### ENGROSSED

### COMMITTEE SUBSTITUTE

### **FOR**

# Senate Bill No. 37

(By Senator Palumbo)

[Originating in the Committee on the Judiciary; reported February 5, 2015.]

A BILL to amend and reenact §55-10-1, §55-10-2, §55-10-3, §55-10-4, §55-10-5, §55-10-6, §55-10-7 and §55-10-8 of the Code of West Virginia, 1931, as amended; and to amend said code by adding thereto twenty-five new sections, designated §55-10-9, §55-10-10, §55-10-11, §55-10-12, §55-10-13, §55-10-14, §55-10-15, §55-10-16, §55-10-17, §55-10-18, §55-10-19, §55-10-20, §55-10-21, §55-10-22, §55-10-23, §55-10-24, §55-10-25, §55-10-26, §55-10-27, §55-10-28,

§55-10-29, §55-10-30, §55-10-31, §55-10-32 and §55-10-33, all relating generally to arbitration; providing for a short title; making legislative findings and declaring public policy; defining terms; defining notice under article; defining when article applies; proscribing effect of agreements to arbitrate and defining nonwaivable provisions; allowing for application for judicial relief under article; making agreement to arbitrate valid unless legal or equitable reason for revocation exists; providing for terms by which arbitration may continue if challenged; providing for process for motions to compel or stay arbitration; providing for provisional remedies to protect effectiveness of arbitration proceedings; providing process for initiation of arbitration; providing for consolidation of separate arbitration proceedings; providing for appointment of arbitrator and default process if not agreed by the parties; requiring neutrality of arbitrators; requiring disclosure by arbitrators of matters affecting impartiality; requiring majority of arbitrators to agree to exercise powers; providing immunity for arbitrators; requiring competency to testify; providing for attorneys' fees

and costs for challenges from which arbitrators are immune; providing general process for arbitration; allowing parties to be represented by a lawyer in arbitrations; outlining procedure for witnesses, subpoenas, depositions and discovery in arbitrations; providing for judicial enforcement of preaward ruling by arbitrator; providing for record of an award and requirements for an award; allowing change of an award by arbitrator upon motion under certain conditions; providing that certain remedies and fees and costs of arbitration may be a part of arbitration award; allowing for confirmation by court of an award upon motion; providing process and grounds for vacating an award by a court; providing process and grounds for modification or correction of an award upon motion; providing that court shall enter a judgment upon confirmation of an award and may add reasonable attorneys' fees and costs; providing for jurisdiction over arbitration agreements by a court of this state; providing venue; providing that appeals may be taken from orders related to arbitration proceedings; requiring uniform construction of act; providing that this act

complies with the Electronic Signatures in Global and National Commerce Act; and providing effective date.

Be it enacted by the Legislature of West Virginia:

That §55-10-1, §55-10-2, §55-10-3, §55-10-4, §55-10-5, §55-10-6, §55-10-7 and §55-10-8 of the Code of West Virginia, 1931, as amended, be amended and reenacted; and that said code be amended by adding thereto twenty-five new sections, designated §55-10-9, §55-10-10, §55-10-11, §55-10-12, §55-10-13, §55-10-14, §55-10-15, §55-10-16, §55-10-17, §55-10-18, §55-10-19, §55-10-20, §55-10-21, §55-10-22, §55-10-23, §55-10-24, §55-10-25, §55-10-26, §55-10-27, §55-10-28, §55-10-29, §55-10-30, §55-10-31, §55-10-32 and §55-10-33, all to read as follows:

#### ARTICLE 10. ARBITRATION.

### §55-10-1. Short title.

- This article may be cited as the Revised Uniform
- 2 Arbitration Act.

### §55-10-2. Declaration of public policy; legislative findings.

1 (a) The Legislature finds that:

- 2 (1) Arbitration, as a form of alternative dispute
- 3 resolution, offers in many instances a more efficient and cost-
- 4 effective alternative to court litigation.
- 5 (2) The United States has a well-established and emphatic
- 6 federal policy in favor of arbitral dispute resolution, as
- 7 identified both by the Federal Arbitration Act, 9 U.S.C. §1, et
- 8 seq., and the decisions of the Supreme Court of the United
- 9 States.
- 10 (3) Arbitration already provides participants with many of
- 11 the same procedural rights and safeguards as traditional
- 12 litigation, and ensuring that those rights and safeguards are
- 13 guaranteed to participants will ensure that arbitration remains
- 14 a fair and viable alternative to court litigation and guarantee
- 15 that no party to an arbitration agreement is unfairly prejudiced
- 16 by agreeing to an arbitration agreement or provision.
- 17 (b) It is hereby declared to be the public policy of the
- 18 State of West Virginia and in the public interest to favor the
- 19 enforcement of arbitration agreements, including arbitration
- 20 provisions contained in other contracts or agreements.

