASH REPORT
 SFY2015

| MONTH OF JULY 2014 | ACTUALS | ACTUALS | ACTUALS | PROJECTED | TOTAL |
|---|------------------|-----------------------------------|---------------------------------|-----------------------------|------------------|
| | SFY2014 | Current Month Ended 7/31/14 | Year-To-Date Thru 7/31/14 | 08/01/14 Thru 6/30/15 | SFY2015 |
| REVENUE SOURCES | | | | | |
| Beg. Bal. 7/01/13 (5084/1020 prior mth) | \$ 34,992,812 | \$ 19,168,922 | \$ 19,168,922 | \$ - | \$ 19,168,922 |
| MATCHING FUNDS | | | | | |
| General Revenue (0403/189) | 276,200,578 | 18,838,406 | 18,838,406 | 293,737,686 | 312,576,092 |
| MRDD Waiver (0403/466) | 88,753,483 | 5,916,899 | 5,916,899 | 82,836,584 | 88,753,483 |
| Rural Hospitals Under 150 Beds (0403/940) | 2,596,000 | 216,333 | 216,333 | 2,379,667 | 2,596,000 |
| Tertiary Funding (0403/547) | 6,356,000 | 529,667 | 529,667 | 5,826,333 | 6,356,000 |
| Traumatic Brain Injury (0403/835) | 800,000 | 53,334 | 53,334 | 746,666 | 800,000 |
| Title XIX Waiver for Seniors (0403-533) | 13,593,620 | 906,241 | 906,241 | 12,687,379 | 13,593,620 |
| Medical Services Surplus (0403/633) | 7,400,000 | - | - | 7,400,000 | 7,400,000 |
| Waiver for Senior Citizens Surplus (0403/526) | 1,000,000 | - | - | 17,008,768 | 17,008,768 |
| Lottery Waiver (Less 550,000) (5405/539) | 31,466,458 | 7,791,615 | 7,791,615 | 8,166,075 | 15,957,690 |
| Lottery Transfer (5405/871) | 50,588,667 | 2,167,500 | 2,167,500 | 6,502,500 | 8,670,000 |
| Lottery Surplus (5365/681) | 96,543,971 | - | - | - | - |
| Trust Fund Appropriation (5185/189) | 67,559,964 | 16,098,025 | - | 134,498,123 | 134,498,123 |
| Provider Tax (5090/189) | 220,735,944 | 16,823,240 | 16,823,240 | 186,676,760 | 203,500,000 |
| Certified Match | 20,992,555 | 3,971,419 | 3,971,419 | 19,551,569 | 23,522,988 |
| Reimbursables - Amount Reimbursed | 6,824,604 | 99,128 | 99,128 | 7,494,002 | 7,593,130 |
| Other Revenue (MWIN, Escheated Warrants, etc.) 5084/4010 & 4015 | 5,845,141 | 151,422 | 151,422 | 773,578 | 925,000 |
| CMS - 64 Adjustments | 690,029 | - | - | - | - |
| TOTAL MATCHING FUNDS | \$ 932,939,826 | \$ 92,732,151 | \$ 76,634,125 | \$ 786,285,690 | \$ 862,919,816 |
| FEDERAL FUNDS | \$ 2,332,959,079 | \$ 236,522,198 | \$ 236,522,198 | \$ 2,536,705,550 | \$ 2,773,227,747 |
| TOTAL REVENUE SOURCES | \$ 3,265,898,904 | \$ 329,254,349 | \$ 313,156,323 | \$ 3,322,991,240 | \$ 3,636,147,563 |
| TOTAL EXPENDITURES: | | | | | |
| Provider Payments | \$ 3,214,640,017 | \$ 310,888,393 | \$ 310,888,393 | \$ 3,383,874,045 | \$ 3,694,762,438 |
| TOTAL | \$ 51,258,887 | \$ 18,365,955 | \$ 2,267,930 | | \$ (58,614,875) |

Note: FMAP (71.09% applicable Jul. - Sep. 2014) (71.35% applicable Oct. 2014 - Jun. 2015)

Joint Committee on Government and Finance

September 2014

Department of Health and Human Resources

MEDICAID WAIVER REPORT

July 2014 Data

Revised

**WV Department of Health and Human Resources
Bureau for Medical Services ADW Program Report**

| Aged Disabled Waiver Reported July 31, 2014 | | July-14 | Aug-14 | Sep-14 | Oct-14 | Nov-14 | Dec-14 | Jan-15 | Feb-15 | Mar-15 | Apr-15 | May-15 | Jun-15 | YTD 2015 |
|--|----------------------------------|---------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|----------|
| Slots Approved By CMS (1) | | 6199 | | | | | | | | | | | | 6199 |
| Total number of members served YTD (unduplicated slots used) (2) | | 5433 | | | | | | | | | | | | 5433 |
| Applicants determined eligible (3) | | 193 | | | | | | | | | | | | 193 |
| Applicants determined ineligible (3) | | 17 | | | | | | | | | | | | 17 |
| ACTIVE MEMBERS | | | | | | | | | | | | | | |
| Active members at the end of the month (unduplicated slots active (1)) | | 5379 | | | | | | | | | | | | 5379 |
| Number enrolled in the program this calendar month | | 88 | | | | | | | | | | | | 88 |
| Closed member at the end of the calendar month | | 54 | | | | | | | | | | | | 54 |
| ADW Members whose case was closed by reason | Decreased | 36 | | | | | | | | | | | | 36 |
| | Left program to enter a facility | 2 | | | | | | | | | | | | 2 |
| | Other (6) | 16 | | | | | | | | | | | | 16 |
| MANAGED ENROLLMENT LIST (MEL) | | | | | | | | | | | | | | |
| Number of applicants added to the MEL (4) | | 193 | | | | | | | | | | | | 193 |
| Applicants removed from the MEL due to death (5) | | 34 | | | | | | | | | | | | 34 |
| Applicants on the MEL who are in a nursing facility | | 138 | | | | | | | | | | | | 138 |
| Applicants on the MEL receiving Personal Care | | 69 | | | | | | | | | | | | 69 |
| Total number of applicants on the MEL at the end of the month | | 1791 | | | | | | | | | | | | 1791 |
| Number of slots released (7) | | 500 | | | | | | | | | | | | 500 |
| Number of letters sent out to fill new/rollover slots (8) | | 500 | | | | | | | | | | | | 500 |
| Longest on the MEL to date | | 443 | | | | | | | | | | | | 443 |

(1) Of the 6,199 slots approved by CMS, 70 are reserved for the Money Follows the Person and Rebalancing Demonstration Grant.

(2) Unduplicated slots used refers to the total number of members who accessed services during the fiscal year.

(3) Numbers determined eligible and ineligible reflect the activity for the month reported. Eligibility refers to medical eligibility. Financial eligibility is not determined until the time medical eligibility is approved and a slot becomes available.

(4) Monthly managed enrollment is being reported in the month an applicant is determined medically eligible; however, the individual's placement date on the managed enrollment list will be based on their initial application date.

(5) Currently there is no way to track other reasons why someone may leave the MEL for reasons such as moved out of state, decided not to participate in program, etc.

(6) Other reason for closing a case may include, but is not limited to, member lost eligibility, moved out of state, no longer wants the service, etc.

(7) This number includes any new slots made available as well as rollover (vacated during the previous fiscal year) slots.

(8) Number of letters sent out to applicants on the MEL to fill available slots

**WV Department of Health and Human Resources
Bureau for Medical Services I/DD Waiver Program Report**

| Intellectual/Deveopmental Disabilities Waiver Reported July 31, 2014 | FY 2014 | July-14 | Aug-14 | Sep-14 | Oct-14 | Nov-14 | Dec-14 | Jan-14 | Feb-14 | Mar-14 | Apr-14 | May-14 | Jun-14 | YTD 2015 |
|--|----------------------------------|----------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|----------|
| Slots approved by CMS | 4,534 | 4,634 | | | | | | | | | | | | 4,634 |
| Total number of members served YTD (unduplicated slots used) (1) | 4,534 | 4,634 | | | | | | | | | | | | 5,634 |
| Applicants determined eligible (2) | 348 | 52 | | | | | | | | | | | | 52 |
| Applicants determined ineligible (3) | 292 | 38 | | | | | | | | | | | | 38 |
| ACTIVE MEMBERS | | | | | | | | | | | | | | |
| Number of active members at the end of the month (unduplicated slots active) | 4,435 | 4,603 | | | | | | | | | | | | 4,603 |
| Discharged members at the end of the calendar month | 114 | 8 | | | | | | | | | | | | 8 |
| Discharged members who were discharged by reason | Decreased | 49 | 3 | | | | | | | | | | | 3 |
| | Left program to enter a facility | 10 | 0 | | | | | | | | | | | 0 |
| | Other (6) | 55 | 5 | | | | | | | | | | | 5 |
| MANAGED ENROLLMENT LIST (MEL) | | | | | | | | | | | | | | |
| Number of applicants added to the MEL (4) | 348 | 52 | | | | | | | | | | | | 52 |
| Applicants enrolled (moved from the MEL) | 155 | 176 | | | | | | | | | | | | 176 |
| Applicants removed from the MEL due to Death (5) | 10 | 0 | | | | | | | | | | | | 0 |
| Applicants on the MEL who are in a Nursing Facility | 2 Avg. | 3 | | | | | | | | | | | | 3 |
| Applicants on the MEL who are in an ICF/IID Group Home | 52 Avg. | 53 | | | | | | | | | | | | 53 |
| Applicants on the MEL receiving Personal Care Services each month | 40 Avg. | 47 | | | | | | | | | | | | 47 |
| Total number of applicants on the MEL at the end of the month | 977 | 848 | | | | | | | | | | | | 848 |
| Number of slots released (7) | 155 | 176 | | | | | | | | | | | | 176 |
| Longest on the MEL to date (8) | 945 Days | 738 Days | | | | | | | | | | | | 738 Days |

(1) Unduplicated slots used refers to the total number of members who accessed services during the fiscal year.

(2 and 3) Numbers determined medically eligible and ineligible reflect the activity for the month reported. Financial eligibility is not determined until after slot release.

(4) Monthly managed enrollment is being reported in the month an applicant is determined medically eligible; however, the individual's placement date on the managed enrollment list will be based on the date the Medical Eligibility Contract Agent (MECA) determines medical eligibility.

(5) Currently there is no way to track other reasons why someone may leave the MEL for reasons such as moved out of state, decided not to participate in program, etc.

(6) Other reason for program discharge may include, but is not limited to, member is no longer financial or medically eligible, moved out of state, no longer wants the service, etc.

**WV Department of Health and Human Resources
Bureau for Medical Services TBI Waiver Program Report**

| Traumatic Brain Injury Waiver Reported July 31, 2014 | | FY 2014 | July-14 | Aug-14 | Sep-14 | Oct-14 | Nov-14 | Dec-14 | Jan-15 | Feb-15 | Mar-15 | Apr-15 | May-15 | Jun-15 | YTD 2015 |
|--|---------------------------------|---------|---------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|----------|
| Slots Approved By CMS | | 125 | 125 | | | | | | | | | | | | 125 |
| Total number of members served YTD (unduplicated slots used) (1) | | 46 | 43 | | | | | | | | | | | | 43 |
| Applicants determined eligible (2) | | 48 | 1 | | | | | | | | | | | | 1 |
| Applicants determined ineligible (3) | | 17 | 0 | | | | | | | | | | | | 0 |
| ACTIVE MEMBERS | | | | | | | | | | | | | | | |
| Active members at the end of the month (unduplicated slots active) | | 37 | 43 | | | | | | | | | | | | 43 |
| Closed member at the end of the calendar month | | | | | | | | | | | | | | | |
| TBI Members whose case was closed by reason | Decreased | 1 | 0 | | | | | | | | | | | | 0 |
| | Left program to enter a faculty | 1 | 0 | | | | | | | | | | | | 0 |
| | Other (4) | 7 | 0 | | | | | | | | | | | | 0 |
| MANAGED ENROLLMENT LIST (MEL) * | | | | | | | | | | | | | | | |
| Number of applicants added to the MEL | | N/A | N/A | | | | | | | | | | | | N/A |
| Applicants enrolled (moved from the MEL) | | N/A | N/A | | | | | | | | | | | | N/A |
| Applicants removed from the MEL due to death | | N/A | N/A | | | | | | | | | | | | N/A |
| New Applicants on the MEL who are in a nursing facility | | N/A | N/A | | | | | | | | | | | | N/A |
| New Applicants on the MEL receiving Personal Care each month | | N/A | N/A | | | | | | | | | | | | N/A |
| Total number of applicants on the MEL at the end of the month | | N/A | N/A | | | | | | | | | | | | N/A |
| Number of slots released | | N/A | N/A | | | | | | | | | | | | N/A |
| Longest on the MEL to date | | N/A | N/A | | | | | | | | | | | | N/A |

(1) Unduplicated slots used refers to the total number of members who accessed services during the fiscal year.

(2 and 3) Numbers determined eligible and ineligible reflect the activity for the month reported. Eligibility refers to medical eligibility only. Financial eligibility is determined at a later date.

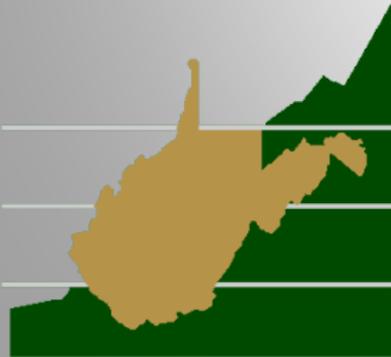
(4) Currently there is no way to track other reasons why someone may leave the MEL for reasons such as moved out of state, decided not to participate in program, etc.

* There is currently no Managed Enrollment List for the TBI Waiver Program.

WEST VIRGINIA INVESTMENT MANAGEMENT BOARD

Participant Plan Performance Report

July 31, 2014



West Virginia Investment Management Board
 Participant Plans Allocation & Performance Net of Fees
 As of July 31, 2014

| | 6/30/2014 | | 7/31/2014 | | Performance % | | | | | | |
|--|-------------------|--------------|-------------------|--------------|---------------|---------|-------|--------|--------|--------|---------|
| | Asset (\$000) | % | Asset (\$000) | % | 1 Month | 3 Month | FYTD | 1 Year | 3 Year | 5 Year | 10 Year |
| WVIMB Fund Assets | 16,877,312 | 100.0 | 16,665,127 | 100.0 | | | | | | | |
| Pension Assets | 13,389,464 | 79.3 | 13,214,572 | 79.3 | | | | | | | |
| Public Employees' Retirement System | 5,629,812 | 33.4 | 5,564,328 | 33.4 | (0.9) | 2.7 | (0.9) | 13.6 | 10.1 | 12.0 | 7.9 |
| Teachers' Retirement System | 6,655,376 | 39.3 | 6,561,017 | 39.3 | (0.9) | 2.7 | (0.9) | 13.6 | 10.0 | 11.9 | 7.6 |
| EMS Retirement System | 53,343 | 0.3 | 51,271 | 0.3 | (0.9) | 2.7 | (0.9) | 13.6 | 10.0 | 11.9 | |
| Public Safety Retirement System | 601,077 | 3.6 | 592,503 | 3.6 | (0.9) | 2.6 | (0.9) | 13.5 | 10.1 | 12.1 | 7.9 |
| Judges' Retirement System | 165,239 | 1.0 | 163,388 | 1.0 | (0.9) | 2.7 | (0.9) | 13.6 | 10.1 | 12.0 | 7.9 |
| State Police Retirement System | 120,572 | 0.7 | 119,530 | 0.7 | (0.9) | 2.7 | (0.9) | 13.6 | 10.0 | 11.9 | 7.8 |
| Deputy Sheriffs' Retirement System | 161,491 | 1.0 | 159,961 | 1.0 | (0.9) | 2.7 | (0.9) | 13.6 | 10.1 | 12.0 | 7.9 |
| Municipal Police & Firefighter Retirement System | 1,150 | 0.0 | 1,189 | 0.0 | (0.9) | 2.6 | (0.9) | 12.9 | 10.4 | | |
| Municipal Model A | 1,404 | 0.0 | 1,385 | 0.0 | (0.9) | 2.7 | (0.9) | 13.3 | | | |
| Insurance Assets | 2,449,418 | 14.5 | 2,417,685 | 14.5 | | | | | | | |
| Workers' Compensation Old Fund | 1,197,954 | 7.0 | 1,176,435 | 7.0 | (0.5) | 1.7 | (0.5) | 7.2 | 5.5 | 7.2 | |
| Workers' Comp. Self-Insured Guaranty Risk Pool | 15,707 | 0.1 | 15,601 | 0.1 | (0.5) | 1.9 | (0.5) | 8.0 | 6.0 | 5.0 | |
| Workers' Comp. Self-Insured Security Risk Pool | 7,165 | 0.0 | 7,064 | 0.0 | (0.5) | 1.9 | (0.5) | | | | |
| Workers' Comp. Uninsured Employers' Fund | 10,704 | 0.1 | 10,651 | 0.1 | (0.5) | 1.9 | (0.5) | 7.8 | 5.9 | 4.6 | |
| Pneumoconiosis | 269,556 | 1.6 | 266,709 | 1.6 | (0.5) | 2.0 | (0.5) | 8.0 | 6.1 | 7.9 | 5.7 |
| Board of Risk & Insurance Management | 147,378 | 0.9 | 146,662 | 0.9 | (0.5) | 1.9 | (0.5) | 8.0 | 6.1 | 8.0 | |
| Public Employees' Insurance Agency | 214,210 | 1.3 | 213,134 | 1.3 | (0.5) | 2.0 | (0.5) | 8.2 | 6.4 | 7.8 | |
| WV Retiree Health Benefit Trust Fund | 584,257 | 3.5 | 578,942 | 3.5 | (0.9) | 2.7 | (0.9) | 13.5 | 9.7 | 10.4 | |
| AccessWV | 2,487 | 0.0 | 2,487 | 0.0 | 0.0 | 0.0 | 0.0 | 1.7 | 2.4 | | |
| Endowment Assets | 1,038,430 | 6.2 | 1,032,870 | 6.2 | | | | | | | |
| Wildlife Fund | 52,488 | 0.3 | 52,056 | 0.3 | (0.9) | 2.7 | (0.9) | 13.4 | 10.0 | 11.9 | 7.8 |
| Prepaid Tuition Trust | 77,100 | 0.5 | 74,446 | 0.4 | (0.9) | 1.8 | (0.9) | 10.0 | 8.1 | 10.6 | 7.6 |
| Revenue Shortfall Reserve Fund | 477,831 | 2.8 | 477,622 | 2.9 | 0.0 | 1.8 | 0.0 | 4.5 | 3.3 | 6.0 | |
| Revenue Shortfall Reserve Fund - Part B | 381,423 | 2.3 | 379,522 | 2.3 | (0.5) | 1.6 | (0.5) | 7.4 | 5.7 | 8.1 | |
| WV DEP Trust | 8,717 | 0.1 | 8,620 | 0.1 | (1.1) | 2.2 | (1.1) | 12.8 | 9.8 | | |
| WV DEP Agency | 40,871 | 0.2 | 40,604 | 0.2 | (0.7) | 1.9 | (0.7) | | | | |

West Virginia Investment Management Board

Composite Asset Allocation & Performance Net of Fees

As of July 31, 2014

| | Asset (\$000) | % | Performance % | | | | | | | |
|---------------------------------------|---------------|--------|---------------|---------|--------|--------|--------|--------|---------|--|
| | | | 1 Month | 3 Month | FYTD | 1 Year | 3 Year | 5 Year | 10 Year | |
| Investment Pools Composite | 16,675,711 | 100.00 | | | | | | | | |
| Total Equity Composite | 8,293,312 | 49.73 | (1.62) | 2.68 | (1.62) | 17.52 | 12.55 | 14.91 | 8.98 | |
| +/- Total Equity Policy Index (b) | | | (0.09) | (0.01) | (0.09) | 1.27 | 1.10 | 1.69 | 1.20 | |
| US Equity Composite | 4,125,292 | 24.74 | (2.18) | 2.28 | (2.18) | 16.30 | 16.61 | 17.56 | 8.79 | |
| +/- Russell 3000 Index | | | (0.21) | (0.40) | (0.21) | (0.07) | 0.03 | 0.48 | 0.36 | |
| Large Cap Composite | 3,086,135 | 18.51 | (1.46) | 3.19 | (1.46) | 17.01 | 16.86 | 17.04 | 8.16 | |
| +/- S&P 500 Index | | | (0.08) | 0.17 | (0.08) | 0.07 | 0.02 | 0.25 | 0.16 | |
| Non-Large Cap Composite | 1,039,157 | 6.23 | (4.27) | (0.34) | (4.27) | 14.15 | 15.77 | 19.87 | 10.49 | |
| +/- Russell 2500 Index | | | 0.65 | (1.14) | 0.65 | 2.07 | 0.71 | 1.52 | 0.61 | |
| International Equity Composite | 4,168,020 | 24.99 | (1.05) | 3.08 | (1.05) | 18.55 | 8.40 | 12.04 | 10.03 | |
| +/- MSCI AC World ex US IMI Index (c) | | | 0.04 | 0.39 | 0.04 | 2.49 | 2.03 | 2.71 | 1.59 | |
| Fixed Income Composite | 3,411,699 | 20.46 | (0.01) | 1.35 | (0.01) | 5.45 | 4.35 | 6.28 | 5.27 | |
| +/- Barclays Capital Universal (d) | | | 0.30 | 0.31 | 0.30 | 0.91 | 0.77 | 1.16 | 0.20 | |
| Core Fixed Income | 1,054,757 | 6.33 | (0.11) | 1.08 | (0.11) | 4.20 | 3.67 | 5.41 | | |
| +/- Barclays Capital Aggregate | | | 0.14 | 0.14 | 0.14 | 0.23 | 0.63 | 0.94 | | |
| Total Return Fixed Income | 2,356,942 | 14.13 | 0.03 | 1.47 | 0.03 | 6.02 | 4.70 | 6.75 | 5.92 | |
| +/- Barclays Capital Universal | | | 0.34 | 0.43 | 0.34 | 1.48 | 1.12 | 1.63 | 0.80 | |
| TIPS Composite | 481,257 | 2.89 | (0.05) | 2.35 | (0.05) | 3.64 | 2.22 | | | |
| +/- Barclays Capital U.S. TIPS | | | (0.08) | (0.10) | (0.08) | (0.07) | (0.03) | | | |
| Cash Composite | 148,683 | 0.89 | 0.01 | 0.02 | 0.01 | 0.10 | 0.13 | 0.13 | 1.75 | |
| +/- Citigroup 90 Day T-Bill (e) | | | 0.01 | 0.01 | 0.01 | 0.01 | (0.05) | (0.10) | (0.01) | |
| Private Equity Composite | 1,364,973 | 8.19 | (0.17) | 5.23 | (0.17) | 18.13 | 12.65 | 15.33 | | |
| +/- Russell 3000 + 3% (f, g) | | | | | | | | (6.04) | | |
| Real Estate Composite | 1,409,507 | 8.45 | 0.15 | 3.12 | 0.15 | 10.54 | 9.33 | 9.57 | | |
| +/- NCREIF + 1% (f) | | | | | | | | 0.09 | | |
| Hedge Fund Composite | 1,566,280 | 9.39 | 0.08 | 2.07 | 0.08 | 7.86 | 6.17 | 6.93 | | |
| +/- HFRI FOF + 1% (h) | | | 0.59 | 0.32 | 0.59 | 4.08 | 1.99 | 2.70 | | |

West Virginia Investment Management Board

Participant Plans Allocation vs. Strategy

As of July 31, 2014

| | Domestic Equity | | Int'l Equity | | Fixed Income | | Private Equity | | Real Estate | | Hedge Funds | | Cash | |
|--|-----------------|------------|--------------|------------|--------------|------------|----------------|------------|-------------|------------|-------------|------------|----------|------------|
| | Actual % | Strategy % | Actual % | Strategy % | Actual % | Strategy % | Actual % | Strategy % | Actual % | Strategy % | Actual % | Strategy % | Actual % | Strategy % |

Pension Assets

| | | | | | | | | | | | | | | |
|--|------|------|------|------|------|------|------|------|------|------|------|------|-----|-----|
| Public Employees' Retirement System | 27.3 | 27.5 | 27.7 | 27.5 | 14.4 | 15.0 | 9.9 | 10.0 | 10.2 | 10.0 | 10.3 | 10.0 | 0.2 | 0.0 |
| Teachers' Retirement System | 26.9 | 27.5 | 27.3 | 27.5 | 14.4 | 15.0 | 9.9 | 10.0 | 10.1 | 10.0 | 10.2 | 10.0 | 1.2 | 0.0 |
| EMS Retirement System | 27.3 | 27.5 | 27.7 | 27.5 | 13.7 | 15.0 | 10.5 | 10.0 | 10.5 | 10.0 | 10.3 | 10.0 | 0.0 | 0.0 |
| Public Safety Retirement System | 27.4 | 27.5 | 27.7 | 27.5 | 14.3 | 15.0 | 9.2 | 10.0 | 10.3 | 10.0 | 11.1 | 10.0 | 0.0 | 0.0 |
| Judges' Retirement System | 27.8 | 27.5 | 27.8 | 27.5 | 15.3 | 15.0 | 8.3 | 10.0 | 10.2 | 10.0 | 10.4 | 10.0 | 0.2 | 0.0 |
| State Police Retirement System | 27.1 | 27.5 | 27.4 | 27.5 | 14.8 | 15.0 | 9.5 | 10.0 | 10.2 | 10.0 | 10.6 | 10.0 | 0.4 | 0.0 |
| Deputy Sheriffs' Retirement System | 27.2 | 27.5 | 27.6 | 27.5 | 14.6 | 15.0 | 9.8 | 10.0 | 10.2 | 10.0 | 10.5 | 10.0 | 0.1 | 0.0 |
| Municipal Police & Firefighter Retirement System | 25.6 | 27.5 | 26.0 | 27.5 | 13.5 | 15.0 | 9.6 | 10.0 | 9.5 | 10.0 | 9.4 | 10.0 | 6.4 | 0.0 |
| Municipal Model A | 27.3 | 27.5 | 27.7 | 27.5 | 14.0 | 15.0 | 10.2 | 10.0 | 10.1 | 10.0 | 10.0 | 10.0 | 0.7 | 0.0 |

Insurance Assets

| | | | | | | | | | | | | | | |
|--|------|------|------|------|------|------|------|------|------|------|------|------|-------|-------|
| Workers' Compensation Old Fund | 15.3 | 15.0 | 15.0 | 15.0 | 66.7 | 70.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3.0 | 0.0 |
| Workers' Comp. Self-Insured Guaranty Risk Pool | 14.9 | 15.0 | 15.1 | 15.0 | 45.0 | 45.0 | 0.0 | 0.0 | 0.0 | 0.0 | 20.1 | 20.0 | 4.9 | 5.0 |
| Workers' Comp. Self-Insured Security Risk Pool | 15.1 | 15.0 | 15.2 | 15.0 | 45.3 | 45.0 | 0.0 | 0.0 | 0.0 | 0.0 | 20.3 | 20.0 | 4.1 | 5.0 |
| Workers' Comp. Uninsured Employers Fund | 14.8 | 15.0 | 15.1 | 15.0 | 40.0 | 40.0 | 0.0 | 0.0 | 0.0 | 0.0 | 20.1 | 20.0 | 10.0 | 10.0 |
| Pneumoconiosis | 15.0 | 15.0 | 15.0 | 15.0 | 45.4 | 45.0 | 0.0 | 0.0 | 0.0 | 0.0 | 20.2 | 20.0 | 4.4 | 5.0 |
| Board of Risk & Insurance Mgmt. | 15.0 | 15.0 | 14.8 | 15.0 | 45.2 | 45.0 | 0.0 | 0.0 | 0.0 | 0.0 | 20.1 | 20.0 | 4.9 | 5.0 |
| Public Employees' Insurance Agency | 14.9 | 15.0 | 15.1 | 15.0 | 49.9 | 50.0 | 0.0 | 0.0 | 0.0 | 0.0 | 20.1 | 20.0 | 0.0 | 0.0 |
| WV Retiree Health Benefit Trust Fund | 27.2 | 27.5 | 27.5 | 27.5 | 14.8 | 15.0 | 10.2 | 10.0 | 10.2 | 10.0 | 10.0 | 10.0 | 0.1 | 0.0 |
| AccessWV | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 100.0 | 100.0 |

Endowment Assets

| | | | | | | | | | | | | | | |
|---|------|------|------|------|-------|-------|------|------|------|------|------|------|-----|-----|
| Wildlife Fund | 27.2 | 27.5 | 27.5 | 27.5 | 14.8 | 15.0 | 10.2 | 10.0 | 10.2 | 10.0 | 10.0 | 10.0 | 0.1 | 0.0 |
| Prepaid Tuition Trust | 30.3 | 30.0 | 16.4 | 16.0 | 53.3 | 54.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Revenue Shortfall Reserve Fund | 0.0 | 0.0 | 0.0 | 0.0 | 100.0 | 100.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Revenue Shortfall Reserve Fund - Part B | 15.1 | 15.0 | 14.3 | 15.0 | 70.6 | 70.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| WV DEP Trust | 36.6 | 37.0 | 28.2 | 28.0 | 15.2 | 15.0 | 0.0 | 0.0 | 0.0 | 0.0 | 19.9 | 20.0 | 0.1 | 0.0 |
| WV DEP Agency | 20.6 | 20.0 | 19.4 | 20.0 | 39.9 | 40.0 | 0.0 | 0.0 | 0.0 | 0.0 | 20.1 | 20.0 | 0.0 | 0.0 |

Statutory Limitations

- Public Equity - 75%
- International Proportions of Equity, Fixed Income, and Real Estate - 30%
- Real Estate - 25%
- Private Equity and Hedge Funds - 20% in aggregate

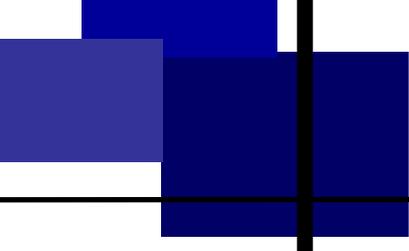
West Virginia Investment Management Board

Footnotes

As of July 31, 2014

- (a) As of January 2014, the PERS Policy is 30% Russell 3000, 30% MSCI ACW ex USA (IMI), and 40% Barclays Capital Universal. From April 2008 to December 2013, the PERS Policy was 30% Russell 3000, 30% MSCI ACW ex USA (Standard), and 40% Barclays Capital Universal. Prior periods were 42% Russell 3000, 18% MSCI ACW ex USA, and 40% Barclays Capital Aggregate.
- (b) As of January 2014, the Total Equity Policy Index is 50% Russell 3000 and 50% MSCI ACW ex USA (IMI). From April 2008 to December 2013, the Total Equity Policy Index was 50% Russell 3000 and 50% MSCI ACW ex USA (Standard). Prior periods were 40% S&P 500, 30% Russell 2500, and 30% MSCI ACW ex USA.
- (c) Prior to January 2014, the index was the MSCI ACW ex USA (Standard).
- (d) Prior to April 2008, the index was Barclays Capital Aggregate.
- (e) Prior to January 2014, the index was Citigroup 90 Day T-Bill plus 15 basis points.
- (f) The Private Equity Composite and Real Estate Composite are long-term programs whose benchmarks are only reported for 5 years and beyond.
- (g) Prior to January 2014, the index was S&P 500 plus 500 basis points.
- (h) Prior to January 2014, the index was Libor plus 400 basis points.
- (i) Franklin Benchmark is 50% JPM EMBI Global Diversified and 50% JPM GBI EM Diversified.
- (j) Prior to April 2008, the index was a custom index.
- (k) Security Capital Benchmark is 40% Wilshire US REIT Index, 30% Wells Fargo Hybrid & Preferred Securities REIT Index, and 30% BC REIT Bond Index.

Note: Participant returns are net of fees. Portfolio returns are net of management fees. Returns shorter than one year are unannualized.



Status Report:
Workers' Compensation

Joint Committee on Government & Finance

September 2014

Provided by the West Virginia Offices of the Insurance Commissioner



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Introduction

With the passage of S.B. 1004 in January 2005, significant changes were made to workers' compensation insurance in West Virginia. The State administered monopolistic fund effectively ended when a new domestic mutual insurance company, "BrickStreet", was formed to issue workers' compensation insurance on a going forward basis. BrickStreet began writing new workers' compensation insurance liabilities effective January 2006. (They also retained the workers' compensation insurance premium and incurred liability starting in July 2005.) The West Virginia workers' compensation insurance market was later opened to competition beginning in July 2008.

At the time when the domestic mutual insurance company was formed in order to begin to privatize the workers' compensation insurance market in West Virginia, a large legacy liability existed stemming from the historical operation of the State administered monopolistic fund. Subsequent to privatization, this legacy liability was retained by the State of West Virginia in what is now known as the "Old Fund." The Old Fund consists of all historical claims with dates of injuries or last exposure through June 30, 2005. Apart from those sections which specifically reference other "funds," the "private market," or the "self-insured" community (which began in July 2004), this report concerns the workers' compensation legacy liability of the State of West Virginia, i.e. the Old Fund.

Although belonging to the State of West Virginia, the administration of the Old Fund was initially placed via statute with BrickStreet. By January 2008, however, BrickStreet relinquished the administration of the Old Fund back to the State to be managed by the West Virginia Offices of the Insurance Commissioner. The West Virginia Offices of the Insurance Commissioner contracted with three Third Party Administrators (TPA's: Sedgwick Claims Management Services, Wells Fargo Disability Management, and American Mining Insurance Company) to ensure timely claim payments and proper claims management with the ultimate goal of claim resolution.

At January 2008, there were 47,961 active Old Fund workers' compensation insurance claims. The first Workers' Compensation Status Report to the Joint Committee on Government and Finance was issued in June 2008. The following pages update the status of the various workers' compensation funds and the activities associated with the administration of the workers' compensation responsibilities transitioned to the Offices of the Insurance Commissioner.

Definitions:

Appeal (BOR): A formal procedure conducted by the Board of Review at which a decision of an administrative law judge (OOJ) having presided over a matter of workers' compensation (Old Fund or Privately Insured) is to be afforded additional consideration. An appeal may be filed by any aggrieved party, such as a claimant, employer, dependent of a claimant, private insurance carrier, etc.

Board of Review: (BOR) A three judge panel that serves as an intermediate appellate tribunal in workers' compensation litigation. Specifically, the Board of Review reviews all appeals taken from any final decision of the Office of Judges. The BOR may reverse, vacate, modify or remand a decision of the Office of Judges. Any appeal taken from a Board of Review final order must be filed with the West Virginia Supreme Court of Appeals.

Claim Reserve: individual claim level cost estimate that is projected on the ultimate probable exposure; must be the best projection based on the facts and findings of the claim. This function is to capture the key components that impact the range of any impending cost in workers' compensation claims. No discounting is applied. The Indemnity Reserve is adjusted to cover the cost of loss or exposure both on a temporary and permanent basis. The reserve should also be adjusted to include the projected cost of any death and/or dependent benefits when appropriate. The Medical Reserve covers medical cost, hospital stays, specialized treatment, rehabilitation, durable medical equipment, and medications, etc. The Expense Reserve is placed for the cost of legal defense and investigations, etc. The reserves may be reduced based on the findings of early mortality factors.

Coal Workers' Pneumoconiosis Fund: State managed fund into which FBL premiums received are held, and out of which FBL benefits are paid. This fund was closed to future liabilities as of 12/31/2005. Because of the latency period between the date of last exposure and the onset of disease, new FBL claims will occur.

Fatal: claim under which the worker died as a result of injury or illness.

FBL: claim for Occupational Pneumoconiosis (Black Lung) benefits under Title IV of the federal Coal Mine Health and Safety Act of 1969, i.e. Federal Black lung, or FBL.

Inactive FBL Claim: an FBL claim for which an award had been sought, but was not afforded. Federal statutes permit an appeal process which lasts for 1 year, so the claim would be reopened for consideration upon appeal. Some variance in the number of reported "inactive" claims has occurred in the past due to one TPA holding active reserves on "inactive" claims. This has subsequently been rectified. Denied Old Fund FBL claims are closed administratively after 6 months, as the TPA's bill for claims management services monthly on an open claims basis.

Indemnity: statutory wage replacement benefits awarded as a result of a worker's occupational illness or injury.

Med Only: claim under which only the payment of medical benefits were sought or awarded, i.e. no payment of wage replacement benefits (indemnity) is being made.

Non-FBL: claim for benefits other than a Federal Black Lung award, i.e. all other claim types.

Office of Judges: (OOJ) An office comprised of administrative law judges who are charged with resolving protests or appeals to workers' compensation claims management decisions. The Office of Judges conducts hearings, receives and weighs evidence and arguments, and issues written decisions on protests or appeals from initial claim management decisions. Any final decision of the Office of Judges may be appealed to the workers' compensation Board of Review. The OOJ hears protests involving Old Fund claims as well as those arising from the private market (private carrier or privately insured.)

OP/OD: claim of Occupational Pneumoconiosis or Occupational Disease. An OP claim could be considered the State level equivalent of an FBL claim; however, State OP claims provide for varying percentages of impairment where the FBL applicant must prove total impairment to be eligible. (State OP claims are awarded more frequently than FBL, but afford lesser benefits.) An example of an OD claim would be occupational hearing loss.

Partial Award: claim for which benefits are being paid, but no official award has been made.

Payment Agreement: individual legal agreements that were made in order to settle a particular payment issue on a specific claim. These are different than your "standard" claim types, such as PTD or TPD. They identify a sub-set of claims that are not settled in the same philosophy that is practiced today. This normally references a situation in which a PT was granted years ago and the "onset" date was in litigation. A compromise was reached and a settlement was executed to the agreed up "onset" date. Although a settlement was executed, it was only applicable to an issue, not to the entire claim, so monthly payments continue to pay on these claims.

Protest (OOJ): An objection to a ruling of a workers' compensation claim administrator (Old Fund or Private Market) which prompts the initiation of the adjudication process at the Office of Judges.

PPD: (Permanent Partial Disability) paid to compensate an injured worker for permanent impairment that results from an occupational injury or disease. The American Medical Association defines permanent impairment as impairment that has become static or well stabilized with or without medical treatment and is not likely to remit despite medical treatment. It should be noted, some injuries that are total loss by severance have statutory impairment ratings that are defined per WV Code §23-4-6(f). Payment for PPD is based upon 4 weeks of compensation for each one percent of disability.

PTD: (Permanent Total Disability) A disability which renders a claimant unable to engage in gainful employment requiring skills or abilities which can be acquired or which are comparable to those of any gainful employment in which the claimant previously engaged with some regularity. While the comparison of pre-injury income and post-disability income is not a factor to be considered in determining whether or not a claimant is permanently and totally disabled, the geographic availability of gainful employment should be considered. Specifically, the geographic availability of gainful employment within a 75 mile driving distance of the claimant's

home, or within the distance from the claimant's home to his or her pre-injury employment, whichever is greater, is a factor to be considered in determining whether or not a claimant is PTD.

Self-Insured: an employer who has met certain specific guidelines, and who is then permitted to guarantee their own payment and handling of workers' compensation claims to their employees in accordance with WV statutes.

Self-Insured Guaranty Fund: State managed fund into which premiums received are held, and out of which workers' compensation benefits may be paid. Covers claims liabilities of bankrupt or defaulted self-insured employers with dates of injury or last exposure after 07/01/2004.