# §55-10-3. Definitions.

- 1 In this article:
- 2 "Arbitration organization" means an association, agency,
- 3 board, commission or other entity that is neutral and initiates,
- 4 sponsors or administers an arbitration proceeding or is
- 5 involved in the appointment of an arbitrator.
- 6 "Arbitrator" means an individual appointed to render an
- 7 award, alone or with others, in a controversy that is subject
- 8 to an agreement to arbitrate.
- 9 "Court" means a circuit court in this state.
- 10 "Knowledge" means actual knowledge.
- 11 "Person" means an individual, corporation, business trust,
- 12 estate, trust, partnership, limited liability company,
- 13 association, joint venture or government; governmental
- 14 subdivision, agency or instrumentality; public corporation; or
- 15 any other legal or commercial entity.
- 16 "Record" means information that is inscribed on a
- 17 tangible medium or that is stored in an electronic or other
- 18 medium and is retrievable in perceivable form.

# §55-10-4. Notice.

- 1 (a) Except as otherwise provided in this article, a person
- 2 gives notice to another person by taking action that is
- 3 reasonably necessary to inform the other person in ordinary
- 4 course, whether or not the other person acquires knowledge
- 5 of the notice.
- 6 (b) A person has notice if the person has knowledge of
- 7 the notice or has received notice.
- 8 (c) A person receives notice when it comes to the
- 9 person's attention or the notice is delivered at the person's
- 10 place of residence or place of business or at another location
- 11 held out by the person as a place of delivery of such
- 12 communications.

### §55-10-5. When article applies.

- 1 (a) This article governs an agreement to arbitrate made on
- 2 or after July 1, 2015.
- 3 (b) This article governs an agreement to arbitrate made
- 4 before July 1, 2015, if all the parties to the agreement or to
- 5 the arbitration proceeding so agree in a record. Such record

- 6 may be made at any point and, for the mutual covenants
- 7 contained therein, no additional consideration is required by
- 8 either party.
- 9 (c) Any agreement to arbitrate renewed of continued on
- 10 or after July 1, 2015, shall be governed by this agreement
- 11 and, for the mutual covenants contained therein, no
- 12 additional consideration is required by either party.

### §55-10-6. Effect of agreement to arbitrate; nonwaivable provisions.

- 1 (a) Except as otherwise provided in subsections (b) and
- 2 (c) of this section, a party to an agreement to arbitrate or to
- 3 an arbitration proceeding may waive or the parties may vary
- 4 the effect of the requirements of this article to the extent
- 5 permitted by law.
- 6 (b) Before a controversy arises that is subject to an
- 7 agreement to arbitrate, a party to the agreement may not:
- 8 (1) Waive or agree to vary the effect of the requirements
- 9 of sections seven, eight, ten, nineteen, twenty-eight or thirty
- 10 of this article;

- 11 (2) Agree to unreasonably restrict the right under section
- 12 eleven of this article to notice of the initiation of an
- 13 arbitration proceeding;
- 14 (3) Agree to unreasonably restrict the right under section
- 15 fourteen of this article to disclosure of any facts by a neutral
- 16 arbitrator; or
- 17 (4) Waive the right under section eighteen of this article
- 18 of a party to an agreement to arbitrate to be represented by a
- 19 lawyer at any proceeding or hearing under this article, but an
- 20 employer and a labor organization may waive the right to
- 21 representation by a lawyer in a labor arbitration.
- 22 (c) A party to an agreement to arbitrate or arbitration
- 23 proceeding may not waive, or the parties may not vary the
- 24 effect of, the requirements of this section or sections five,
- 25 nine, sixteen, twenty, twenty-two, twenty-four, twenty-five,
- 26 twenty-six, twenty-seven, thirty-one, thirty-two or
- 27 thirty-three of this article.

### §55-10-7. Application for judicial relief.

- 1 (a) Except as otherwise provided in section thirty of this
- 2 article, an application for judicial relief under this article must

- 3 be made by motion to a West Virginia circuit court as specified
- 4 in section twenty-nine of this article and heard in accordance
- 5 with the rules of civil procedure governing motions.
- 6 (b) Unless a civil action involving the agreement to
- 7 arbitrate is pending, notice of an initial motion to the court
- 8 under this article must be served in the manner provided by
- 9 law for the service of a summons in a civil action.
- 10 Otherwise, notice of the motion must be given in the manner
- 11 provided by the rules of civil procedure for serving motions
- 12 in pending cases.

# §55-10-8. Validity of agreement to arbitrate.

- 1 (a) An agreement contained in a record to submit to
- 2 arbitration any existing or subsequent controversy arising
- 3 between the parties to the agreement is valid, enforceable and
- 4 irrevocable except upon a ground that exists at law or in
- 5 equity for the revocation of a contract.
- 6 (b) The court shall decide whether an agreement to
- 7 arbitrate exists or a controversy is subject to an agreement to
- 8 arbitrate.

- 9 (c) An arbitrator shall decide whether a condition precedent
- 10 to arbitration has been fulfilled and whether a contract
- 11 containing a valid agreement to arbitrate is enforceable:
- 12 Provided, That the decision as to whether the arbitration
- 13 agreement is enforceable shall be made by a court of competent
- 14 jurisdiction, if requested by any party to the arbitration or
- 15 agreement, pursuant to section nine of this article.
- 16 (d) If a party to a judicial proceeding challenges the
- 17 existence of, or claims that a controversy is not subject to, an
- 18 agreement to arbitrate, the arbitration proceeding may
- 19 continue pending final resolution of the issue by the court,
- 20 unless the court otherwise orders.

### §55-10-9. Motion to compel or stay arbitration.

- 1 (a) On motion of a person showing an agreement to
- 2 arbitrate and alleging another person's refusal to arbitrate
- 3 pursuant to the agreement:
- 4 (1) If the refusing party does not appear or does not
- 5 oppose the motion, the court shall order the parties to
- 6 arbitrate; and

- 7 (2) If the refusing party opposes the motion, the court
- 8 shall proceed summarily to decide the issue and order the
- 9 parties to arbitrate unless it finds that there is no enforceable
- 10 agreement to arbitrate.
- (b) On motion of a person alleging that an arbitration
- 12 proceeding has been initiated or threatened but that there is
- 13 no agreement to arbitrate, the court shall proceed summarily
- 14 to decide the issue. If the court finds that there is an
- 15 enforceable agreement to arbitrate, it shall order the parties
- 16 to arbitrate.
- 17 (c) If the court finds that there is no enforceable
- 18 agreement, it may not, pursuant to subsection (a) or (b) of
- 19 this section, order the parties to arbitrate.
- 20 (d) The court may not refuse to order arbitration because
- 21 the claim subject to arbitration lacks merit or grounds for the
- 22 claim have not been established.
- 23 (e) If a proceeding involving a claim referable to
- 24 arbitration under an alleged agreement to arbitrate is pending
- 25 in court, a motion under this section must be made in that

- 26 court. Otherwise a motion under this section may be made in
- 27 any court as provided in section twenty-nine of this article.
- 28 (f) If a party makes a motion to the court to order
- 29 arbitration, the court on just terms shall stay any judicial
- 30 proceeding that involves a claim alleged to be subject to the
- 31 arbitration until the court renders a final decision under this
- 32 section.
- 33 (g) If the court orders arbitration, the court on just terms
- 34 shall stay any judicial proceeding that involves a claim
- 35 subject to the arbitration. If a claim subject to the arbitration
- 36 is severable, the court may limit the stay to that claim.

### §55-10-10. Provisional remedies.

- 1 (a) Before an arbitrator is appointed and is authorized and
- 2 able to act, the court, upon motion of a party to an arbitration
- 3 proceeding and for good cause shown, may enter an order for
- 4 provisional remedies to protect the effectiveness of the
- 5 arbitration proceeding to the same extent and under the same
- 6 conditions as if the controversy were the subject of a civil
- 7 action.

- 8 (b) After an arbitrator is appointed and is authorized and
- 9 able to act:
- 10 (1) The arbitrator may issue such orders for provisional
- 11 remedies, including interim awards, as the arbitrator finds
- 12 necessary to protect the effectiveness of the arbitration
- 13 proceeding and to promote the fair and expeditious resolution
- 14 of the controversy to the same extent and under the same
- 15 conditions as if the controversy were the subject of a civil
- 16 action; and
- 17 (2) A party to an arbitration proceeding may move the
- 18 court for a provisional remedy only if the matter is urgent and
- 19 the arbitrator is not able to act timely or the arbitrator cannot
- 20 provide an adequate remedy.
- 21 (c) A party does not waive a right of arbitration by
- 22 making a motion under subsection (a) or (b) of this section.

### §55-10-11. Initiation of arbitration.

- 1 (a) A person initiates an arbitration proceeding by giving
- 2 notice in a record to the other parties to the agreement to
- 3 arbitrate in the agreed manner between the parties or, in the

- 4 absence of agreement, by certified or registered mail, return
- 5 receipt requested and obtained, or by service as authorized
- 6 for the commencement of a civil action. The notice must
- 7 describe the nature of the controversy and the remedy sought.
- 8 (b) Unless a person objects for lack or insufficiency of
- 9 notice under section seventeen of this article not later than
- 10 the beginning of the arbitration hearing, the person by
- 11 appearing at the hearing waives any objection to lack of or
- 12 insufficiency of notice.