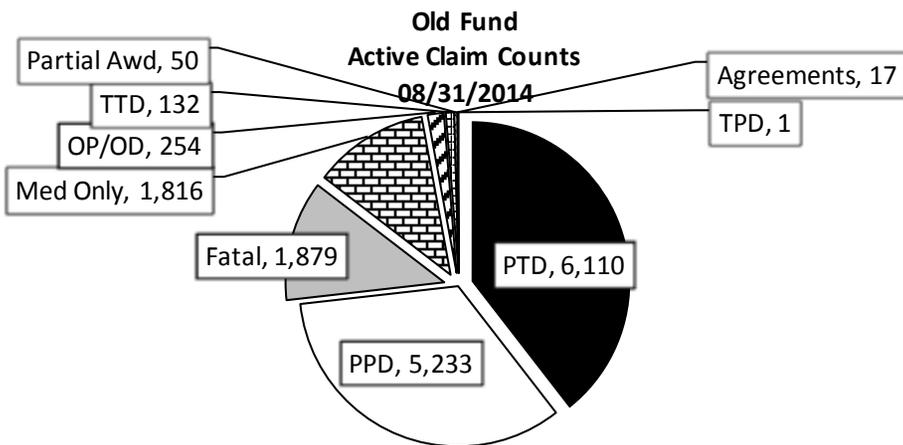
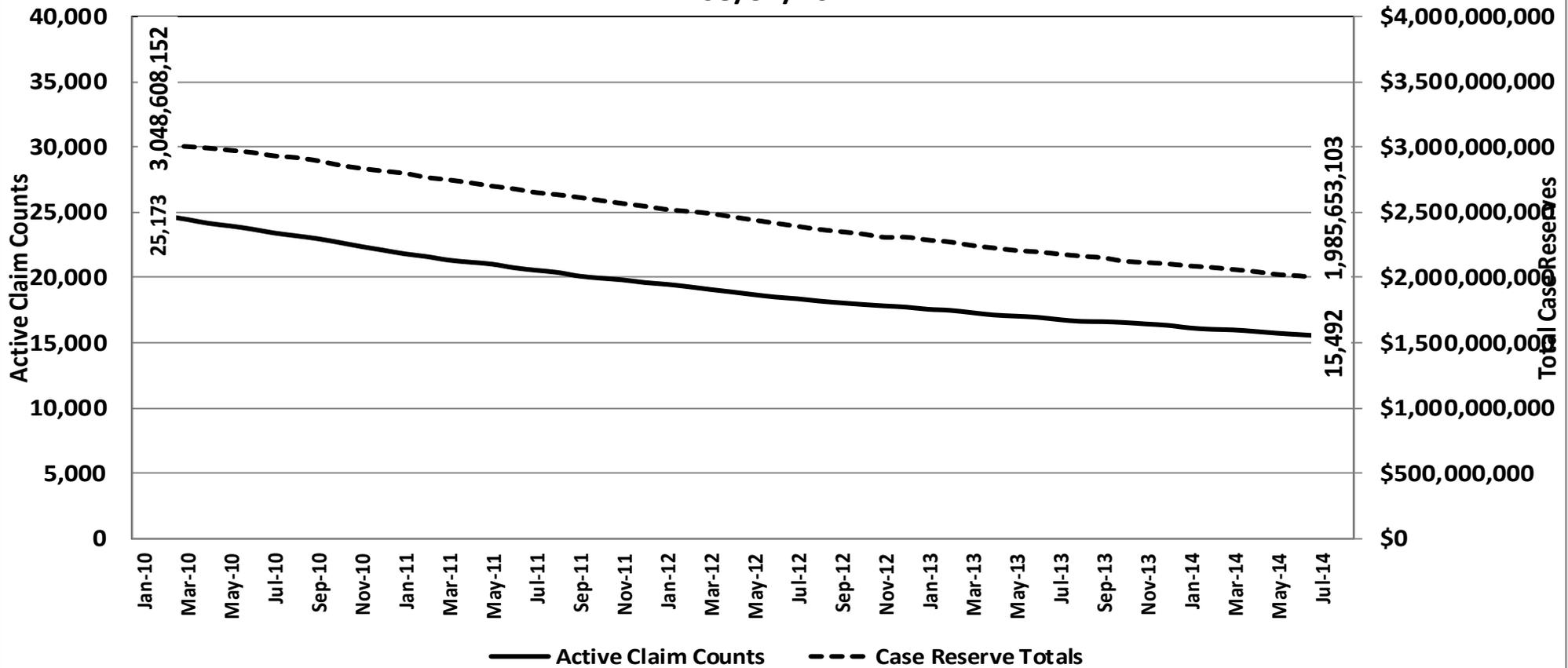
Self-Insured Security Fund: State managed fund into which premiums received are held, and out of which workers' compensation benefits may be paid. Covers claims liabilities of bankrupt or defaulted self-insured employers with dates of injury or last exposure before 07/01/2004. This fund is limited to claimants of those self-insured employers who have defaulted on their claims obligations after 12/31/2005.

TPD: (Temporary Partial Disability) also referred to as TPR, is paid when an injured worker is released to return to work with restrictions or modifications that restrict he/she from obtaining their pre-injury wages. The TPD benefit is paid at seventy percent of the difference between the average weekly wage earnings earned at the time of injury and the average weekly wage earnings earned at the new employment.

TTD: (Temporary Total Disability) an inability to return to substantial gainful employment requiring skills or activities comparable to those of one's previous gainful employment during the healing or recovery period after the injury. In order to receive TTD benefits, the injured worker must be certified disabled due to the compensable injury by his/her treating physician.

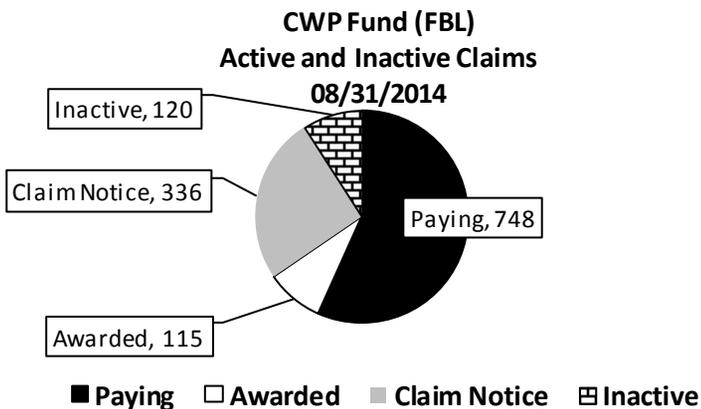
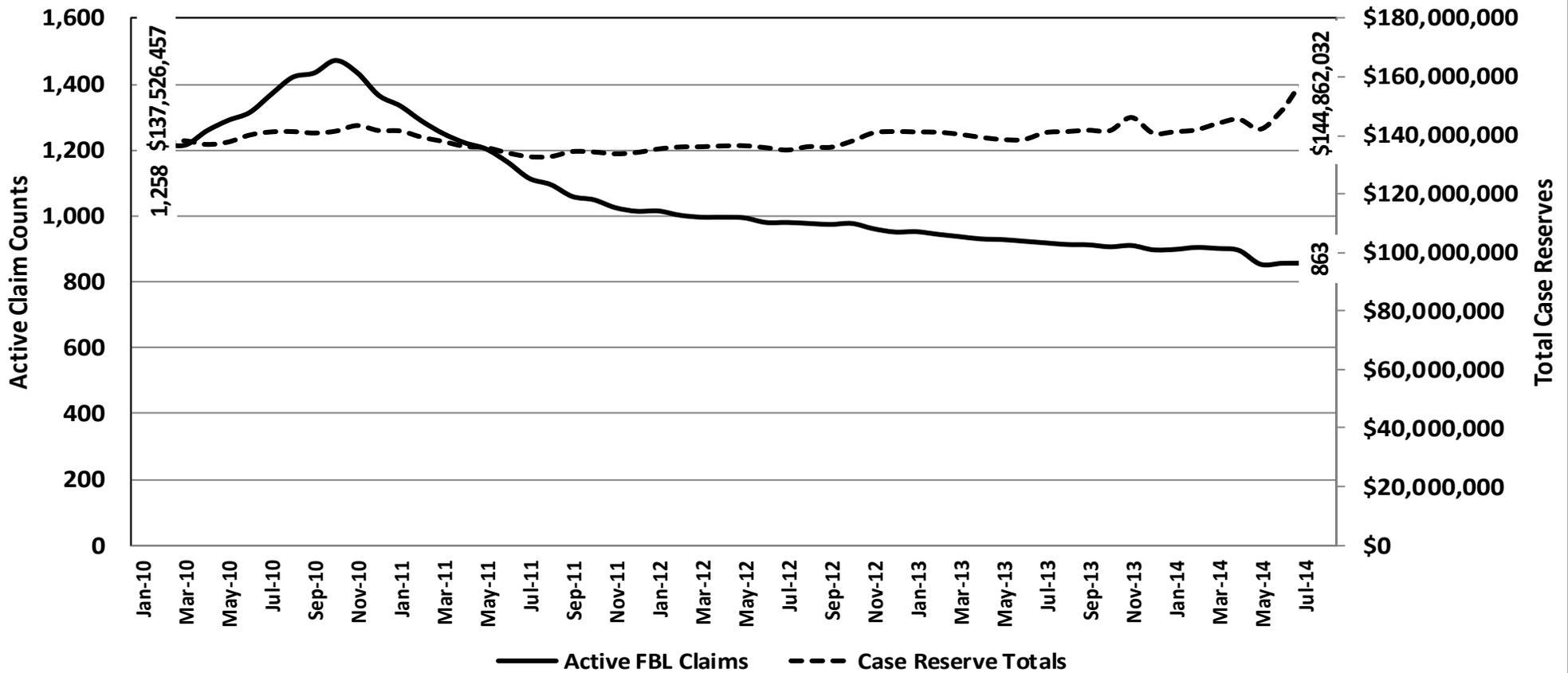
Uninsured Fund: State managed fund into which assessments to carriers or employers received are held, and out of which workers' compensation benefits may be paid to claimant employees of employers who were uninsured if the date of injury or date of last exposure is January 1, 2006 or later.

Old Fund Active Claim Counts and Case Reserves 08/31/2014



- Agreements** – a legal agreement to settle a payment issue
- Fatal** – worker died due to injury or illness
- Med Only** – payment of medical benefits without wage replacement
- OP/OD** - Occupational Pneumoconiosis or Occupational Disease
- Partial Awd** – benefits being paid without official awards
- PPD** - Permanent Partial Disability; unlikely to improve with treatment
- PTD** - Permanent Total Disability; unable to engage in employment
- TPD** - Temporary Partial Disability released to work with restrictions
- TTD** - Temporary Total Disability; unable to engage in employment

CWP Fund (FBL) Active Claim Counts and Case Reserves 08/31/2014



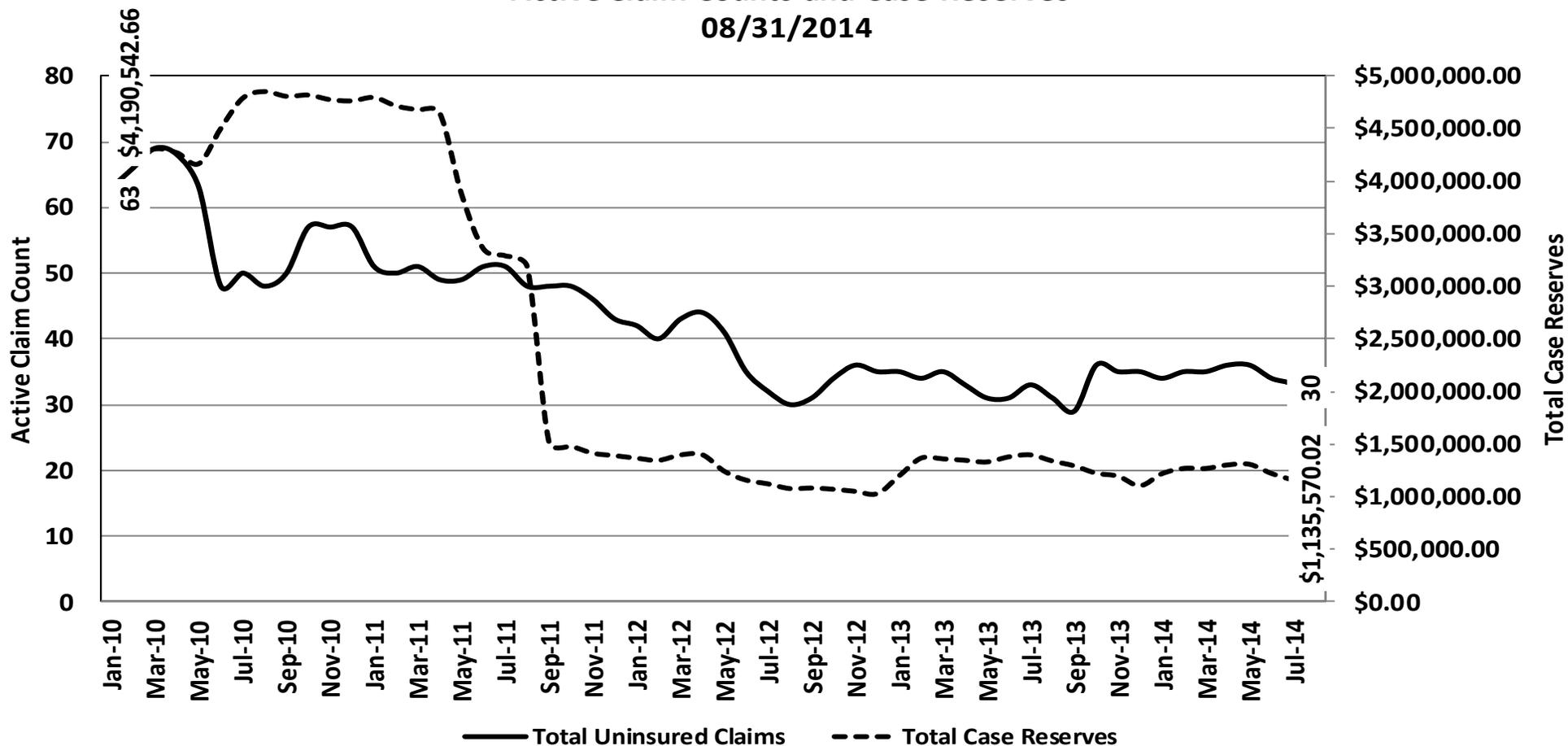
Active – Benefits being paid to claimant/beneficiary

CWP Fund - Coal Worker's Pneumoconiosis; miners/beneficiaries disabled or deceased

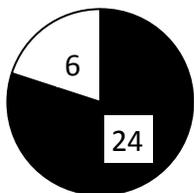
FBL - Occupational Pneumoconiosis (Black Lung) under Title IV of the federal Coal Mine Health and Safety Act of 1969, i.e. Federal Black lung, or FBL.

Inactive – claim for which an award was sought, but not afforded. Federal statutes permit an appeal, so claim may be reopened

Uninsured Fund Active Claim Counts and Case Reserves 08/31/2014



**Uninsured Fund
Active Claim Counts
08/31/2014**

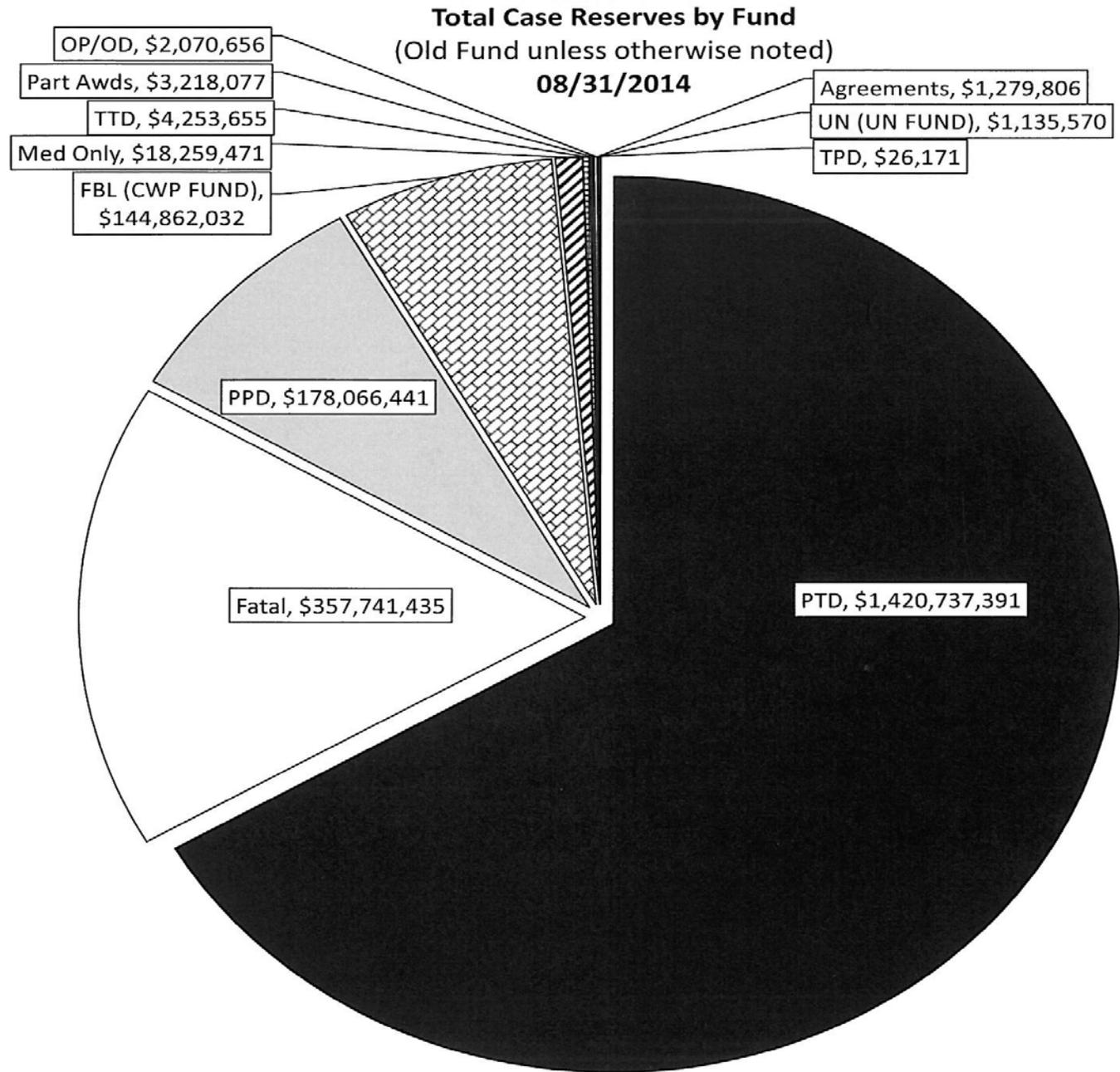


■ Indemnity □ Med Only

Indemnity – statutory wage replacement and medical benefits awarded

Med Only – payment of medical benefits without wage replacement

Uninsured (Employer’s) Fund - (UEF) established January 1, 2006 to provide worker’s compensation benefits to injured workers of uninsured WV employers. The Commissioner may recover all payments made from this fund, including interest, from an uninsured employer who is found liable for benefits paid from the UEF.