### §55-10-12. Consolidation of separate arbitration proceedings.

- 1 (a) Except as otherwise provided in subsection (c) of this
- 2 section, upon motion of a party to an agreement to arbitrate
- 3 or to an arbitration proceeding, the court may order
- 4 consolidation of separate arbitration proceedings as to all or
- 5 some of the claims if:
- 6 (1) There are separate agreements to arbitrate or separate
- 7 arbitration proceedings between the same persons or one of
- 8 them is a party to a separate agreement to arbitrate or a
- 9 separate arbitration proceeding with a third person;

- 10 (2) The claims subject to the agreements to arbitrate arise
- 11 in substantial part from the same transaction or series of
- 12 related transactions;
- 13 (3) The existence of a common issue of law or fact
- 14 creates the possibility of conflicting decisions in the separate
- 15 arbitration proceedings; and
- 16 (4) Prejudice resulting from a failure to consolidate is not
- 17 outweighed by the risk of undue delay or prejudice to the
- 18 rights of or hardship to parties opposing consolidation.
- 19 (b) The court may order consolidation of separate
- 20 arbitration proceedings as to some claims and allow other
- 21 claims to be resolved in separate arbitration proceedings.
- 22 (c) The court may not order consolidation of the claims
- 23 of a party to an agreement to arbitrate if the agreement
- 24 prohibits consolidation.

# §55-10-13. Appointment of arbitrator; service as a neutral arbitrator.

- 1 (a) If the parties to an agreement to arbitrate agree on a
- 2 method for appointing an arbitrator, that method must be
- 3 followed, unless the method fails. If the parties have not

- 4 agreed on a method, the agreed method fails or an arbitrator
- 5 appointed fails or is unable to act and a successor has not
- 6 been appointed, the court, on motion of a party to the
- 7 arbitration proceeding, shall appoint the arbitrator. An
- 8 arbitrator so appointed has all the powers of an arbitrator
- 9 designated in the agreement to arbitrate or appointed
- 10 pursuant to the agreed method.
- 11 (b) An individual who has a known, direct and material
- 12 interest in the outcome of the arbitration proceeding or a
- 13 known, existing and substantial relationship with a party may
- 14 not serve as an arbitrator required by an agreement to be
- 15 neutral.

### §55-10-14. Disclosure by arbitrator.

- 1 (a) Before accepting appointment, an individual who is
- 2 requested to serve as an arbitrator, after making a reasonable
- 3 inquiry, shall disclose to all parties to the agreement to
- 4 arbitrate and arbitration proceeding and to any other
- 5 arbitrators any known facts that a reasonable person would
- 6 consider likely to affect the impartiality of the arbitrator in
- 7 the arbitration proceeding, including:

- 8 (1) A financial or personal interest in the outcome of the
- 9 arbitration proceeding; and
- 10 (2) An existing or past relationship with any of the parties
- 11 to the agreement to arbitrate or the arbitration proceeding, their
- 12 counsel or representatives, a witness or another arbitrator.
- 13 (b) An arbitrator has a continuing obligation to disclose
- 14 to all parties to the agreement to arbitrate and arbitration
- 15 proceeding and to any other arbitrators any facts that the
- 16 arbitrator learns after accepting appointment which a
- 17 reasonable person would consider likely to affect the
- 18 impartiality of the arbitrator.
- 19 (c) If an arbitrator discloses a fact required by subsection
- 20 (a) or (b) of this section to be disclosed and a party timely
- 21 objects to the appointment or continued service of the
- 22 arbitrator based upon the fact disclosed, the objection may be
- 23 a ground under section twenty-five of this article for vacating
- 24 an award made by the arbitrator.
- 25 (d) If the arbitrator did not disclose a fact as required by
- 26 subsection (a) or (b) of this section, upon timely objection by

- a party, the court, under section twenty-five of this article,
- 28 may vacate an award.
- 29 (e) An arbitrator appointed as a neutral arbitrator who
- 30 does not disclose a known, direct and material interest in the
- 31 outcome of the arbitration proceeding or a known, existing
- 32 and substantial relationship with a party is presumed to act
- 33 with evident partiality under section twenty-five of this
- 34 article.
- 35 (f) If the parties to an arbitration proceeding agree to the
- 36 procedures of an arbitration organization or any other
- 37 procedures for challenges to arbitrators before an award is
- 38 made, substantial compliance with those procedures is a
- 39 condition precedent to a motion to vacate an award on that
- 40 ground under section twenty-five of this article.

### §55-10-15. Action by majority.

- 1 If there is more than one arbitrator, the powers of an
- 2 arbitrator must be exercised by a majority of the arbitrators,
- 3 but all of them shall conduct the hearing under section
- 4 seventeen of this article.

# §55-10-16. Immunity of arbitrator; competency to testify; attorney's fees and costs.

- 1 (a) An arbitrator or an arbitration organization acting in
- 2 that capacity is immune from civil liability to the same extent
- 3 as a judge of a court of this state acting in a judicial capacity.
- 4 (b) The immunity afforded by this section supplements
- 5 any immunity under other law.
- 6 (c) The failure of an arbitrator to make a disclosure
- 7 required by section fourteen of this article does not cause any
- 8 loss of immunity under this section.
- 9 (d) In a judicial, administrative or similar proceeding, an
- 10 arbitrator or representative of an arbitration organization is
- 11 not competent to testify, and may not be required to produce
- 12 records as to any statement, conduct, decision or ruling
- 13 occurring during the arbitration proceeding, to the same
- 14 extent as a judge of a court of this state acting in a judicial
- 15 capacity. This subsection does not apply:
- 16 (1) To the extent necessary to determine the claim of an
- 17 arbitrator, arbitration organization or representative of the

- 18 arbitration organization against a party to the arbitration 19 proceeding; or
- 20 (2) To a hearing on a motion to vacate an award under
- 21 section twenty-five of this article if the moving party
- 22 establishes prima facie that a ground for vacating the award
- 23 exists.
- 24 (e) If a person commences a civil action against an
- 25 arbitrator, arbitration organization or representative of an
- 26 arbitration organization arising from the services of the
- 27 arbitrator, organization or representative or if a person seeks
- 28 to compel an arbitrator or a representative of an arbitration
- 29 organization to testify or produce records in violation of
- 30 subsection (d) of this section, and the court decides that the
- 31 arbitrator, arbitration organization or representative of an
- 32 arbitration organization is immune from civil liability or that
- 33 the arbitrator or representative of the organization is not
- 34 competent to testify, the court shall award to the arbitrator,
- 35 organization or representative reasonable attorneys' fees and
- 36 other reasonable expenses of litigation.

# §55-10-17. Arbitration process.

- 1 (a) An arbitrator may conduct an arbitration in such
- 2 manner as the arbitrator considers appropriate for a fair and
- 3 expeditious disposition of the proceeding. The authority
- 4 conferred upon the arbitrator includes the power to hold
- 5 conferences with the parties to the arbitration proceeding
- 6 before the hearing and, among other matters, determine the
- 7 admissibility, relevance, materiality and weight of any
- 8 evidence.
- 9 (b) An arbitrator may decide a request for summary
- 10 disposition of a claim or particular issue:
- 11 (1) If all interested parties agree; or
- 12 (2) Upon request of one party to the arbitration
- 13 proceeding if that party gives notice to all other parties to the
- 14 proceeding, and the other parties have a reasonable
- 15 opportunity to respond.
- 16 (c) If an arbitrator orders a hearing, the arbitrator shall set
- 17 a time and place and give notice of the hearing not less than
- 18 five days before the hearing begins. Unless a party to the

arbitration proceeding makes an objection to lack or 19 20 insufficiency of notice not later than the beginning of the hearing, the party's appearance at the hearing waives the 21 Upon request of a party to the arbitration 22 objection. 23 proceeding and for good cause shown, or upon the 24 arbitrator's own initiative, the arbitrator may adjourn the hearing, from time to time, as necessary but may not 25 26 postpone the hearing to a time later than that fixed by the agreement to arbitrate for making the award unless the parties 27 28 to the arbitration proceeding consent to a later date. The 29 arbitrator may hear and decide the controversy upon the 30 evidence produced although a party who was duly notified of the arbitration proceeding did not appear. The court, on 31 32 request, may direct the arbitrator to conduct the hearing 33 promptly and render a timely decision.

(d) At a hearing under subsection (c) of this section, a
party to the arbitration proceeding has a right to be heard, to
present evidence material to the controversy and to cross
examine witnesses appearing at the hearing.

- 38 (e) If an arbitrator ceases or is unable to act during the
- 39 arbitration proceeding, a replacement arbitrator must be
- 40 appointed in accordance with section thirteen of this article
- 41 to continue the proceeding and to resolve the controversy.

### §55-10-18. Representation by lawyer.

- 1 A party to an arbitration proceeding may be represented
- 2 by a lawyer licensed to practice law in the State of West
- 3 Virginia.