OLD FUND CASH STATEMENT

August 31, 2014

| | Three Year History for years ended: | | | | | |
|--|-------------------------------------|----------------------|--------------------|----------------------|----------------------|--------------------|
| | YTD FY2015 | YTD FY2014 | Change | FY2014 | FY2013 | FY2012 |
| Cash Beginning Balances | 1,190,142,811 | 1,061,595,681 | 128,547,130 | 1,061,595,681 | 942,505,408 | 943,172,539 |
| Revenues | | | | | | |
| Personal Income Tax | - | - | - | 95,400,000 | 95,400,000 | 95,400,000 |
| Severance Tax | 15,586,322 | 16,855,948 | (1,269,626) | 109,467,900 | 94,562,969 | 93,947,355 |
| Debt Reduction Surcharge | 7,487,280 | 7,518,893 | (31,613) | 34,138,820 | 36,853,941 | 39,758,082 |
| Self-Insured Debt Reduction Surcharge | 2,224,719 | 1,746,490 | 478,229 | 8,493,207 | 8,733,494 | 9,620,197 |
| Video Lottery | 939,774 | 5,650,204 | (4,710,430) | 11,000,000 | 11,000,000 | 11,000,000 |
| Employer Premium | 22,919 | 33,840 | (10,921) | 284,075 | 335,102 | 575,167 |
| Other Income - Return of Unclaimed Property | - | 72,481 | (72,481) | 92,953 | 48,332 | 202,103 |
| Operating Revenues | 26,261,015 | 31,877,855 | (5,616,841) | 258,876,955 | 246,933,837 | 250,502,904 |
| Investment / Interest Earnings (Losses) | 3,132,110 | (6,896,178) | 10,028,288 | 67,734,120 | 77,380,803 | 18,284,929 |
| Total Revenues | 29,393,124 | 24,981,677 | 4,411,447 | 326,611,075 | 324,314,640 | 268,787,833 |
| Expenditures | | | | | | |
| Claims Benefits Paid: | | | | | | |
| Medical | 5,063,099 | 5,834,340 | (771,241) | 31,791,671 | 33,512,816 | 39,226,318 |
| Permanent Total Disability | 17,809,613 | 18,986,719 | (1,177,106) | 111,490,282 | 118,396,619 | 124,963,432 |
| Permanent Partial Disability | 65,307 | 159,720 | (94,413) | 720,723 | 1,137,910 | 1,822,571 |
| Temporary Disability | - | - | - | 7,741 | 59,227 | 188,666 |
| Fatals | 4,249,658 | 4,478,452 | (228,794) | 26,407,057 | 26,966,847 | 28,139,830 |
| 104 weeks death benefit | 896,304 | 992,932 | (96,628) | 6,200,020 | 6,670,578 | 6,729,656 |
| Settlements | 660,244 | 2,426,681 | (1,766,437) | 9,136,495 | 9,011,730 | 56,164,626 |
| Loss Adjustment Expenses | 350,793 | 166,722 | 184,071 | 1,467,221 | 998,774 | 2,333,885 |
| Total | 30,074,930 | 33,045,565 | (2,970,635) | 187,221,209 | 196,754,501 | 259,568,984 |
| Less: Claims credits and overpayments | 220,372 | 1,202,648 | (982,277) | 3,265,629 | 4,617,178 | 8,161,625 |
| Total Benefits Paid | 29,854,559 | 31,842,918 | (1,988,359) | 183,955,580 | 192,137,323 | 251,407,359 |
| Administrative Expenses | 1,023,380 | 3,526,837 | (2,503,457) | 14,108,365 | 13,087,043 | 18,047,605 |
| Total Expenditures | 30,877,939 | 35,369,755 | (4,491,816) | 198,063,945 | 205,224,366 | 269,454,964 |
| Excess (Deficiency) of Revenues over Expenditures | (1,484,815) | (10,388,078) | 8,903,263 | 128,547,130 | 119,090,274 | (667,131) |
| Cash Ending Balances | 1,188,657,996 | 1,051,207,603 | 137,450,393 | 1,190,142,811 | 1,061,595,681 | 942,505,408 |

Note: The purpose of the report is to enhance the user's ability to monitor the cash activities of the Old Fund. The Old Fund assets consist of the Old Fund cash, IMB and BTI Investment accounts and any deposits in transit from the Debt Reduction Fund. The liabilities of the Old Fund consist of the worker's compensation claims and related expenses for all claims, actual and incurred but not reported for claims with dates of injury on or before June 30, 2005. This report is intended to provide a summary of the cash based transactions related to the Fund's assets and liabilities and is not an accrual based presentation. The Old Fund Cash Statement is unaudited information.

COAL WORKERS PNEUMOCONIOSIS FUND

August 31, 2014

| | YTD FY2015 | YTD FY2014 | Change | Three Year History for years ended: | | |
|--|--------------------|--------------------|------------------|-------------------------------------|--------------------|--------------------|
| | | | | FY2014 | FY2013 | FY2012 |
| Cash Beginning Balances | 267,173,190 | 266,720,430 | 452,760 | 266,720,430 | 254,523,752 | 262,926,105 |
| Revenues | | | | | | |
| Investment Earnings (Losses) | 1,107,494 | (1,420,802) | 2,528,296 | 17,915,475 | 24,801,113 | 2,745,783 |
| Total Revenues | 1,107,494 | (1,420,802) | 2,528,296 | 17,915,475 | 24,801,113 | 2,745,783 |
| Expenditures | | | | | | |
| Payment of Claims | 2,579,472 | 2,439,082 | 140,390 | 17,376,923 | 12,550,216 | 11,131,012 |
| Contractual / Professional | 157,356 | - | 157,356 | 85,747 | 53,287 | 17,124 |
| Legal | - | - | - | - | 932 | - |
| General and Administrative | 30 | - | 30 | 45 | - | - |
| Prior Year Expiring Funds | - | - | 0 | - | - | - |
| Total Expenditures | 2,736,858 | 2,439,082 | 297,776 | 17,462,715 | 12,604,435 | 11,148,136 |
| Excess (Deficiency) of Revenues over Expenditures | (1,629,364) | (3,859,885) | 2,230,520 | 452,760 | 12,196,678 | (8,402,353) |
| Cash Ending Balances | 265,543,826 | 262,860,545 | 2,683,281 | 267,173,190 | 266,720,430 | 254,523,752 |

Note: The Coal Worker's Pneumoconiosis Fund (CWP Fund) ceased operations December 31, 2005 and is in run-off status under the administrative oversight of the Insurance Commissioner. Established in 1973, the CWP Fund existed to provide insurance coverage to companies for liabilities incurred as a result of the Federal Coal Mine Health and Safety Act of 1969. Participation in the CWP Fund was voluntary for employers. The current revenues of the CWP Fund are limited to the earnings from invested assets. The liabilities of the CWP Fund consist of the claims for coal miners who are totally disabled or beneficiaries of coal miners who have died as a result of coal worker's pneumoconiosis. To be eligible for benefits from the CWP Fund, the date of last exposure of the coal miner must be on or before December 31, 2005. The Coal Workers Cash Statement is unaudited information.

SELF-INSURED GUARANTY RISK POOL

August 31, 2014

| | YTD FY2015 | YTD FY2014 | Change | Three Year History for years ended: | | |
|--|-------------------|-------------------|----------------|-------------------------------------|-------------------|-------------------|
| | | | | FY2014 | FY2013 | FY2012 |
| Cash Beginning Balances | 15,603,319 | 14,815,337 | 787,982 | 14,815,337 | 10,829,975 | 9,744,809 |
| Revenues | | | | | | |
| Guaranty Risk Pool Assessments | 15,914 | 29,568 | (13,654) | 124,520 | 851,410 | 1,093,066 |
| Collateral Proceeds | - | - | - | - | 2,399,380 | - |
| Investment Earnings (Losses) | 61,248 | (77,777) | 139,025 | 1,027,230 | 1,186,338 | 125,813 |
| Total Revenues | 77,162 | (48,209) | 125,371 | 1,151,751 | 4,437,128 | 1,218,879 |
| Expenditures | | | | | | |
| Payment of Claims | 33,054 | 32,691 | 363 | 255,607 | 332,894 | 28,466 |
| Contractual / Professional | 2,963 | 5,594 | (2,631) | 107,348 | 92,122 | 105,247 |
| Legal | - | 49 | (49) | 815 | 26,750 | - |
| Total Expenditures | 36,017 | 38,334 | (2,317) | 363,769 | 451,765 | 133,713 |
| Excess (Deficiency) of Revenues over Expenditures | 41,146 | (86,543) | 127,689 | 787,981 | 3,985,363 | 1,085,166 |
| Cash Ending Balances | 15,644,465 | 14,728,795 | 915,670 | 15,603,319 | 14,815,337 | 10,829,975 |

The Self-Insured Guaranty Risk Pool covers the claims liabilities of bankrupt or defaulted self-insured employers with dates of injury subsequent to July 1, 2004. The revenues of the Self-Insured Guaranty Fund are comprised of the guaranty risk pool assessments levied on all self-insured employers and the earnings on invested assets. The Self Insured Guaranty Cash Statement is unaudited information.

SELF-INSURED SECURITY RISK POOL

August 31, 2014

| | YTD FY2015 | YTD FY2014 | Change | Three Year History for years ended: | | |
|--|------------------|------------------|-----------------|-------------------------------------|------------------|----------------|
| | | | | FY2014 | FY2013 | FY2012 |
| Cash Beginning Balances | 7,137,316 | 6,754,601 | 382,715 | 6,754,601 | 203,518 | 205,705 |
| Revenues | | | | | | |
| Security Risk Pool Assessments | - | - | - | 1,072,311 | 2,575,705 | - |
| Collateral Proceeds | - | - | - | | 4,657,620 | |
| Investment Earnings (Losses) | 27,788 | - | 27,788 | 151,334 | | - |
| Total Revenues | 27,788 | - | 27,788 | 1,223,645 | 7,233,325 | - |
| Expenditures | | | | | | |
| Payment of Claims | 114,271 | 207,044 | (92,773) | 789,254 | 530,804 | 2,187 |
| Contractual / Professional | 6,155 | 10,927 | (4,772) | 48,446 | 60,413 | - |
| Legal | 96 | 146 | (50) | 3,230 | 91,025 | - |
| Total Expenditures | 120,522 | 218,117 | (97,595) | 840,930 | 682,242 | 2,187 |
| Excess (Deficiency) of Revenues over Expenditures | (92,734) | (218,117) | 125,383 | 382,715 | 6,551,083 | (2,187) |
| Cash Ending Balances | 7,044,582 | 6,536,484 | 508,098 | 7,137,316 | 6,754,601 | 203,518 |

The Self-Insured Security Risk Pool is liable for the worker's compensation claims of bankrupt or defaulted self-insured employers with dates of injury prior to July 1, 2004. However, the obligations of this Fund are limited to the exposures of self-insured employers who default subsequent to December 31, 2005. The Self Insured Security Cash Statement is unaudited information.

UNINSURED EMPLOYERS FUND

August 31, 2014

| | YTD FY2015 | YTD FY2014 | Change | Three Year History for years ended: | | |
|--|-------------------|-------------------|------------------|-------------------------------------|-------------------|------------------|
| | | | | FY2014 | FY2013 | FY2012 |
| Cash Beginning Balances | 11,714,178 | 10,801,353 | 912,825 | 10,801,353 | 9,574,065 | 9,086,330 |
| Revenues | | | | | | |
| Fines and Penalties | 151,738 | 109,771 | 41,966 | 768,532 | 747,771 | 948,896 |
| Investment Earnings (Losses) | 40,110 | (45,879) | 85,989 | 682,644 | 871,603 | 99,906 |
| Total Revenues | 191,847 | 63,891 | 127,955 | 1,451,176 | 1,619,374 | 1,048,802 |
| Expenditures | | | | | | |
| Payment of Claims | 359,763 | 37,322 | 322,441 | 286,421 | 262,002 | 553,676 |
| Contractual/Professional | 3,153 | 2,160 | 993 | 26,825 | 15,024 | 7,392 |
| General and Administrative | - | 30 | (30) | 105 | 60 | - |
| Insurance | - | - | - | 225,000 | 115,000 | - |
| Total Expenditures | 362,916 | 39,512 | 323,404 | 538,351 | 392,086 | 561,067 |
| Excess (Deficiency) of Revenues over Expenditures | (171,069) | 24,379 | (195,448) | 912,825 | 1,227,288 | 487,735 |
| Cash Ending Balances | 11,543,110 | 10,825,732 | 717,377 | 11,714,178 | 10,801,353 | 9,574,065 |

The Uninsured Employer's Fund (UEF) was established January 1, 2006 to provide worker's compensation benefits to injured workers of uninsured WV employers. The revenues of the UEF consist of fines levied on uninsured employers and the earnings on invested assets. The Insurance Commissioner has the right to levy assessments on employers in order to maintain the solvency of the Fund. The Commissioner may recover all payments made from this fund, including interest, from an uninsured employer who is found liable for benefits paid from the UEF. The Uninsured Cash Statement is unaudited information.

OFFICE OF JUDGES

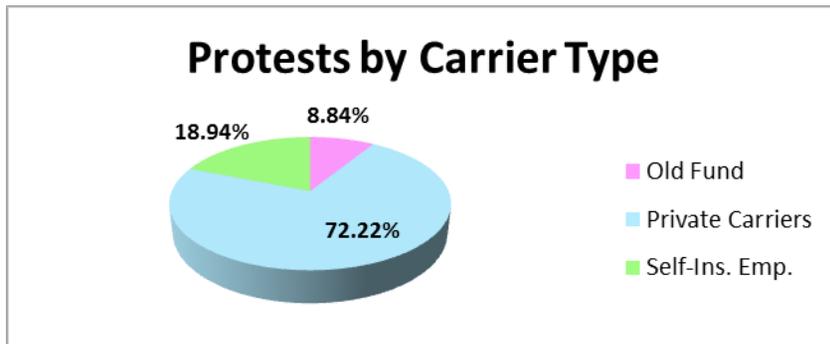
STATISTICAL ANALYSIS

| | | | |
|------------------------|-------------|-------------|-------------|
| Protests Acknowledged: | <u>2013</u> | <u>Aug.</u> | <u>2014</u> |
| | 4612 | 396 | 2898 |

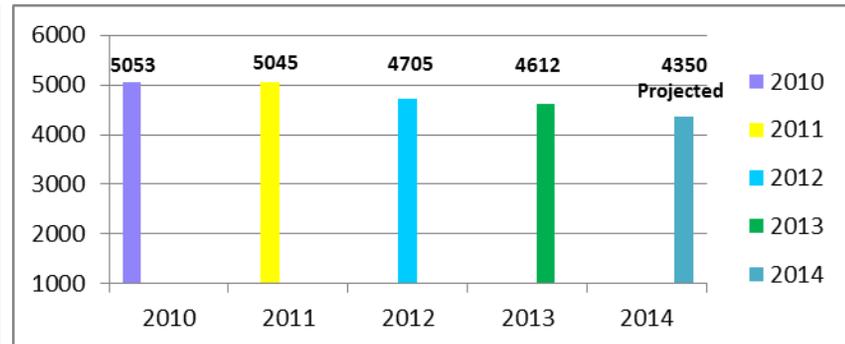
| FUND | 2013 | % of Protests | August '14 | % of Protests | 2014 | % of Protests |
|------------------------|-------------|---------------|------------|---------------|-------------|---------------|
| Old Fund | 498 | 10.80% | 35 | 8.84% | 258 | 8.90% |
| Private Carriers | 3343 | 72.48% | 286 | 72.22% | 2158 | 74.47% |
| Self-Insured Employers | 771 | 16.72% | 75 | 18.94% | 482 | 16.63% |
| | | | | | | |
| Subtotal | 4612 | | 396 | | 2898 | |
| Temporary Category | 577 | | 47 | | 417 | |
| | | | | | | |
| Total | 5189 | | 443 | | 3315 | |

Source: AIMS Database Reports

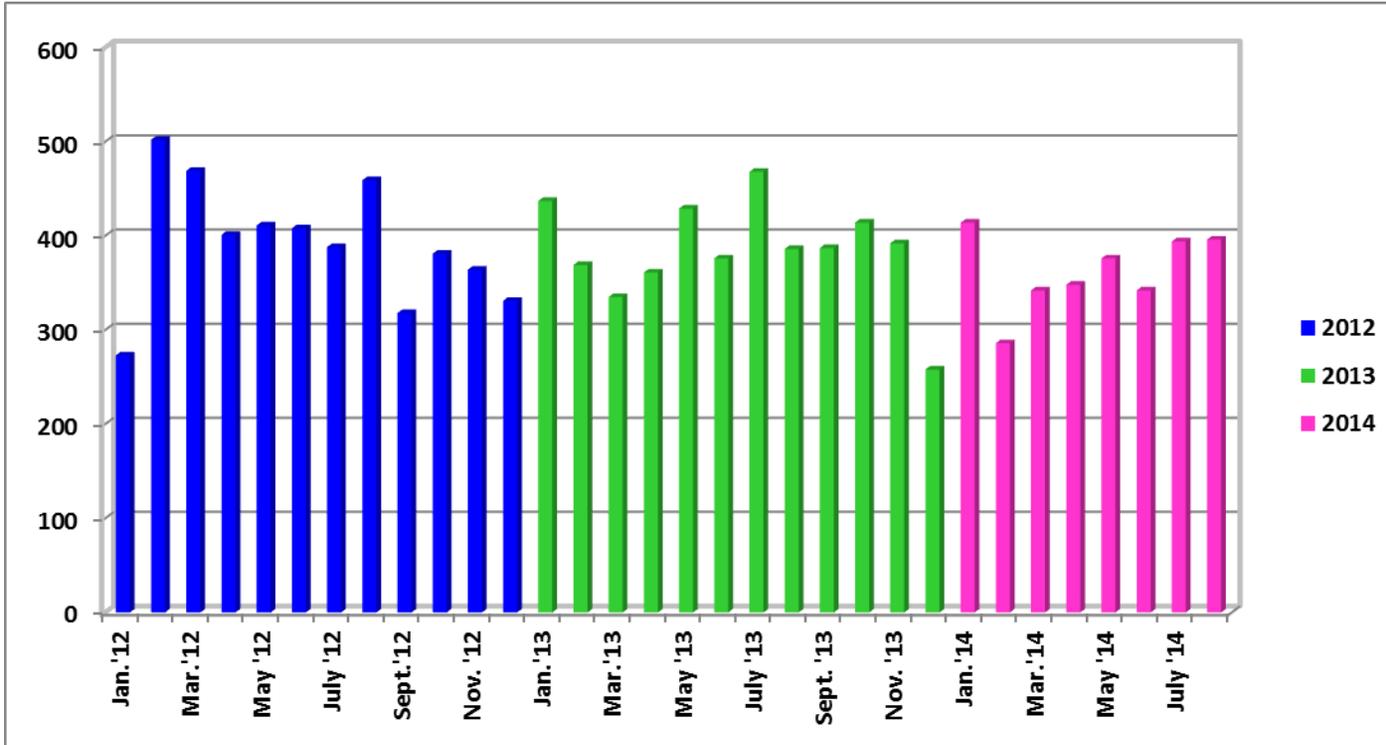
Protests Acknowledged in August 2014
by Carrier Type



Protests Acknowledged by Year Including
Projection for 2014



PROTEST COMPARISON BY MONTH/YEAR



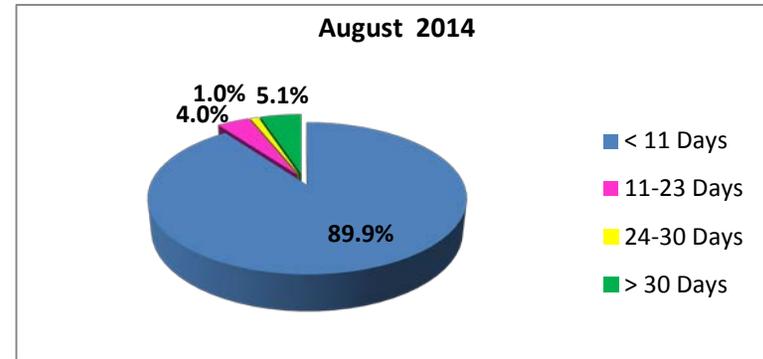
PROTEST ACKNOWLEDGMENTS – August 2014

| Description | Acknowledged | Days to Acknowledgement | | | | | | | |
|---------------------------------|--------------|-------------------------|----|---------|----|---------|----|-------|-----|
| | | > 30 Days | | 30 - 24 | | 23 - 11 | | <11 | |
| | | Count | % | Count | % | Count | % | Count | % |
| BENEFIT OVERPAYMENT | Total: 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 |
| CBO-CL BEN. OVERPAYMENT | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 |
| COMPENSABILITY | Total: 61 | 5 | 8 | 0 | 0 | 1 | 1 | 55 | 90 |
| C8A-CL OIC REJECT CLAIM | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 |
| CCS-CL SEC.CONDITION | 17 | 2 | 11 | 0 | 0 | 0 | 0 | 15 | 88 |
| CHC-CL COMPENSABILITY | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 100 |
| CIS-CL SI SEC.CONDITION | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 100 |
| CLB-CL COMP ON LIMITED BASIS | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 100 |
| CLB-CL SI COMP ON LIMITED BASIS | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 |
| CPI-CL SI REJECT CLAIM | 4 | 1 | 25 | 0 | 0 | 0 | 0 | 3 | 75 |
| CPJ-CL REJECT CLAIM | 25 | 2 | 8 | 0 | 0 | 1 | 4 | 22 | 88 |
| CQR-CL SI REJ OCCDISEASE | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 |
| CRZ-CL REJ OCC DISEASE | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 100 |
| DEP BEN FATAL | Total: 4 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 100 |
| CDF-CL DENY/GRNT DTH BEN | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 100 |
| CIF-CL SI DY/GNT DTH BEN | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 |
| FAILURE TO ACT 10 DAY | Total: 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 |
| C5N-CL FTA NOT COVERED | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 |
| FAILURE TO ACT 15 DAY | Total: 5 | 0 | 0 | 1 | 20 | 0 | 0 | 4 | 80 |
| C03-CL FTA TREATMENT | 3 | 0 | 0 | 1 | 33 | 0 | 0 | 2 | 66 |
| C7C-CL FTA PAYMENT PPD | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 |
| FAILURE TO ACT 30 DAY | Total: 6 | 0 | 0 | 0 | 0 | 1 | 16 | 5 | 83 |
| C7A-CL FTA RO TTD/MED | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 |
| C7D-CL FTA REF FOR EXAM | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 |
| C7E-CL FTA CPLY OJ/BR/SC | 2 | 0 | 0 | 0 | 0 | 1 | 50 | 1 | 50 |
| OP NON-MED | Total: 6 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 100 |
| CIR-CL SI NON-MED ORDER | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 |
| CNR-CL NON-MED ORDER | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 100 |
| OPBD | Total: 26 | 1 | 3 | 0 | 0 | 0 | 0 | 25 | 96 |
| CAO-CL ADD BOARD FINDING | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 |
| CBF-CL % BOARD FINDING | 10 | 1 | 10 | 0 | 0 | 0 | 0 | 9 | 90 |
| CSF-CL% SI BOARD FINDING | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 100 |
| CSO-CL SI AD.BRD FINDING | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 |
| EAO-EM ADD BOARD FINDING | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 |