# §55-10-19. Witnesses; subpoenas; depositions; discovery.

- 1 (a) An arbitrator may issue a subpoena for the attendance
- 2 of a witness and for the production of records and other
- 3 evidence at any hearing and may administer oaths. A
- 4 subpoena must be served in the manner for service of
- 5 subpoenas in a civil action and, upon motion to the court by
- 6 a party to the arbitration proceeding or the arbitrator,
- 7 enforced in the manner for enforcement of subpoenas in a
- 8 civil action.
- 9 (b) In order to make the proceedings fair, expeditious and
- 10 cost effective, upon request of a party to or a witness in an

- 11 arbitration proceeding, an arbitrator may permit a deposition
- 12 of any witness to be taken for use as evidence at the hearing,
- 13 including a witness who cannot be subpoenaed for or is
- 14 unable to attend a hearing. The arbitrator shall determine the
- 15 conditions under which the deposition is taken.
- 16 (c) An arbitrator may permit such discovery as the
- 17 arbitrator decides is appropriate in the circumstances, taking
- 18 into account the needs of the parties to the arbitration
- 19 proceeding and other affected persons and the desirability
- 20 of making the proceeding fair, expeditious and cost
- 21 effective.
- 22 (d) If an arbitrator permits discovery under subsection (c)
- 23 of this section, the arbitrator may order a party to the
- 24 arbitration proceeding to comply with the arbitrator's
- 25 discovery-related orders, issue subpoenas for the attendance
- 26 of a witness and for the production of records and other
- 27 evidence at a discovery proceeding and take action against a
- 28 noncomplying party to the extent a court could if the
- 29 controversy were the subject of a civil action in this state.

- 30 (e) An arbitrator may issue a protective order to prevent
- 31 the disclosure of privileged information, confidential
- 32 information, trade secrets and other information protected
- 33 from disclosure to the extent a court could if the controversy
- 34 were the subject of a civil action in this state.
- 35 (f) All laws compelling a person under subpoena to
- 36 testify and all fees for attending a judicial proceeding, a
- 37 deposition or a discovery proceeding as a witness apply to an
- 38 arbitration proceeding as if the controversy were the subject
- 39 of a civil action in this state.
- 40 (g) The court may enforce a subpoena or
- 41 discovery-related order for the attendance of a witness within
- 42 this state and for the production of records and other
- 43 evidence issued by an arbitrator in connection with an
- 44 arbitration proceeding in another state upon conditions
- 45 determined by the court so as to make the arbitration
- 46 proceeding fair, expeditious and cost effective. A subpoena
- 47 or discovery-related order issued by an arbitrator in another
- 48 state must be served in the manner provided by law for

- 49 service of subpoenas in a civil action in this state and, upon
- 50 motion to the court by a party to the arbitration proceeding or
- 51 the arbitrator, enforced in the manner provided by law for
- 52 enforcement of subpoenas in a civil action in this state.

# §55-10-20. Judicial enforcement of preaward ruling by arbitrator.

- 1 If an arbitrator makes a preaward ruling in favor of a
- 2 party to the arbitration proceeding, the party may request the
- 3 arbitrator to incorporate the ruling into an award under
- 4 section twenty-one of this article. A prevailing party may
- 5 make a motion to the court for an expedited order to confirm
- 6 the award under section twenty-four of this article, in which
- 7 case the court shall summarily decide the motion. The court
- 8 shall issue an order to confirm the award unless the court
- 9 vacates, modifies or corrects the award under section
- 10 twenty-five or twenty-six of this article.

### §55-10-21. Award.

- 1 (a) An arbitrator shall make a record of an award. Such
- 2 record should set forth findings of fact and conclusions of
- 3 law that support the award. The record must be signed or

- 4 otherwise authenticated by any arbitrator who concurs with
- 5 the award. The arbitrator or the arbitration organization shall
- 6 give notice of the award, including a copy of the award, to
- 7 each party to the arbitration proceeding.
- 8 (b) An award must be made within the time specified by
- 9 the agreement to arbitrate or, if not specified therein, within
- 10 the time ordered by the court. The court may extend, or the
- 11 parties to the arbitration proceeding may agree in a record to
- 12 extend, the time. The court or the parties may do so within
- 13 or after the time specified or ordered. A party waives any
- 14 objection that an award was not timely made unless the party
- 15 gives notice of the objection to the arbitrator before receiving
- 16 notice of the award.

### §55-10-22. Change of award by arbitrator.

- 1 (a) On motion to an arbitrator by a party to an arbitration
- 2 proceeding, the arbitrator may modify or correct an award:
- 3 (1) Upon a ground stated in section twenty-six of this
- 4 article;

- 5 (2) Because the arbitrator has not made a final and
- 6 definite award upon a claim submitted by the parties to the
- 7 arbitration proceeding; or
- 8 (3) To clarify the award.
- 9 (b) A motion under subsection (a) of this section must be
- 10 made and notice given to all parties within twenty days after
- 11 the moving party receives notice of the award.
- 12 (c) A party to the arbitration proceeding must give notice
- 13 of any objection to the motion within ten days after receipt of
- 14 the notice.
- 15 (d) If a motion to the court is pending under section
- 16 twenty-four, twenty-five or twenty-six of this article, the
- 17 court may submit the claim to the arbitrator to consider
- 18 whether to modify or correct the award:
- 19 (1) Upon a ground stated in section twenty-four of this
- 20 article;
- 21 (2) Because the arbitrator has not made a final and
- 22 definite award upon a claim submitted by the parties to the
- 23 arbitration proceeding; or

- 24 (3) To clarify the award.
- 25 (e) An award modified or corrected pursuant to this
- 26 section is subject to sections twenty-one, twenty-four,
- 27 twenty-five and twenty-six of this article.