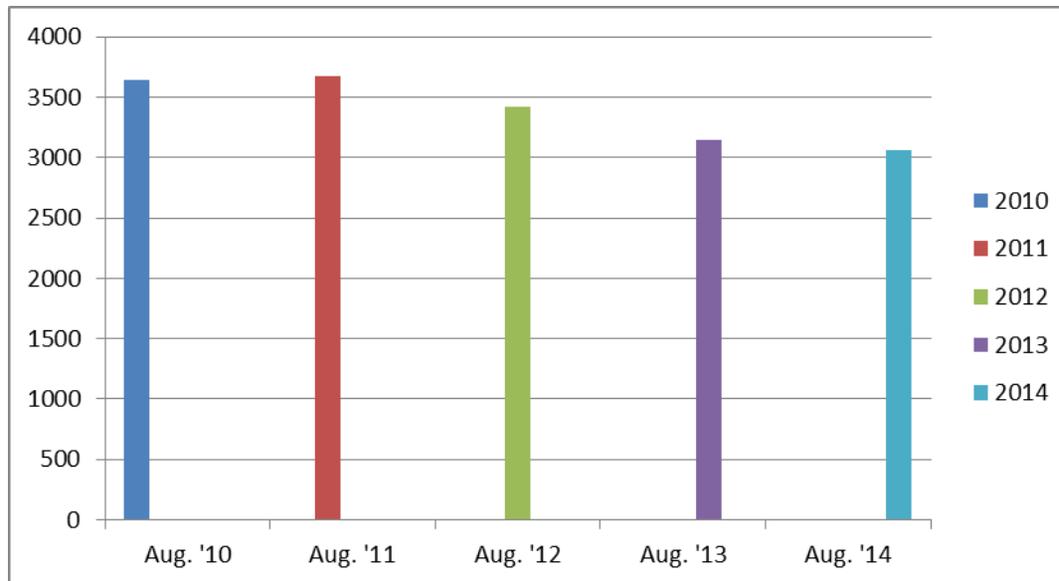
| Description | Acknowledged | Days to Acknowledgement | | | | | | | | |
|---|--------------|-------------------------|------------|----------|------------|-----------|------------|------------|-------------|--|
| | | > 30 Days | | | 30 - 24 | | 23 - 11 | | <11 | |
| | | Count | % | Count | % | Count | % | Count | % | |
| EBF-EM % BOARD FINDING | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 100 | |
| ESF-EM% SI BOARD FINDING | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 | |
| ESO-EM SI AD.BRD FINDING | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 | |
| PPD | Total: 95 | 4 | 4 | 0 | 0 | 7 | 7 | 84 | 88 | |
| CAA-CL ADDL % AWARD D/G | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 100 | |
| CAD-CL % AWARD DENY/GRNT | 65 | 3 | 4 | 0 | 0 | 6 | 9 | 56 | 86 | |
| CIE-CL SI ADD% AWARD D/G | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 100 | |
| CIG-CL SI %AWARD DNY/GNT | 20 | 1 | 5 | 0 | 0 | 0 | 0 | 19 | 95 | |
| EIE-EM SI ADD% AWARD D/G | 1 | 0 | 0 | 0 | 0 | 1 | 100 | 0 | 0 | |
| PTD REVIEWING BODY IMPAIRMENT DETERMINATION | Total: 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 | |
| CEB-CL PTD REV. BODY DETERMINATION | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 | |
| REHABILITATION | Total: 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 100 | |
| CIV-CL SI DY/GRNT RHB PL | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 | |
| CPB-CL GRNT/DNY VOC REHA | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 | |
| REOPENING | Total: 11 | 2 | 18 | 0 | 0 | 0 | 0 | 9 | 81 | |
| CIQ-CL SI DY/GNT R/O PPD | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 | |
| CIY-CL SI DY/GNT R/O TTD | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 | |
| CJV-CL DNY/GRNT R/O PPD | 3 | 1 | 33 | 0 | 0 | 0 | 0 | 2 | 66 | |
| CRD-CL DENY/GRNT R/O TTD | 6 | 1 | 16 | 0 | 0 | 0 | 0 | 5 | 83 | |
| SPECIAL CATEGORY | Total: 12 | 0 | 0 | 0 | 0 | 3 | 25 | 9 | 75 | |
| C1I-CL SI SL CATEGORY | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 100 | |
| CNW-CL SPL CATEGORY | 8 | 0 | 0 | 0 | 0 | 3 | 37 | 5 | 62 | |
| TRMT/EQUIP CL | Total: 111 | 7 | 6 | 1 | 0 | 2 | 1 | 101 | 90 | |
| CBX-CL TRMT DENY | 97 | 6 | 6 | 1 | 1 | 2 | 2 | 88 | 90 | |
| CIH-CL SI AUT/RPR HA D/G | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 | |
| CSX-CL SI TRMT DENY | 10 | 1 | 10 | 0 | 0 | 0 | 0 | 9 | 90 | |
| CYY-CL TRMT GRANT | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 | |
| TTD | Total: 52 | 1 | 1 | 2 | 3 | 2 | 3 | 47 | 90 | |
| CCC-CL CLOSING THE CLAIM | 28 | 0 | 0 | 1 | 3 | 1 | 3 | 26 | 92 | |
| CIC-CL SI CLSING THE CLM | 9 | 1 | 11 | 0 | 0 | 0 | 0 | 8 | 88 | |
| CIJ-CL SI TTD | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 | |
| CJS-CL TTD | 10 | 0 | 0 | 1 | 10 | 1 | 10 | 8 | 80 | |
| CPX-CL INITIAL TTD | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 100 | |
| CSG-CL SI INITIAL TTD | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 | |
| TOTALS: | 396 | 20 | 5.1 | 4 | 1.0 | 16 | 4.0 | 356 | 89.9 | |

PROTEST ACKNOWLEDGMENT TIMELINESS

| Acknowledgment Timeliness | 2013 | Aug. '14 | 2014 |
|---------------------------|-------|----------|-------|
| Protest Ack. < 11 Days | 78.0% | 89.9% | 82.2% |
| Protest Ack. 11 – 23 Days | 16.1% | 4.0% | 11.2% |
| Protest Ack. 24 – 30 Days | 2.3% | 1.0% | 2.0% |
| Protest Ack. > 30 Days | 3.6% | 5.1% | 4.6% |



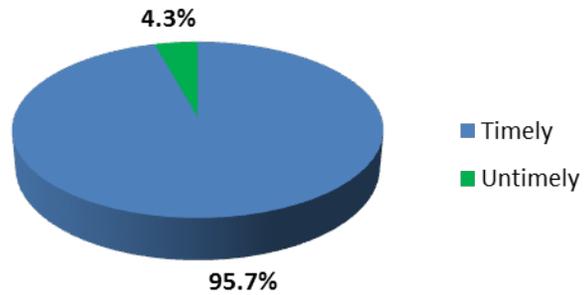
PENDING CASELOAD COMPARISON BY MONTH/YEAR



| | |
|-----------------------------|------|
| Pending as of Sept. 2, 2014 | 3056 |
| Pending 1 Month Before | 3073 |
| Pending 2 Months Before | 3063 |
| Pending 3 Months Before | 3100 |
| Pending 6 Months Before | 3120 |
| Pending 12 Months Before | 3147 |

TIME STANDARD COMPLIANCE

Time Standard Compliance
August 2014

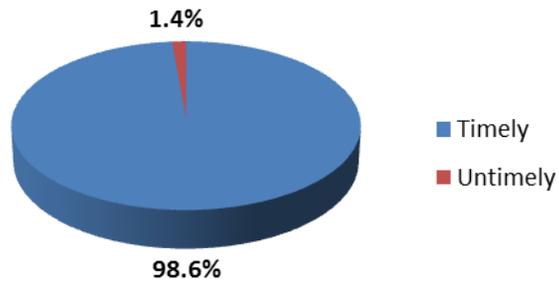


| 2013 | August | 2014 |
|-------|--------|-------|
| 94.9% | 95.7% | 94.2% |

| Time Standard Compliance | Issues | Timely | | Late | |
|--------------------------|------------|------------|-------------|----------|------------|
| | Resolved | Count | % | Count | % |
| COMPENSABILITY | 18 | 18 | 100 | 0 | 100 |
| DEP BEN FATAL | 2 | 2 | 100 | 0 | 100 |
| OP NON-MED | 2 | 2 | 100 | 0 | 100 |
| OPBD | 7 | 7 | 100 | 0 | 100 |
| PPD | 40 | 40 | 100 | 0 | 100 |
| REHABILITATION | 1 | 1 | 100 | 0 | 100 |
| REOPENING | 4 | 4 | 100 | 0 | 100 |
| TRMT/EQUIP CL | 31 | 27 | 87.1 | 4 | 87.1 |
| TTD | 10 | 9 | 90 | 1 | 90 |
| TOTALS: | 115 | 110 | 95.7 | 5 | 4.3 |

MOTION RESOLUTION COMPLIANCE

Motion Compliance
August 2014



| 2013 | August | 2014 |
|-------|--------|-------|
| 97.7% | 98.6% | 98.7% |

| Motion Resolution Compliance | Total | TIMELY | | UNTIMELY | |
|---|------------|------------|-------------|----------|------------|
| | Motions | Count | % | Count | % |
| BENEFIT OVERPAYMENT | 3 | 3 | 100 | 0 | 0 |
| BENEFIT RATE | 4 | 4 | 100 | 0 | 0 |
| COMPENSABILITY | 138 | 136 | 98.6 | 2 | 1.4 |
| DEP BEN 104 | 1 | 1 | 100 | 0 | 0 |
| DEP BEN FATAL | 18 | 18 | 100 | 0 | 0 |
| FAILURE TO ACT 15 DAY | 5 | 5 | 100 | 0 | 0 |
| FAILURE TO ACT 30 DAY | 2 | 2 | 100 | 0 | 0 |
| OP NON-MED | 11 | 11 | 100 | 0 | 0 |
| OPBD | 26 | 26 | 100 | 0 | 0 |
| PPD | 154 | 150 | 97.4 | 4 | 2.6 |
| PTD ENTITLEMENT | 4 | 4 | 100 | 0 | 0 |
| PTD REVIEWING BODY IMPAIRMENT DETERMINATION | 2 | 2 | 100 | 0 | 0 |
| REHABILITATION | 1 | 1 | 100 | 0 | 0 |
| REOPENING | 17 | 16 | 94.1 | 1 | 5.9 |
| SPECIAL CATEGORY | 10 | 10 | 100 | 0 | 0 |
| TEMP | 3 | 3 | 100 | 0 | 0 |
| TRMT/EQUIP CL | 190 | 189 | 99.5 | 1 | 0.5 |
| TTD | 69 | 68 | 98.6 | 1 | 1.4 |
| TOTALS: | 658 | 649 | 98.6 | 9 | 1.4 |

PROTEST RESOLUTIONS

| | | |
|---------------------------------|--------------------|-------------|
| Protests Resolved: | August 2014 | 2014 |
| Protests Decided | 275 | 1943 |
| Withdrawals | 57 | 514 |
| “No Evidence” Dismissals | 36 | 265 |

Resolution of Issues – August 1, 2014 through August 31, 2014

| | Decisions | | REVERSED | | AFFIRMED | | AFFIRMED by RULE | | DISMISSED | | MODIFIED | | MOOT | | OTHER | | REMANDED | |
|---|---------------|-----------|-------------|------------|-------------|-----------|---------------------|------------|-------------|-----------|------------|----------|------------|----------|------------|----------|------------|---|
| | Time Standard | Issued | Count | % | Count | % | Count | % | Count | % | Count | % | Count | % | Count | % | Count | % |
| APPLICATION THRESHOLD | 2 | 2 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| COMPENSABILITY | 62 | 23 | 37.1 | 31 | 50 | 1 | 1.6 | 5 | 8.1 | 1 | 1.6 | 0 | 0 | 1 | 1.6 | 0 | 0 | |
| DEP BEN FATAL | 7 | 0 | 0 | 6 | 85.7 | 0 | 0 | 1 | 14.3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| FAILURE TO ACT 15 DAY | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| FAILURE TO ACT 30 DAY | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| OP NON-MED | 7 | 4 | 57.1 | 1 | 14.3 | 0 | 0 | 2 | 28.6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| OPBD | 22 | 1 | 4.5 | 8 | 36.4 | 3 | 13.6 | 10 | 45.5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| PPD | 92 | 19 | 20.7 | 21 | 22.8 | 14 | 15.2 | 35 | 38 | 3 | 3.3 | 0 | 0 | 0 | 0 | 0 | 0 | |
| PTD REVIEWING BODY IMPAIRMENT DETERMINATION | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| REHABILITATION | 2 | 0 | 0 | 2 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| REOPENING | 16 | 4 | 25 | 8 | 50 | 1 | 6.3 | 2 | 12.5 | 0 | 0 | 0 | 0 | 1 | 6.3 | 0 | 0 | |
| SPECIAL CATEGORY | 13 | 1 | 7.7 | 7 | 53.8 | 1 | 7.7 | 4 | 30.8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| TRMT/EQUIP CL | 137 | 28 | 20.4 | 61 | 44.5 | 12 | 8.8 | 19 | 13.9 | 14 | 10.2 | 1 | 0.7 | 2 | 1.5 | 0 | 0 | |
| TTD | 39 | 6 | 15.4 | 13 | 33.3 | 4 | 10.3 | 15 | 38.5 | 1 | 2.6 | 0 | 0 | 0 | 0 | 0 | 0 | |
| TOTALS: | 406 | 88 | 21.7 | 158 | 38.9 | 36 | 8.9 | 100 | 24.6 | 19 | 4.7 | 1 | 0.2 | 4 | 1.0 | 0 | 0.0 | |

FINAL DECISION TIMELINESS

| Final Decision Timeliness: | 2013 | August 2014 | 2014 |
|----------------------------|-------|-------------|-------|
| < 30 Days | 35.5% | 24.1% | 24.0% |
| 30 – 60 Days | 59.6% | 60.9% | 65.5% |
| 60 – 90 Days | 4.6% | 13.5% | 8.8% |
| >90 Days | 0.3% | 1.5% | 1.7% |

Final Decision Compliance – August 1, 2014 through August 31, 2014

| Final Decision Compliance | Days to Decision | | | | | | | | | |
|---------------------------|------------------|-----------|-------------|------------|-------------|-----------|-------------|----------|------------|--|
| | Issues | < 30 Days | | | 30 - 60 | | 61 - 90 | | Over 90 | |
| | Resolved | Count | % | Count | % | Count | % | Count | % | |
| APPLICATION THRESHOLD | 2 | 0 | 0 | 2 | 100 | 0 | 0 | 0 | 0 | |
| COMPENSABILITY | 57 | 17 | 0 | 33 | 0 | 6 | 0 | 1 | 0 | |
| DEP BEN FATAL | 6 | 1 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | |
| FAILURE TO ACT 15 DAY | 1 | 0 | 0 | 0 | 0 | 1 | 100 | 0 | 0 | |
| FAILURE TO ACT 30 DAY | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 100 | |
| OP NON-MED | 6 | 0 | 0 | 6 | 100 | 0 | 0 | 0 | 0 | |
| OPBD | 9 | 2 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | |
| PPD | 45 | 9 | 0 | 30 | 0 | 6 | 0 | 0 | 0 | |
| REHABILITATION | 2 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | |
| REOPENING | 13 | 1 | 0 | 12 | 0 | 0 | 0 | 0 | 0 | |
| SPECIAL CATEGORY | 8 | 3 | 0 | 4 | 0 | 1 | 0 | 0 | 0 | |
| TRMT/EQUIP CL | 105 | 28 | 0 | 54 | 0 | 22 | 0 | 1 | 0 | |
| TTD | 19 | 4 | 0 | 13 | 0 | 1 | 0 | 1 | 0 | |
| TOTALS: | 274 | 66 | 24.1 | 167 | 60.9 | 37 | 13.5 | 4 | 1.5 | |

FAILURE TO TIMELY ACT PROCESS

| | |
|---|-----------|
| Failure to Timely Act Process | |
| Petitions Filed 1/1/14 through 8/31/2014 | |
| Filed: | 90 |
| | |
| Denied/Dismissed: | 49 |
| Reports to OIC: | 40 |
| Pending: | 34 |
| <i>(Resolutions include petitions filed from previous year)</i> | |

EXPEDITED HEARING PROCESS

| YEAR | MONTHLY TOTAL FOR AUGUST | YEARLY TOTAL |
|------|-----------------------------|--------------|
| | | |
| 2012 | 3 | 113 |
| 2013 | 15 | 173 |
| 2014 | 11 | 112 |

PETITIONS FOR ATTORNEY FEES

**Petitions for
Costs
WV Code §23-5-**

| | |
|---|-----------|
| Petitions Received 1/1/14 through 8/31/2014 | 52 |
| | |
| Granted | 32 |
| Denied | 7 |
| Pending | 10 |
| Other (<i>BOR</i>) | 3 |

**Attorney Fees &
16(c)**

**Petitions for Attorney Fees for Unreasonable Denial
WV Code §23-2C-21(c)**

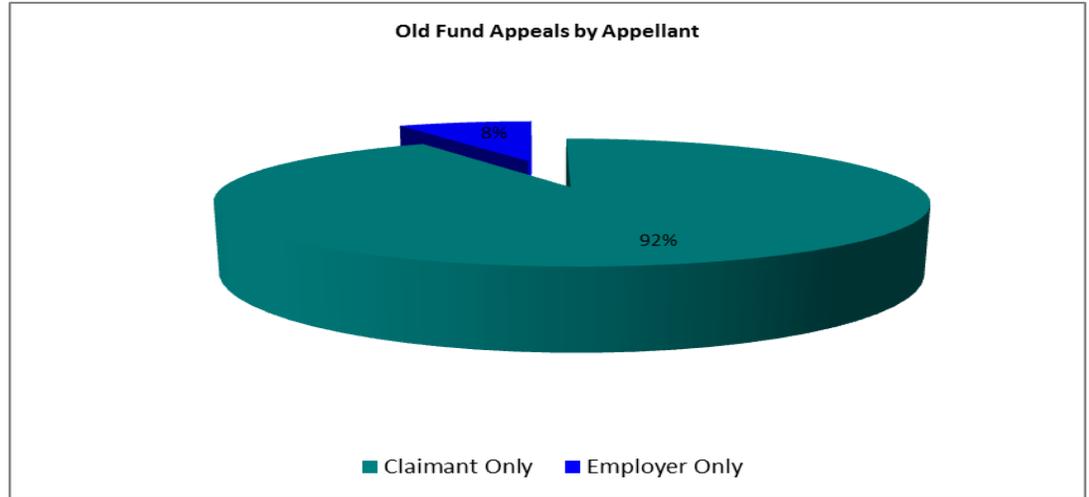
| | |
|---|----------|
| Petitions Received 1/1/14 through 8/31/2014 | 7 |
| | |
| Granted | 3 |
| Denied on face | 2 |
| Denied by ALJ decision | 2 |
| Withdrawn through settlement | 1 |
| Pending* | 3 |
| <i>(Resolved petitions include 4 filed in prior year)</i> | |

BOARD OF REVIEW

Appeals Received
From August 1, 2014 Thru August 31, 2014

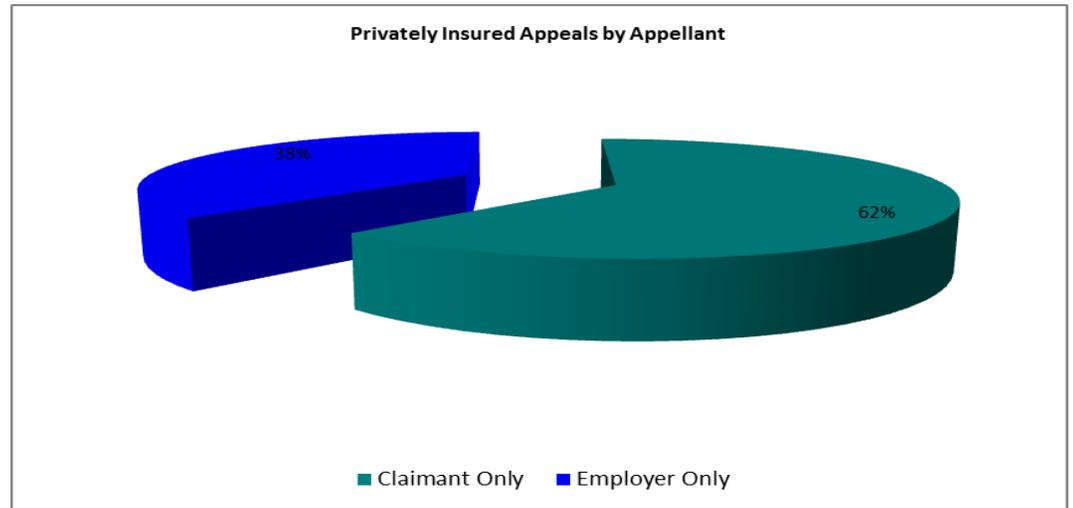
Old Fund Appeals (DOI < Jul-1-2005)

| Appellant | Count |
|----------------|-------|
| Claimant Only | 11 |
| Employer Only | 1 |
| Old Fund Total | 12 |



Privately Insured Appeals (DOI > Jun-30-2005)

| Appellant | Count |
|-------------------------|-------|
| Claimant Only | 35 |
| Employer Only | 21 |
| Privately Insured Total | 56 |
| Total Appeals | 68 |

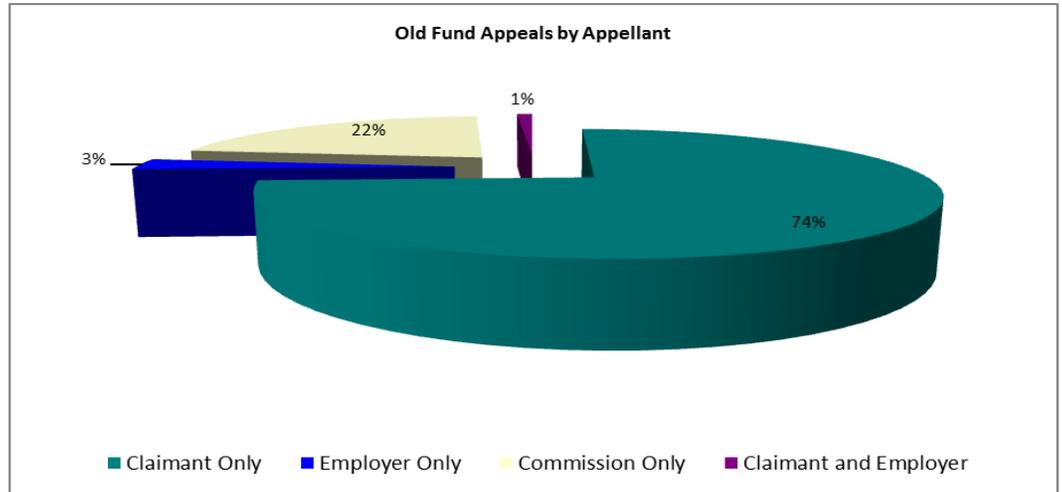


Appeals counted more than once:

Yearly Appeals Received
From January 1, 2014 thru August 31, 2014

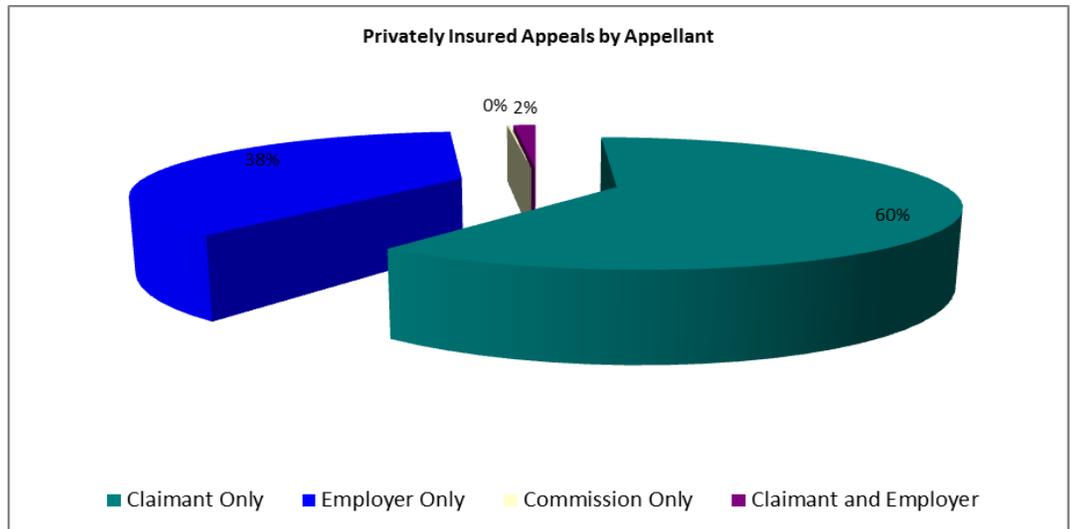
Old Fund Appeals (DOI < Jul-1-2005)

| Appellant | Count |
|-----------------------|------------|
| Claimant Only | 75 |
| Employer Only | 3 |
| Commission Only | 22 |
| Claimant and Employer | 1 |
| Old Fund Total | 101 |



Privately Insured Appeals (DOI > Jun-30-2005)

| Appellant | Count |
|--------------------------------|------------|
| Claimant Only | 295 |
| Employer Only | 186 |
| Commission Only | 1 |
| Claimant and Employer | 7 |
| Privately Insured Total | 489 |
| Total Appeals | 590 |



Appeals Received By Issue
 Old Fund Appeals (DOI < Jul-1-2005) vs Privately Insured Appeals (DOI > Jun-30-2005)
 From August 1, 2014 Thru August 31, 2014

| Type of Issue | Total Issues | Old Fund | | Privately Insured | |
|--------------------------------|--------------|-----------|-------------|-------------------|-------------|
| | | # | % | # | % |
| CL % AWARD DENY/GRNT | 9 | 0 | 0.0 | 9 | 100.0 |
| CL ADDL % AWARD D/G | 1 | 0 | 0.0 | 1 | 100.0 |
| CL CLOSING THE CLAIM | 11 | 0 | 0.0 | 11 | 100.0 |
| CL DENY/GRNT 104 WKS | 1 | 1 | 100.0 | 0 | 0.0 |
| CL DENY/GRNT R/O TTD | 3 | 0 | 0.0 | 3 | 100.0 |
| CL DNY/GRNT R/O PPD | 2 | 2 | 100.0 | 0 | 0.0 |
| CL FTA ACT UPON PPD | 1 | 0 | 0.0 | 1 | 100.0 |
| CL NON-MED ORDER | 1 | 0 | 0.0 | 1 | 100.0 |
| CL PTD REV. BODY DETERMINATION | 1 | 1 | 100.0 | 0 | 0.0 |
| CL REJ OCC DISEASE | 2 | 0 | 0.0 | 2 | 100.0 |
| CL REJECT CLAIM | 12 | 0 | 0.0 | 12 | 100.0 |
| CL SEC.CONDITION | 9 | 1 | 11.1 | 8 | 88.9 |
| CL SI %AWARD DNY/GNT | 3 | 1 | 33.3 | 2 | 66.7 |
| CL SI AD.BRD FINDING | 1 | 1 | 100.0 | 0 | 0.0 |
| CL SI ADD% AWARD D/G | 1 | 0 | 0.0 | 1 | 100.0 |
| CL SI CLSING THE CLM | 2 | 0 | 0.0 | 2 | 100.0 |
| CL SI EQUIP DNY/GRNT | 1 | 1 | 100.0 | 0 | 0.0 |
| CL SI NON-MED ORDER | 1 | 1 | 100.0 | 0 | 0.0 |
| CL SI REJECT CLAIM | 2 | 0 | 0.0 | 2 | 100.0 |
| CL SI TRMT DENY | 2 | 0 | 0.0 | 2 | 100.0 |
| CL TRMT DENY | 14 | 5 | 35.7 | 9 | 64.3 |
| NonPrstable Protest | 1 | 0 | 0.0 | 1 | 100.0 |
| Totals | 81 | 14 | 17.3 | 67 | 82.7 |

Yearly Appeals Received By Issue
 Old Fund Appeals (DOI < Jul-1-2005) vs Privately Insured Appeals (DOI > Jun-30-2005)
 From January 1, 2014 thru August 31,2014

| Type of Issue | Total Issues | Old Fund | | Privately Insured | |
|--------------------------------|--------------|----------|-------|-------------------|-------|
| | | # | % | # | % |
| CL % AWARD DENY/GRNT | 70 | 2 | 2.9 | 68 | 97.1 |
| CL % BOARD FINDING | 5 | 1 | 20.0 | 4 | 80.0 |
| CL ADD BOARD FINDING | 2 | 0 | 0.0 | 2 | 100.0 |
| CL ADDL % AWARD D/G | 6 | 1 | 16.7 | 5 | 83.3 |
| CL APP.THRESHOLD | 2 | 1 | 50.0 | 1 | 50.0 |
| CL BEN. OVERPAYMENT | 2 | 1 | 50.0 | 1 | 50.0 |
| CL CLOSING THE CLAIM | 46 | 0 | 0.0 | 46 | 100.0 |
| CL COMP ON LIMITED BASIS | 2 | 0 | 0.0 | 2 | 100.0 |
| CL COMPENSABILITY | 5 | 0 | 0.0 | 5 | 100.0 |
| CL D/G PROV CHNG | 4 | 0 | 0.0 | 4 | 100.0 |
| CL DENY/GRANT PTD | 2 | 2 | 100.0 | 0 | 0.0 |
| CL DENY/GRNT 104 WKS | 1 | 1 | 100.0 | 0 | 0.0 |
| CL DENY/GRNT DTH BEN | 6 | 4 | 66.7 | 2 | 33.3 |
| CL DENY/GRNT R/O TTD | 24 | 2 | 8.3 | 22 | 91.7 |
| CL DNY/GRNT R/O PPD | 9 | 6 | 66.7 | 3 | 33.3 |
| CL DNY/GRNT R/O PTD | 1 | 1 | 100.0 | 0 | 0.0 |
| CL EQUIP DENY/GRANT | 2 | 2 | 100.0 | 0 | 0.0 |
| CL FTA ACT UPON PPD | 1 | 0 | 0.0 | 1 | 100.0 |
| CL GRNT/DNY TP REHAB | 1 | 0 | 0.0 | 1 | 100.0 |
| CL GRNT/DNY VOC REHA | 3 | 0 | 0.0 | 3 | 100.0 |
| CL INITIAL TTD | 2 | 0 | 0.0 | 2 | 100.0 |
| CL NON-MED ORDER | 7 | 3 | 42.9 | 4 | 57.1 |
| CL OIC REJECT CLAIM | 1 | 0 | 0.0 | 1 | 100.0 |
| CL PRSTS BEN RATE | 3 | 1 | 33.3 | 2 | 66.7 |
| CL PTD REV. BODY DETERMINATION | 5 | 3 | 60.0 | 2 | 40.0 |
| CL REJ OCC DISEASE | 17 | 1 | 5.9 | 16 | 94.1 |
| CL REJECT CLAIM | 90 | 1 | 1.1 | 89 | 98.9 |
| CL SEC.CONDITION | 60 | 5 | 8.3 | 55 | 91.7 |
| CL SI %AWARD DNY/GNT | 24 | 2 | 8.3 | 22 | 91.7 |
| CL SI AD.BRD FINDING | 1 | 1 | 100.0 | 0 | 0.0 |
| CL SI ADD% AWARD D/G | 3 | 1 | 33.3 | 2 | 66.7 |
| CL SI CLSING THE CLM | 8 | 0 | 0.0 | 8 | 100.0 |
| CL SI COMP ON LIMITED BASIS | 1 | 0 | 0.0 | 1 | 100.0 |
| CL SI DY/GNT DTH BEN | 3 | 3 | 100.0 | 0 | 0.0 |
| CL SI DY/GNT R/O PPD | 2 | 0 | 0.0 | 2 | 100.0 |
| CL SI DY/GNT R/O TTD | 3 | 0 | 0.0 | 3 | 100.0 |
| CL SI DY/GRNT RHB PL | 1 | 0 | 0.0 | 1 | 100.0 |
| CL SI EQUIP DNY/GRNT | 1 | 1 | 100.0 | 0 | 0.0 |
| CL SI NON-MED ORDER | 3 | 3 | 100.0 | 0 | 0.0 |

| Type of Issue | Total Issues | Old Fund | | Privately Insured | |
|----------------------|--------------|------------|-------------|-------------------|-------------|
| | | # | % | # | % |
| CL SI REJ OCCDISEASE | 3 | 0 | 0.0 | 3 | 100.0 |
| CL SI REJECT CLAIM | 19 | 1 | 5.3 | 18 | 94.7 |
| CL SI SEC.CONDITION | 10 | 1 | 10.0 | 9 | 90.0 |
| CL SI TRMT DENY | 31 | 5 | 16.1 | 26 | 83.9 |
| CL SI TTD | 4 | 0 | 0.0 | 4 | 100.0 |
| CL SPL CATEGORY | 12 | 2 | 16.7 | 10 | 83.3 |
| CL TRMT DENY | 163 | 44 | 27.0 | 119 | 73.0 |
| CL TRMT GRANT | 3 | 3 | 100.0 | 0 | 0.0 |
| CL TTD | 8 | 0 | 0.0 | 8 | 100.0 |
| CL% SI BOARD FINDING | 4 | 1 | 25.0 | 3 | 75.0 |
| NonPrstable Protest | 1 | 0 | 0.0 | 1 | 100.0 |
| Not All Parties cc'd | 1 | 1 | 100.0 | 0 | 0.0 |
| PRT NOT CC-NoEMP ORD | 1 | 1 | 100.0 | 0 | 0.0 |
| UnTimely Protest | 1 | 0 | 0.0 | 1 | 100.0 |
| Totals | 690 | 108 | 15.7 | 582 | 84.3 |

Appeals Received By Issue
From August 1, 2014 Thru August 31, 2014

| Type of Issue | Total Issues | Claimant | | Employer | | OIC | | Emp and OIC | |
|--------------------------------|--------------|-----------|-------------|-----------|-------------|----------|------------|-------------|------------|
| | | # | % | # | % | # | % | # | % |
| CL % AWARD DENY/GRNT | 9 | 6 | 66.7 | 3 | 33.3 | 0 | 0.0 | 0 | 0.0 |
| CL ADDL % AWARD D/G | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL CLOSING THE CLAIM | 11 | 7 | 63.6 | 4 | 36.4 | 0 | 0.0 | 0 | 0.0 |
| CL DENY/GRNT 104 WKS | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL DENY/GRNT R/O TTD | 3 | 3 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL DNY/GRNT R/O PPD | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL FTA ACT UPON PPD | 1 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 |
| CL NON-MED ORDER | 1 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 |
| CL PTD REV. BODY DETERMINATION | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL REJ OCC DISEASE | 2 | 0 | 0.0 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 |
| CL REJECT CLAIM | 12 | 6 | 50.0 | 6 | 50.0 | 0 | 0.0 | 0 | 0.0 |
| CL SEC.CONDITION | 9 | 5 | 55.6 | 4 | 44.4 | 0 | 0.0 | 0 | 0.0 |
| CL SI %AWARD DNY/GNT | 3 | 3 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI AD.BRD FINDING | 1 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI ADD% AWARD D/G | 1 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI CLSING THE CLM | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI EQUIP DNY/GRNT | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI NON-MED ORDER | 1 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI REJECT CLAIM | 2 | 0 | 0.0 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI TRMT DENY | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL TRMT DENY | 14 | 10 | 71.4 | 4 | 28.6 | 0 | 0.0 | 0 | 0.0 |
| NonPrstable Protest | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| Totals | 81 | 51 | 63.0 | 30 | 37.0 | 0 | 0.0 | 0 | 0.0 |

**WORKERS' COMPENSATION BOARD OF REVIEW
FOR AUGUST 2014**

| Appealed By | BOR Disposition | Disposition Count | Disp % | Total % | Year to Date | Disp % | Total % |
|--------------------------|--------------------|-------------------|--------|---------|--------------|--------|---------|
| CLAIMANT | AFFIRMED | 65 | 82.3% | 52.4% | 305 | 80.9% | 48.8% |
| | DISMISSED | 6 | 7.6% | 4.8% | 29 | 7.7% | 4.6% |
| | MODIFY | 1 | 1.3% | 0.8% | 6 | 1.6% | 1.0% |
| | MODIFY AND REMAND | | | | 2 | 0.5% | 0.3% |
| | MOOT | | | | 1 | 0.3% | 0.2% |
| | REMAND | 2 | 2.5% | 1.6% | 12 | 3.2% | 1.9% |
| | REVERSE | 5 | 6.3% | 4.0% | 22 | 5.8% | 3.5% |
| | Total Dispositions | 79 | | | 377 | | |
| CLAIMANT/EMPLOYER | AFFIRMED | 2 | 50.0% | 1.6% | 4 | 57.1% | 0.6% |
| | DISMISSED | 1 | 25.0% | 0.8% | 1 | 14.3% | 0.2% |
| | MODIFY | | | | 1 | 14.3% | 0.2% |
| | REVERSE | 1 | 25.0% | 0.8% | 1 | 14.3% | 0.2% |
| | Total Dispositions | 4 | | | 7 | | |
| EMPLOYER | AFFIRMED | 25 | 65.8% | 20.2% | 142 | 65.7% | 22.7% |
| | DISMISSED | 4 | 10.5% | 3.2% | 26 | 12.0% | 4.2% |
| | MODIFY | 2 | 5.3% | 1.6% | 12 | 5.6% | 1.9% |
| | REMAND | 1 | 2.6% | 0.8% | 6 | 2.8% | 1.0% |
| | REVERSE | 6 | 15.8% | 4.8% | 30 | 13.9% | 4.8% |
| | Total Dispositions | 38 | | | 216 | | |
| DIVISION/OIC | AFFIRMED | 2 | 66.7% | 1.6% | 12 | 48.0% | 1.9% |
| | DISMISSED | | | | 2 | 8.0% | 0.3% |
| | REMAND | | | | 2 | 8.0% | 0.3% |
| | REVERSE | 1 | 33.3% | 0.8% | 9 | 36.0% | 1.4% |
| | Total Dispositions | 3 | | | 25 | | |
| Grand Totals | | 124 | | | 625 | | |