# §55-10-23. Remedies; fees and expenses of arbitration proceeding.

- 1 (a) An arbitrator may award punitive damages or other
- 2 exemplary relief if such an award is authorized by law in a
- 3 civil action involving the same claim and the evidence
- 4 produced at the hearing justifies the award under the legal
- 5 standards otherwise applicable to the claim.
- 6 (b) An arbitrator may award reasonable attorney's fees
- 7 and other reasonable expenses of arbitration if such an award
- 8 is authorized by law in a civil action involving the same
- 9 claim or by the agreement of the parties to the arbitration
- 10 proceeding.
- 11 (c) As to all remedies other than those authorized by
- 12 subsections (a) and (b) of this section, an arbitrator may order
- 13 such remedies as the arbitrator considers just and appropriate
- 14 under the circumstances of the arbitration proceeding. The

- 15 fact that such a remedy could not or would not be granted by
- 16 the court is not a ground for refusing to confirm an award
- 17 under section twenty-four of this article or for vacating an
- 18 award under section twenty-three of this article.
- (d) An arbitrator's award shall provide for the payment
- 20 of expenses and fees, together with other expenses to be split
- 21 among the parties, as provided by the parties' agreement or
- 22 the rules of the arbitration organization.
- 23 (e) If an arbitrator awards punitive damages or other
- 24 exemplary relief under subsection (a) of this section, the
- 25 arbitrator shall specify in the award the basis in fact
- 26 justifying and the basis in law authorizing the award and state
- 27 separately the amount of the punitive damages or other
- 28 exemplary relief.

### §55-10-24. Confirmation of award.

- 1 After a party to an arbitration proceeding receives notice
- 2 of an award, the party may make a motion to the court for an
- 3 order confirming the award at which time the court shall
- 4 issue a confirming order unless the award is modified or

- 5 corrected pursuant to section twenty-two or twenty-six of this
- 6 article or is vacated pursuant to section twenty-five of this
- 7 article.

## §55-10-25. Vacating award.

- 1 (a) Upon motion to the court by a party to an arbitration
- 2 proceeding, the court shall vacate an award made in the
- 3 arbitration proceeding if:
- 4 (1) The award was procured by corruption, fraud or other
- 5 undue means;
- 6 (2) There was:
- 7 (A) Evident partiality by an arbitrator appointed as a
- 8 neutral arbitrator;
- 9 (B) Corruption by an arbitrator; or
- 10 (C) Misconduct by an arbitrator prejudicing the rights of
- 11 a party to the arbitration proceeding;
- 12 (3) An arbitrator refused to postpone the hearing upon
- 13 showing of sufficient cause for postponement, refused to
- 14 consider evidence material to the controversy or otherwise
- 15 conducted the hearing contrary to section seventeen of this

- article, so as to prejudice substantially the rights of a party to
- 17 the arbitration proceeding;
- 18 (4) An arbitrator exceeded the arbitrator's powers;
- 19 (5) There was no agreement to arbitrate, unless the person
- 20 participated in the arbitration proceeding without raising the
- 21 objection under section seventeen of this article not later than
- 22 the beginning of the arbitration hearing; or
- 23 (6) The arbitration was conducted without proper notice
- 24 of the initiation of an arbitration as required in section nine
- 25 so as to prejudice substantially the rights of a party to the
- 26 arbitration proceeding.
- 27 (b) A motion under this section must be filed within
- 28 ninety days after the moving party receives notice of the
- 29 award pursuant to section twenty-one of this article or within
- 30 ninety days after the moving party receives notice of a
- 31 modified or corrected award pursuant to section twenty-two
- 32 of this article, unless the moving party alleges that the award
- 33 was procured by corruption, fraud or other undue means, in
- 34 which case the motion must be made within ninety days after

- 35 the ground is known or by the exercise of reasonable care
- 36 would have been known by the moving party.
- 37 (c) If the court vacates an award on a ground other than
- 38 that set forth in subdivision (5), subsection (a) of this section,
- 39 it may order a rehearing. If the award is vacated on a ground
- 40 stated in subdivision (1) or (2), subsection (a) of this section,
- 41 the rehearing must be before a new arbitrator. If the award is
- 42 vacated on a ground stated in subdivision (3), (4) or (6),
- 43 subsection (a) of this section, the rehearing may be before the
- 44 arbitrator who made the award or the arbitrator's successor.
- 45 The arbitrator must render the decision in the rehearing
- 46 within the same time as that provided in section twenty-one
- 47 of this article for an award.
- 48 (d) If the court denies a motion to vacate an award, it
- 49 shall confirm the award unless a motion to modify or correct
- 50 the award is pending.