Dispositions by Issues
BOR Orders Mailed From August 1, 2014 Thru August 31, 2014

| Type of Issue | Issues | Affirmed | | Reversed | | Dismissed | | Modified | | Remanded | | Vacated | | Other | |
|---|------------|------------|-------------|-----------|-------------|-----------|------------|----------|------------|----------|------------|----------|------------|----------|------------|
| | | # | % | # | % | # | % | # | % | # | % | # | % | # | % |
| CL % AWARD DENY/GRNT | 17 | 12 | 70.6 | 2 | 11.8 | 1 | 5.9 | 0 | 0.0 | 2 | 11.8 | 0 | 0.0 | 0 | 0.0 |
| CL ADD BOARD FINDING | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL ADDL % AWARD D/G | 3 | 3 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL CLOSING THE CLAIM | 9 | 7 | 77.8 | 1 | 11.1 | 1 | 11.1 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL DENY/GRNT DTH BEN | 4 | 4 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL DENY/GRNT R/O TTD | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL DNY/GRNT R/O PPD | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL DNY/GRNT R/O PTD | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL FTA ACT UPON PPD | 1 | 0 | 0.0 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL INITIAL TTD | 1 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL NON-MED ORDER | 3 | 2 | 66.7 | 0 | 0.0 | 1 | 33.3 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL OIC REJECT CLAIM | 1 | 0 | 0.0 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL PRSTS BEN RATE | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL REJ OCC DISEASE | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL REJECT CLAIM | 24 | 20 | 83.3 | 2 | 8.3 | 0 | 0.0 | 1 | 4.2 | 1 | 4.2 | 0 | 0.0 | 0 | 0.0 |
| CL SEC.CONDITION | 10 | 9 | 90.0 | 0 | 0.0 | 0 | 0.0 | 1 | 10.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI %AWARD DNY/GNT | 5 | 3 | 60.0 | 0 | 0.0 | 2 | 40.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI ADD% AWARD D/G | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI CLSING THE CLM | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI REJ OCCDISEASE | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI REJECT CLAIM | 4 | 4 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI SEC.CONDITION | 4 | 3 | 75.0 | 1 | 25.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI TRMT DENY | 5 | 5 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SPL CATEGORY | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL TRMT DENY | 28 | 20 | 71.4 | 6 | 21.4 | 2 | 7.1 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL TTD | 1 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL% SI BOARD FINDING | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| NonPrstable Protest | 1 | 0 | 0.0 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| Not All Parties cc'd | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| UnTimely Protest | 1 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| Totals | 137 | 107 | 78.1 | 14 | 10.2 | 10 | 7.3 | 3 | 2.2 | 3 | 2.2 | 0 | 0.0 | 0 | 0.0 |
| | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| Appeals counted in multiple categories: | | | | | | | | | | | | | | | |

Yearly Dispositions by Issues
BOR Orders Mailed From January 1, 2014 thru August 31, 2014

| Type of Issue | Issues | Affirmed | | Reversed | | Dismissed | | Modified | | Remanded | | Vacated | | Other | |
|--------------------------------|--------|----------|-------|----------|-------|-----------|-------|----------|------|----------|-------|---------|-----|-------|-----|
| | | # | % | # | % | # | % | # | % | # | % | # | % | # | % |
| CL % AWARD DENY/GRNT | 77 | 59 | 76.6 | 4 | 5.2 | 8 | 10.4 | 3 | 3.9 | 3 | 3.9 | 0 | 0.0 | 0 | 0.0 |
| CL % BOARD FINDING | 7 | 6 | 85.7 | 1 | 14.3 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL ADD BOARD FINDING | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL ADDL % AWARD D/G | 8 | 7 | 87.5 | 1 | 12.5 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL BEN. OVERPAYMENT | 2 | 1 | 50.0 | 1 | 50.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL CLOSING THE CLAIM | 34 | 24 | 70.6 | 4 | 11.8 | 4 | 11.8 | 1 | 2.9 | 0 | 0.0 | 0 | 0.0 | 1 | 2.9 |
| CL COMP ON LIMITED BASIS | 1 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 |
| CL COMPENSABILITY | 5 | 3 | 60.0 | 1 | 20.0 | 1 | 20.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL D/G PROV CHNG | 2 | 1 | 50.0 | 0 | 0.0 | 0 | 0.0 | 1 | 50.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL DENY/GRANT PTD | 3 | 2 | 66.7 | 0 | 0.0 | 1 | 33.3 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL DENY/GRNT DTH BEN | 17 | 13 | 76.5 | 2 | 11.8 | 0 | 0.0 | 1 | 5.9 | 1 | 5.9 | 0 | 0.0 | 0 | 0.0 |
| CL DENY/GRNT R/O TTD | 18 | 16 | 88.9 | 0 | 0.0 | 1 | 5.6 | 0 | 0.0 | 1 | 5.6 | 0 | 0.0 | 0 | 0.0 |
| CL DNY/GRNT R/O PPD | 5 | 5 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL DNY/GRNT R/O PTD | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL EQUIP DENY/GRANT | 1 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL EXTRA WKS D/G | 1 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL FTA ACT UPON PPD | 1 | 0 | 0.0 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL GRNT/DNY TP REHAB | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL GRNT/DNY VOC REHA | 2 | 1 | 50.0 | 1 | 50.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL INITIAL TTD | 7 | 5 | 71.4 | 1 | 14.3 | 0 | 0.0 | 0 | 0.0 | 1 | 14.3 | 0 | 0.0 | 0 | 0.0 |
| CL NON-MED ORDER | 8 | 3 | 37.5 | 0 | 0.0 | 2 | 25.0 | 1 | 12.5 | 2 | 25.0 | 0 | 0.0 | 0 | 0.0 |
| CL OIC REJECT CLAIM | 1 | 0 | 0.0 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL ONSET DATE ISSUE | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL PRSTS BEN RATE | 3 | 3 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL PTD REV. BODY DETERMINATION | 10 | 5 | 50.0 | 2 | 20.0 | 2 | 20.0 | 0 | 0.0 | 1 | 10.0 | 0 | 0.0 | 0 | 0.0 |
| CL REJ OCC DISEASE | 14 | 10 | 71.4 | 0 | 0.0 | 3 | 21.4 | 1 | 7.1 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL REJECT CLAIM | 87 | 64 | 73.6 | 9 | 10.3 | 8 | 9.2 | 4 | 4.6 | 2 | 2.3 | 0 | 0.0 | 0 | 0.0 |
| CL SEC.CONDITION | 49 | 40 | 81.6 | 4 | 8.2 | 3 | 6.1 | 1 | 2.0 | 0 | 0.0 | 0 | 0.0 | 1 | 2.0 |
| CL SI %AWARD DNY/GNT | 20 | 13 | 65.0 | 3 | 15.0 | 4 | 20.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI AD.BRD FINDING | 3 | 3 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI ADD% AWARD D/G | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI AUT/RPR HA D/G | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI CLSING THE CLM | 7 | 7 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI COMPENSABLE | 3 | 3 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI D/G 104 WKS | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI DENY/GRANT PTD | 1 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 |

| Type of Issue | Issues | Affirmed | | Reversed | | Dismissed | | Modified | | Remanded | | Vacated | | Other | |
|----------------------|------------|------------|---------------|-----------|--------------|-----------|---------------|-----------|---------------|-----------|---------------|----------|----------|----------|---------------|
| | | # | % | # | % | # | % | # | % | # | % | # | % | # | % |
| CL SI DY/GNT DTH BEN | 7 | 5 | 71.4 | 1 | 14.3 | 1 | 14.3 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI DY/GNT R/O PPD | 2 | 2 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI DY/GNT R/O TTD | 12 | 11 | 91.7 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 1 | 8.3 |
| CL SI EQUIP DNY/GRNT | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI NON-MED ORDER | 1 | 1 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI REJ OCCDISEASE | 4 | 4 | 100.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI REJECT CLAIM | 17 | 16 | 94.1 | 0 | 0.0 | 1 | 5.9 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI SEC.CONDITION | 11 | 10 | 90.9 | 1 | 9.1 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| CL SI SL CATEGORY | 2 | 2 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| CL SI TRMT DENY | 48 | 40 | 83.333 | 5 | 10.417 | 1 | 2.0833 | 1 | 2.0833 | 1 | 2.0833 | 0 | 0 | 0 | 0 |
| CL SI TTD | 4 | 4 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| CL SPL CATEGORY | 8 | 5 | 62.5 | 1 | 12.5 | 1 | 12.5 | 1 | 12.5 | 0 | 0 | 0 | 0 | 0 | 0 |
| CL TRMT DENY | 167 | 117 | 70.06 | 22 | 13.174 | 17 | 10.18 | 3 | 1.7964 | 7 | 4.1916 | 0 | 0 | 1 | 0.5988 |
| CL TRMT GRANT | 3 | 3 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| CL TTD | 7 | 6 | 85.714 | 0 | 0 | 0 | 0 | 1 | 14.286 | 0 | 0 | 0 | 0 | 0 | 0 |
| CL% SI BOARD FINDING | 2 | 1 | 50 | 0 | 0 | 0 | 0 | 1 | 50 | 0 | 0 | 0 | 0 | 0 | 0 |
| EM % BOARD FINDING | 2 | 1 | 50 | 0 | 0 | 0 | 0 | 1 | 50 | 0 | 0 | 0 | 0 | 0 | 0 |
| EM DENY/GRNT DTH BEN | 1 | 1 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| EM OIC SPL CATEGORY | 1 | 0 | 0 | 1 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NonPrstable Protest | 1 | 0 | 0 | 0 | 0 | 1 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Not All Parties cc'd | 1 | 1 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PRT NOT CC-NoEMP ORD | 1 | 1 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| UnTimely Protest | 1 | 0 | 0 | 1 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Totals | 711 | 536 | 75.387 | 68 | 9.564 | 61 | 8.5795 | 21 | 2.9536 | 21 | 2.9536 | 0 | 0 | 4 | 0.5626 |

REVENUE RECOVERY

Workers' Compensation - August 2014

| COLLECTION ACTIVITY | Current Month | Fiscal Year 2015 |
|--|-----------------|------------------|
| Receipts - Old Fund (Employer out of business) | \$ 2,033.24 | \$ 15,033.56 |
| Receipts - PC & NU (Private Carrier Cancellation & Rogue Employers) | \$ 56,191.99 | \$ 113,034.43 |
| Receipts - Payment Agreements (Old Fund and UEF Combined) | \$ 10,075.89 | \$ 22,101.33 |
| # of active accounts uninsured (cumulative) /(average) | 335 | 335 |
| \$ of active accounts uninsured (cumulative) / (average) | \$ 1,214,165.40 | \$ 1,214,165.40 |
| Telephone contacts | 1,331 | 1,331 |
| Walk-ins | 8 | 8 |

| LIENS | Current Month | Fiscal Year 2015 |
|--|---------------|------------------|
| Liens sent to county clerks for recordation | 85 | 196 |
| Liens sent to county clerks for release | 85 | 134 |
| Intent to lien letters sent to employer/owner/officer/member | 112 | 232 |

| | | |
|---|--------------|---------------|
| Uninsured Accounts Resolved | 216 | 417 |
| All Cash Receipts from WC accounts | \$ 68,301.12 | \$ 150,169.32 |

| INJUNCTIONS | Current Month | Fiscal Year 2015 |
|--|---------------|------------------|
| Affidavits for injunction submitted to legal | 2 | 8 |
| Hearings attended | 2 | 3 |
| # of injunction complaints accepted by court | 2 | 2 |
| # of injunctions granted | 2 | 2 |
| # of agreed orders entered | 0 | 0 |
| PAYMENT AGREEMENTS | Current Month | Fiscal Year 2015 |
| # of repayment agreements applications | 2 | 6 |
| Agreements set up | 3 | 7 |
| Total # of agreements on system (cumulative) / (average) | 49 | 49 |
| Intent to void letters mailed | 6 | 12 |
| Agreements voided | 3 | 5 |

| MISCELLANEOUS | Current Month | Fiscal Year 2015 |
|------------------------|---------------|------------------|
| Terminations Processed | 113 | 167 |
| Rule 11 Letters Mailed | 191 | 371 |
| Rule 11 hearings | 0 | 0 |

BOARD OF TREASURY INVESTMENTS

CALENDAR NOTE

Board Meeting
October 30, 2014

OPERATING REPORT

JULY 2014

Board of Treasury Investments

1900 Kanawha
Boulevard East
Suite E-122
Charleston WV
25305
(304) 340-1578
www.wvbt.com

Board of Directors

John D. Perdue,
State Treasurer,
Chairman

Earl Ray Tomblin,
Governor

Glen B. Gainer III,
State Auditor

Martin Glasser, Esq.,
Attorney
Appointed by the
Governor

Richard "Chap"
Donovan, CPA
Appointed by the
Governor

Executive Staff

Executive
Director
Kara K. Hughes,
CPA, MBA, CFE

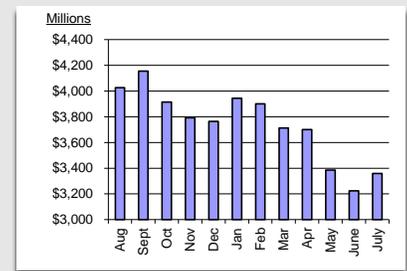
Chief Financial
Officer
Karl Shanholtzer,
CIA, CPA

Total Net Assets Under Management

\$3,358,240,000

Last Month
\$3,223,614,000

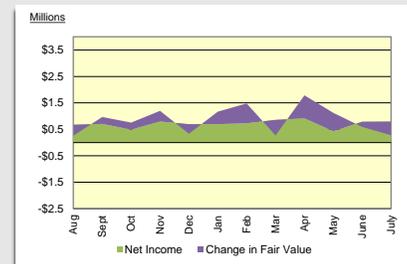
Beginning of Fiscal Year
\$3,223,614,000



Net Assets for the Past 12 Months

Total Net Income & Changes in Fair Value

Fiscal Year
\$278,000



Monthly Net Income & Changes in Fair Value for the Past 12 Months

Money Market Pools As of July 31, 2014

| <u>Pool</u> | <u>30-Day Avg. Yield *</u> | <u>W.A.M. **</u> | <u>Net Assets</u> |
|-----------------------|----------------------------|------------------|-------------------|
| WV Money Market | 0.1127% | 44 Days | \$2.1 Billion |
| WV Gov't Money Market | 0.0255% | 54 Days | \$253.8 Million |

* Yields represent the simple money market yield net of fees.

** W.A.M. is the weighted average maturity.

WEST VIRGINIA BOARD OF TREASURY INVESTMENTS

THE ECONOMIC STATE

JULY 2014

July Finished Red Due to Escalating Global Conflict

Market Environment

Most asset classes finished in the red in July as volatility crept up at the end of the month to levels last seen in April. Global equities tumbled as escalating conflict around the globe overshadowed strong economic data in the US. Investors were rattled by heightened tensions in Ukraine fueled by the shooting down of a Malaysian passenger plane and intensifying violence in Gaza marked by failed ceasefires. The markets had a tepid response to better than expected US GDP growth of 4% in the second quarter and healthy job creation as they weighed the prospect of strong economic growth with the associated response of the Federal Reserve reining in its accommodative monetary policy.

Similar to a trend observed earlier in the year, small cap issues were the hardest hit with the Russell 2000 losing 6.0% in July; the S&P 500 dropped 1.4% and the MSCI EAFE declined 2.0% during the same period. US fixed income indices were mostly negative, but to a lesser extent than stocks; the Barclays Aggregate was down 0.3% and the Citigroup WGFI fell 0.9%. Rates in the US coincided with losses at the front and intermediate parts of the Treasury yield curve triggered by the positive economic data. Long-duration issues were the exception, continuing their streak as one of the top performers of the year as rates at the long-end of the curve were pushed slightly lower, with the 30-year closing at 3.32%. Inflation remained stubbornly low in Europe, stoking further fears of deflation while Portugal's once second-largest bank experienced additional troubles, increasing calls for a restructuring. US CPI receded slightly from an increase in May but the underlying market environment appears to provide support for an increase in forward inflation, a condition the Fed has said it will monitor closely. Oil prices continued to fall off their June peak despite continuing tensions in the Middle East, with the Bloomberg Commodity Index losing 5.0% in July.

As we observed in our second quarter market thoughts, the divergence in central banks' policies across the globe has the potential to breed volatility as investors adjust to an environment markedly different from recent years. While the Fed's taper appears mostly priced in and many investors expect the European Central Bank to remain accommodative, both policies are likely to have far-reaching effects for global markets and are subject to missteps. To this end, we continue to recommend an actively managed approach to emerging market equities in order to help avoid the pitfalls of shifting liquidity. Additionally, investors with meaningful international equity exposure should revisit their investment goals to consider if a currency hedging program may be appropriate. Multi-asset vehicles with the ability to make tactical shifts continue to appear attractive as other high conviction opportunities remain sparse.

Equity Market Results

US equity market return indices posted negative results during July. In a reversal from June, small cap stocks sold off the most during the month. The Russell 2000 Index generated a -6.05% return, while the Russell 1000 Index fell 1.62%. Year-to-date, the Russell 3000 Index, a broad measure of the US equity markets, continues to have a positive return at 4.83%.

International equity markets posted mixed results during July. Developed markets, represented by the MSCI EAFE Index, fell 1.97%, while their less established brethren, represented by the MSCI Emerging Markets Index, increased 1.93%. Year-to-date, the results from international equities have turned positive as the MSCI EAFE has gained 2.72% and the MSCI Emerging Markets has risen 8.19%.

Bond Market Results

On balance, bonds followed the same trends as equities. US bonds saw primarily negative returns while their international counterparts saw mixed results. The Barclays Capital Aggregate Index, a leading index for bond investors, fell 0.25%, decreasing its year-to-date return to 3.66%. Long government bonds were one of the few areas in the US that saw positive returns, rising 0.55%. Cash-like instruments continued to suffer in the low interest rate environment, with the BofA ML 3-month T-bill generating a 0.00% return. Year-to-date, the 3-month T-bill has risen 0.02%.

West Virginia Board of Treasury Investments

Financial Highlights as of July 31, 2014

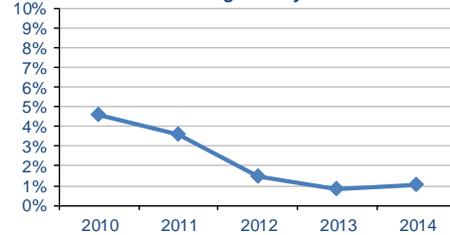
WV Short Term Bond Pool

Rates of Return for the Past 12 Months *Net of All Fees*

| <u>Aug 1 - July 31</u> | <u>Return</u> | <u>Net Assets At July 31 (In Millions)</u> |
|------------------------|---------------|--|
| 2014 | 1.1% | \$ 775.7 |
| 2013 | 0.7% | \$ 716.1 |
| 2012 | 0.8% | \$ 499.3 |
| 2011 | 1.5% | \$ 477.9 |
| 2010 | 3.7% | \$ 458.8 |

Prior to July 2007, the WV Short Term Bond Pool was known as the Enhanced Yield Pool

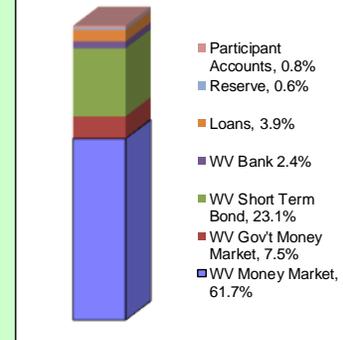
WV Short Term Bond Pool Rates of Return
Past 12 Months
Aug 1 - July 31



Summary of Value and Earnings (In Thousands)

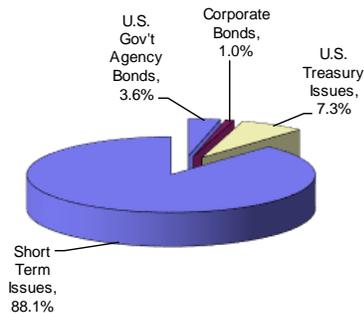
| <u>Pool</u> | <u>Net Asset Value</u> | <u>July Net Income (Loss)</u> | <u>Fiscal YTD Net Income(Loss)</u> |
|-----------------------|------------------------|-------------------------------|------------------------------------|
| WV Money Market | \$ 2,070,394 | \$ 199 | \$ 199 |
| WV Gov't Money Market | 253,763 | 6 | 6 |
| WV Short Term Bond | 775,652 | 47 | 47 |
| WV Bank | 79,558 | 8 | 8 |
| Loans | 130,265 | 15 | 15 |
| Reserve | 20,761 | 4 | 4 |
| Participant Accounts | 27,847 | (1) | (1) |
| | <u>\$ 3,358,240</u> | <u>\$ 278</u> | <u>\$ 278</u> |

Percent of Total Net Asset Value

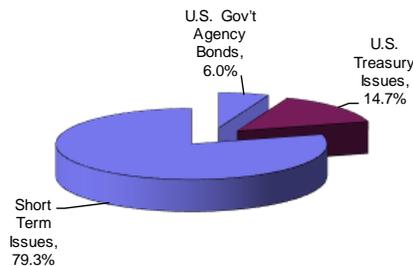


Securities by Type for Operating Pools (Percentage of Asset Value)