### §55-10-26. Modification or correction of award.

- 1 (a) Upon motion made within ninety days after the
- 2 moving party receives notice of the award pursuant to section

- 3 nineteen of this article or within ninety days after the moving
- 4 party receives notice of a modified or corrected award
- 5 pursuant to section twenty-two of this article, the court shall
- 6 modify or correct the award if:
- 7 (1) There was an evident mathematical miscalculation or
- 8 an evident mistake in the description of a person, thing or
- 9 property referred to in the award;
- 10 (2) The arbitrator has made an award on a claim not
- 11 submitted to the arbitrator and the award may be corrected
- 12 without affecting the merits of the decision upon the claims
- 13 submitted; or
- 14 (3) The award is imperfect in a matter of form not
- 15 affecting the merits of the decision on the claims submitted.
- 16 (b) If a motion made under subsection (a) of this section
- 17 is granted, the court shall modify or correct and confirm the
- 18 award as modified or corrected. Otherwise, unless a motion
- 19 to vacate is pending, the court shall confirm the award.
- 20 (c) A motion to modify or correct an award pursuant to
- 21 this section may be joined with a motion to vacate the award.

# §55-10-27. Judgment on award; attorneys' fees and litigation expenses.

- 1 (a) Upon granting an order confirming, vacating without
- 2 directing a rehearing, modifying or correcting an award, the
- 3 court shall enter a judgment in conformity therewith. The
- 4 judgment may be recorded, docketed and enforced as any
- 5 other judgment in a civil action.
- 6 (b) A court may allow reasonable costs of the motion and
- 7 subsequent judicial proceedings.
- 8 (c) On application of a prevailing party to a contested
- 9 judicial proceeding under section twenty-four, twenty-five or
- 10 twenty-six of this article, the court may add reasonable
- 11 attorneys' fees and other reasonable expenses of litigation
- 12 incurred in a judicial proceeding after the award is made to
- 13 a judgment confirming, vacating without directing a
- 14 rehearing, modifying or correcting an award.

## §55-10-28. Jurisdiction.

- 1 (a) A court of this state having jurisdiction over the
- 2 controversy and the parties may enforce an agreement to
- 3 arbitrate.

- 4 (b) An agreement to arbitrate providing for arbitration in
- 5 this state confers exclusive jurisdiction on the court to enter
- 6 judgment on an award under this article.

## §55-10-29. Venue.

- 1 A motion pursuant to section seven of this article must be
- 2 made in the circuit court of the county in which the
- 3 agreement to arbitrate specifies the arbitration hearing is to
- 4 be held or, if the hearing has been held, in the circuit court of
- 5 the county in which it was held. Otherwise, the motion may
- 6 be made in the court of any county in which an adverse party
- 7 resides or has a place of business or, if no adverse party has
- 8 a residence or place of business in this state, in the circuit
- 9 court of Kanawha County, West Virginia. All subsequent
- 10 motions must be made in the court hearing the initial motion
- 11 unless the court otherwise directs.

### §55-10-30. Appeals.

- 1 (a) An appeal may be taken from:
- 2 (1) An order denying a motion to compel arbitration;
- 3 (2) An order granting a motion to stay arbitration;

- 4 (3) An order confirming or denying confirmation of an
- 5 award;
- 6 (4) An order modifying or correcting an award;
- 7 (5) An order vacating an award without directing a
- 8 rehearing; or
- 9 (6) A final judgment entered pursuant to this article.
- 10 (b) An appeal under this section must be taken as from an
- 11 order or a judgment in a civil action.

# §55-10-31. Uniformity of application and construction.

- 1 In applying and construing this uniform act, consideration
- 2 must be given to the need to promote uniformity of the law
- 3 with respect to its subject matter among states that enact it.

### §55-10-32. Electronic Signatures in Global and National Commerce Act.

- 1 The provisions of this article governing the legal effect,
- 2 validity or enforceability of electronic records or signatures,
- 3 and of contracts performed with the use of such records or
- 4 signatures, shall conform to the requirements of Section 102
- 5 of the Electronic Signatures in Global and National
- 6 Commerce Act, Pub. L. No. 106-229, 114 Stat. 464 (2000).

# §55-10-33. Savings clause.

- 1 This article does not affect an action or proceeding
- 2 commenced or right accrued before this article takes effect.