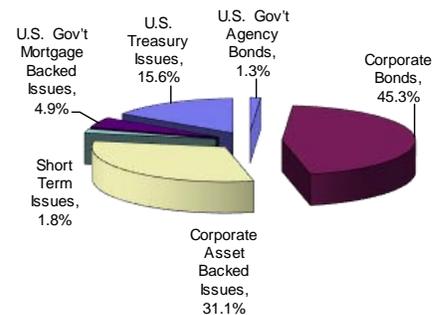
WV Money Market



WV Gov't Money Market



WV Short Term Bond



WEST VIRGINIA BOARD OF TREASURY INVESTMENTS
SCHEDULE OF CHANGES IN FIDUCIARY NET POSITION – UNAUDITED

JULY 31, 2014

(IN THOUSANDS)

| | <u>WV Money Market Pool</u> | <u>WV Government Money Market Pool</u> | <u>WV Short Term Bond Pool</u> | <u>WV Bank Pool</u> | <u>Other Pools</u> | <u>Participant Directed Accounts</u> | <u>Total</u> |
|--|---------------------------------|--|--|-------------------------|--------------------|--|---------------------|
| Assets | | | | | | | |
| Investments: | | | | | | | |
| At amortized cost | \$ 2,069,789 | \$ 258,294 | \$ - | \$ 79,533 | \$ 151,007 | \$ 24,475 | \$ 2,583,098 |
| At fair value | - | - | 778,359 | - | - | 3,264 | 781,623 |
| Other assets | 924 | 92 | 2,072 | 27 | 23 | 109 | 3,247 |
| Total assets | <u>2,070,713</u> | <u>258,386</u> | <u>780,431</u> | <u>79,560</u> | <u>151,030</u> | <u>27,848</u> | <u>3,367,968</u> |
| Liabilities | | | | | | | |
| Accrued expenses, dividends payable & payables for investments purchased | 319 | 4,623 | 4,779 | 2 | 4 | 1 | 9,728 |
| Total liabilities | <u>319</u> | <u>4,623</u> | <u>4,779</u> | <u>2</u> | <u>4</u> | <u>1</u> | <u>9,728</u> |
| Net Position | | | | | | | |
| Held in trust for investment pool participants | 2,070,394 | 253,763 | 775,652 | 79,558 | 151,026 | - | 3,330,393 |
| Held in trust for individual investment account holders | - | - | - | - | - | 27,847 | 27,847 |
| Total net position | <u>\$ 2,070,394</u> | <u>\$ 253,763</u> | <u>\$ 775,652</u> | <u>\$ 79,558</u> | <u>\$ 151,026</u> | <u>\$ 27,847</u> | <u>\$ 3,358,240</u> |
| Additions | | | | | | | |
| Investment income: | | | | | | | |
| Interest and dividends | \$ 297 | \$ 29 | \$ 931 | \$ 10 | \$ 23 | \$ 34 | \$ 1,324 |
| Net (amortization) accretion | 37 | (7) | (295) | - | - | (23) | (288) |
| Provision for uncollectible loans | - | - | - | - | - | - | - |
| Total investment income | <u>334</u> | <u>22</u> | <u>636</u> | <u>10</u> | <u>23</u> | <u>11</u> | <u>1,036</u> |
| Investment expenses: | | | | | | | |
| Investment advisor, custodian bank & administrative fees | 136 | 16 | 84 | 2 | 4 | - | 242 |
| Total investment expenses | <u>136</u> | <u>16</u> | <u>84</u> | <u>2</u> | <u>4</u> | <u>-</u> | <u>242</u> |
| Net investment income | 198 | 6 | 552 | 8 | 19 | 11 | 794 |
| Net realized gain (loss) from investments | 1 | - | (16) | - | - | - | (15) |
| Net increase (decrease) in fair value of investments | - | - | (489) | - | - | (12) | (501) |
| Net increase (decrease) in net position from operations | 199 | 6 | 47 | 8 | 19 | (1) | 278 |
| Participant transaction additions: | | | | | | | |
| Purchase of pool units by participants | 990,518 | 28,734 | 1,978 | 6 | 1,004 | - | 1,022,240 |
| Reinvestment of pool distributions | 198 | 5 | 563 | 8 | (304) | - | 470 |
| Contributions to individual investment accounts | - | - | - | - | - | 14 | 14 |
| Total participant transaction additions | <u>990,716</u> | <u>28,739</u> | <u>2,541</u> | <u>14</u> | <u>700</u> | <u>14</u> | <u>1,022,724</u> |
| Total additions | 990,915 | 28,745 | 2,588 | 22 | 719 | 13 | 1,023,002 |
| Deductions | | | | | | | |
| Distributions to pool participants: | | | | | | | |
| Net investment income | 198 | 5 | 552 | 8 | (304) | - | 459 |
| Net realized gain (loss) from investments | 1 | - | (16) | - | - | - | (15) |
| Total distributions to pool participants | <u>199</u> | <u>5</u> | <u>536</u> | <u>8</u> | <u>(304)</u> | <u>-</u> | <u>444</u> |
| Participant transaction deductions: | | | | | | | |
| Redemption of pool units by participants | 873,950 | 13,958 | - | 6 | 18 | - | 887,932 |
| Withdrawals from individual investment accounts | - | - | - | - | - | - | - |
| Total participant transaction deductions | <u>873,950</u> | <u>13,958</u> | <u>-</u> | <u>6</u> | <u>18</u> | <u>-</u> | <u>887,932</u> |
| Total deductions | <u>874,149</u> | <u>13,963</u> | <u>536</u> | <u>14</u> | <u>(286)</u> | <u>-</u> | <u>888,376</u> |
| Net increase (decrease) in net position from operations | 116,766 | 14,782 | 2,052 | 8 | 1,005 | 13 | 134,626 |
| Inter-pool transfers in | - | - | - | 6,490 | - | - | 6,490 |
| Inter-pool transfers out | (6,490) | - | - | - | - | - | (6,490) |
| Net inter-pool transfers in (out) | <u>(6,490)</u> | <u>-</u> | <u>-</u> | <u>6,490</u> | <u>-</u> | <u>-</u> | <u>-</u> |
| Change in net position | 110,276 | 14,782 | 2,052 | 6,498 | 1,005 | 13 | 134,626 |
| Net position at beginning of period | 1,960,118 | 238,981 | 773,600 | 73,060 | 150,021 | 27,834 | 3,223,614 |
| Net position at end of period | <u>\$ 2,070,394</u> | <u>\$ 253,763</u> | <u>\$ 775,652</u> | <u>\$ 79,558</u> | <u>\$ 151,026</u> | <u>\$ 27,847</u> | <u>\$ 3,358,240</u> |



West Virginia University
Department of Procurement, Contracting, and Payment Services

August 25, 2014

To: The Joint Committee on Government and Finance

Jeffrey V. Kessler,
Chair

Timothy Miley,
Chair State
Capital Complex
Room 132E

Charleston, WV
25305

From: West Virginia University Department of Procurement, Contracting, and Payment Services

Pursuant to West Virginia Code §5A-3B-2(j), and the university's Request for Proposals 90001100, West Virginia University provides this "thirty days' written notice" of its intent to award an energy savings contract to Siemens Industries, Inc.

A copy of the proposal returning a minimum of \$728,932 annually in guaranteed energy savings is attached, as referenced in paragraph (b) of the West Virginia Code.

Please contact me if you have any questions regarding this notice.

Sincerely,

A handwritten signature in black ink, appearing to read 'Dan Durbin', written over a light blue horizontal line.

Dan Durbin
Interim Chief Procurement Officer

cc:

Mark Stewart
Lisa Saurborn

WVU PH IIIC - Project Lisr

Date: 8/19/2014

| Select "X" | FIM ID | Building or Facility | Description | Selected Savings | Selected Costs | Selected Support Costs |
|------------|--------|-----------------------------|--------------------------------------|---------------------|-----------------------|------------------------|
| x | | Admin & Records | Water Conservation | \$792.63 | \$7,519.39 | \$0.00 |
| x | | Admin & Records | DDC Controls - TOD | \$1,989.48 | \$58,938.13 | \$0.00 |
| x | | Admin & Records | HW Reset | \$279.08 | \$0.00 | \$0.00 |
| x | | A&R / Eisland | Connect to CW Loop | \$5,065.79 | \$573,238.13 | \$0.00 |
| x | | Admin & Records | Pipe Insulation | \$271.03 | \$489.19 | \$0.00 |
| x | | Admin & Records | Mechanical Repairs | \$0.00 | \$1,562.27 | \$0.00 |
| x | | Armstrong | Steam Trap | \$5,478.74 | \$5,174.81 | \$0.00 |
| x | | Armstrong | DDC Controls - TOD | \$19,234.32 | \$99,875.00 | \$0.00 |
| x | | Armstrong | HW Reset | \$1,730.55 | \$0.00 | \$0.00 |
| x | | Armstrong | Steam Control Valves | \$0.00 | \$4,555.88 | \$0.00 |
| x | | Brooks | Steam Traps | \$3,010.86 | \$5,121.91 | \$0.00 |
| x | | Brooks | DDC Controls - TOD | \$551.77 | \$918.03 | \$0.00 |
| x | | Brooks | HW Reset | \$2,202.52 | \$506.27 | \$0.00 |
| x | | Brooks | Mechanical Repairs | \$0.00 | \$5,120.76 | \$0.00 |
| x | | Business & Economics | Water Conservation | \$1,347.48 | \$691.18 | \$0.00 |
| x | | Business & Economics | Steam Traps | \$1,091.01 | \$2,913.97 | \$0.00 |
| x | | Business & Economics | DDC Controls - TOD | \$12,155.54 | \$12,150.45 | \$0.00 |
| x | | Business & Economics | DDC Controls - Economizing | \$395.39 | \$0.00 | \$0.00 |
| x | | Business & Economics | DDC Controls - DCV | \$1,271.28 | \$0.00 | \$0.00 |
| x | | Business & Economics | Recommission Pneumatic Boxes | \$1,793.03 | \$36,788.87 | \$0.00 |
| x | | Business & Economics | Pipe Insulation | \$366.72 | \$1,270.33 | \$0.00 |
| x | | Business & Economics | Mechanical Repairs | \$0.00 | \$14,164.54 | \$0.00 |
| x | | Chitwood | Steam Traps | \$0.00 | \$2,518.40 | \$0.00 |
| x | | Chitwood | DDC - Controls TOD / HD-CD Reset | \$7,023.15 | \$178,037.87 | \$0.00 |
| x | | Chitwood | Pipe Insulation | \$82.71 | \$647.00 | \$0.00 |
| x | | Colson | DDC Controls - TOD | \$3,984.47 | \$16,386.23 | \$0.00 |
| x | | E Moore | Water Conservation | \$4,054.95 | \$5,813.52 | \$0.00 |
| x | | E Moore | Steam Traps | \$13,782.47 | \$77,399.51 | \$0.00 |
| x | | E Moore | Steam Thermostatic Controls | \$983.13 | \$58,992.78 | \$0.00 |
| x | | E Moore | DDC Controls - TOD (Dance Studio) | \$814.14 | \$28,904.57 | \$0.00 |
| x | | E Moore | Window A/C Controls | \$3,128.45 | \$31,589.33 | \$0.00 |
| x | | E Moore | Pipe Insulation | \$328.77 | \$5,002.41 | \$0.00 |
| x | | Eisland | Steam Trap | \$6,680.28 | \$17,734.15 | \$0.00 |
| x | | Eisland | Steam Radiation DDC control | \$330.88 | \$45,406.77 | \$0.00 |
| x | | Eisland | Pipe Insulation | \$306.29 | \$710.12 | \$0.00 |
| x | | Martin | DDC Controls - TOD/HD-CD Reset | \$5,626.67 | \$85,137.54 | \$0.00 |
| x | | Martin | Pipe Insulation | \$124.07 | \$1,246.66 | \$0.00 |
| x | | Oglebay | Steam Traps | \$3,208.73 | \$1,804.31 | \$0.00 |
| x | | Oglebay | DDC Controls - TOD | \$5,416.98 | \$4,320.18 | \$0.00 |
| x | | Ming Hsieh | DDC Controls - DCV | \$1,719.61 | \$918.03 | \$0.00 |
| x | | Purinton | Water Conservation | \$1,331.79 | \$13,343.96 | \$0.00 |
| x | | Purinton | Steam Traps | \$6,126.62 | \$9,289.35 | \$0.00 |
| x | | Purinton | Steam Control Valves | \$1,050.85 | \$9,014.23 | \$0.00 |
| x | | Purinton | DDC Controls - TOD | \$619.41 | \$92,140.92 | \$0.00 |
| x | | Purinton | Pipe Insulation | \$245.04 | \$1,262.44 | \$0.00 |
| x | | Stansbury | Water Conservation | \$5,370.18 | \$26,085.11 | \$0.00 |
| x | | Stansbury | Steam Traps | \$2,625.70 | \$4,296.23 | \$0.00 |
| x | | Stansbury | Building Steam Radiation Control | \$3,322.25 | \$0.00 | \$0.00 |
| x | | Stansbury | DDC Controls - TOD | \$5,590.85 | \$52,989.47 | \$0.00 |
| x | | Stansbury | HW Reset | \$2,528.46 | \$0.00 | \$0.00 |
| x | | Stansbury | Pipe Insulation | \$187.87 | \$3,219.21 | \$0.00 |
| x | | Stansbury | Mechanical Repairs | \$0.00 | \$13,481.25 | \$0.00 |
| x | | White | Water Conservation | \$2,227.06 | \$159.38 | \$0.00 |
| x | | White | Steam Traps | \$3,867.53 | \$11,120.49 | \$0.00 |
| x | | White | DDC Controls - TOD | \$3,225.90 | \$3,881.39 | \$0.00 |
| x | | White | Retrocommissioning (A&M) | \$72,000.00 | \$236,706.95 | \$0.00 |
| x | | Woodburn | DDC Controls - TOD/HD-CD Reset | \$15,557.90 | \$189,344.54 | \$0.00 |
| x | | Woodburn | Pipe Insulation | \$289.48 | \$2,272.39 | \$0.00 |
| x | | Woodburn | Steam Trap | \$599.83 | \$2,588.30 | \$0.00 |
| x | | Life Science | Lab Air Quality Monitoring - Siemens | \$22,410.90 | \$181,414.74 | \$0.00 |
| x | | Downtown East Chiller Plant | Demand Flow | \$66,415.60 | \$410,634.00 | \$0.00 |
| x | | Campus-Wide | PACE Gas Purchasing | \$56,651.88 | \$59,849.79 | \$0.00 |
| x | | Campus Wide | Fusion Center | \$60,000.00 | \$72,565.00 | \$0.00 |
| x | | Knapp | Boiler Replacement | \$1,895.40 | \$222,506.68 | \$0.00 |
| x | | Knapp | Programmable Thermostat | \$356.39 | \$1,493.75 | \$0.00 |
| x | | One Waterfront | Water Conservation | \$7,281.78 | \$1,144.08 | \$0.00 |
| x | | One Waterfront | Controls Recommissioning (A&M) | \$40,000.00 | \$236,706.95 | \$0.00 |
| x | | One Waterfront | Insulation | \$78.52 | \$1,388.68 | \$0.00 |
| x | | Campus-Wide | Commissioning | \$0.00 | \$24,302.00 | \$0.00 |
| x | | Project-Wide | Engineering & Development | \$0.00 | \$425,756.33 | \$0.00 |
| x | | Project-Wide | Performance Assurance | \$0.00 | \$32,677.96 | \$25,000.00 |
| x | | Project-Wide | Service Contract | \$0.00 | \$0.00 | \$32,124.00 |
| x | | Project-Wide | PACE - UBM | \$0.00 | \$23,832.00 | \$34,296.00 |
| x | | PRT | Pace Gas Savings | \$10,095.15 | \$10,665.00 | \$0.00 |
| x | | Health Science Center | Demand Flow | \$150,265.55 | \$944,657.56 | \$16,000.00 |
| x | | Health Science Center | Chiller Replacement | \$9,613.50 | \$844,254.78 | \$0.00 |
| x | | Health Science Center | Performance Assurance | \$0.00 | \$14,372.13 | \$11,568.00 |
| x | | Health Science Center | Development | \$0.00 | \$64,249.73 | \$0.00 |
| x | | Honors | Water Conservation | \$2,575.90 | \$12,868.97 | \$0.00 |
| x | | Vandalia Blue | Lighting Retrofit | \$19,384.03 | \$85,080.37 | \$0.00 |
| x | | Vandalia Blue | Water Conservation | \$11,620.69 | \$15,419.09 | \$0.00 |
| x | | Vandalia Blue | Common Room Unit TOD | \$814.80 | \$549.91 | \$0.00 |
| x | | Vandalia Gold | Lighting Retrofit | \$16,309.60 | \$90,256.00 | \$0.00 |
| x | | Vandalia Gold | Water Conservation | \$6,398.01 | \$11,077.89 | \$0.00 |
| x | | Housing | PACE Savings | \$3,374.97 | \$3,565.42 | \$0.00 |
| x | | Housing | Performance Assurance | \$0.00 | \$4,688.30 | \$3,714.00 |
| x | | Housing | Development | \$0.00 | \$40,258.73 | \$0.00 |
| x | | Housing | Service | \$0.00 | \$0.00 | \$0.00 |
| x | | Housing | Commissioning | \$0.00 | \$0.00 | \$0.00 |
| | | Project Totals | | \$728,932.11 | \$6,599,999.91 | \$122,702.00 |

W. Va. Code § 5A-3B-2

Text Current Through The 2014 Regular And First Extraordinary Sessions

Michie's™ West Virginia Code > Chapter 5A. Department of Administration. > Article 3B. Energy-Savings Contracts.

§ 5A-3B-2. Contracts for energy-savings contracts.

- (a) Agencies are authorized to enter into performance-based contracts with qualified providers of energy-conservation measures for the purpose of significantly reducing energy operating costs of agency owned buildings, subject to the requirements of this section.
- (b) Before entering into a contract or before the installation of equipment, modifications or remodeling to be furnished under a contract, the qualified provider shall first issue a proposal summarizing the scope of work to be performed. A proposal must contain estimates of all costs of installation, modifications or remodeling, including the costs of design, engineering, installation, maintenance, repairs or debt service, as well as estimates of the amounts by which energy operating costs will be reduced. If the agency finds, after receiving the proposal, that the proposal includes one or more energy-conservation measures, the installation of which is guaranteed to result in a net savings of a minimum of five percent of the then current energy operating costs which savings will, at a minimum, satisfy any debt service required, the agency may enter into a contract with the provider pursuant to this section.
- (c) An energy-savings contract must include the following:
 - (1) A guarantee of a specific minimum net percentage amount of at least five percent of energy operating costs each year over the term of the contract that the agency will save;
 - (2) A statement of all costs of energy-conservation measures, including the costs of design, engineering, installation, maintenance, repairs and operations; and
 - (3) A provision that payments, except obligations upon termination of the contract before its expiration, are to be made over time.
- (d) An agency may supplement its payments with federal, state or local funds to reduce the annual cost or to lower the initial amount to be financed.
- (e) An energy-savings contract is subject to competitive bidding requirements and other requirements of article three [§§ 5A-3-1 et seq.] of this chapter.
- (f) An energy-savings contract may extend beyond the fiscal year in which it first becomes effective: Provided, That such a contract may not exceed a fifteen-year term: Provided, however, That the long term contract will be void unless the agreement provides that the agency shall have the option during each fiscal year of the contract to terminate the agreement.
- (g) Agencies may enter into a "lease with an option to purchase" contract for the purchase and installation of energy-conservation measures if the term of the lease does not exceed fifteen years and the lease contract includes the provisions contained in subsection (f) of this section and meets federal tax requirements for tax-exempt municipal leasing or long-term financing.
- (h) The agency may include in its annual budget for each fiscal year any amounts payable under long-term energy-savings contracts during that fiscal year.
- (i) Upon the issuance of a request for proposals or request for quotations for an energy-savings contract, the agency shall provide a copy thereof to the Joint Committee on Government and Finance.
- (j) Before signing an energy-savings contract or extending an existing energy-savings contract, the agency shall give thirty days' written notice, which notice shall include a copy of the proposal containing the information required by subsection (b) of this section, to the Joint Committee on Government and Finance.

History

2003, 2nd Ex. Sess., c. 18.

Annotations

Research References & Practice Aids